



AUDITOR-CONTROLLER AGENCY

FY 2019-2020 MAINTENANCE OF EFFORT BUDGET

**MELISSA WILK
AUDITOR-CONTROLLER/
CLERK-RECORDER**

APRIL 9, 2019



Auditor-Controller Agency Vision 2026

Mission Statement

The Auditor-Controller Agency shall support Alameda County's Vision through the efforts of its employees by providing the highest degree of fiscal stewardship, transparency, accessibility and service when administering public funds and in the protection of official public records.

Vision Statement

The Auditor-Controller Agency provides superior protection and accessibility of public resources and records through advancements in technology and the optimization of employees, and as such, is a model for other public organizations.



Mandated & Revenue Based Services

Auditor-Controller	Central Collections	Clerk-Recorder
<ul style="list-style-type: none">• Accounting• Disbursements• Central Payroll• Internal Audit• Financial Reporting• Tax Analysis• Budget• Grants• Cost Plan• Contract Compliance	<ul style="list-style-type: none">• Court Fines/Restitution• Probation Fines/Adult Restitution• Environmental Fees• Miscellaneous Debts• Social Services• Overpayments	<ul style="list-style-type: none">• Preservation of Official Records• Property Recording• Digital Imaging• Indexing• Vital Statistics• General Business• Marriages• Public Files



Financial Summary

	Approved Budget FY 2018-2019	MOE Budget Request FY 2019-2020	Change from FY 2018-2019 Approved Budget	
			Amount	%
Appropriations	\$37,510,177	\$38,325,841	\$815,664	2.17%
Revenue	\$48,548,944	\$49,244,292	\$695,348	1.43%
Net County Cost	(\$11,038,767)	(\$10,918,451)	\$120,316	1.09%
FTE Mgmt	52	52	0	0.00%
FTE Non Mgmt	158	158	0	0.00%



Financial Summary Detail

	Approved Budget FY 2018-2019	MOE Budget Request FY 2019-2020	Change from FY 2018-2019 Approved Budget	
			Amount	%
Salaries & Benefits	\$28,763,265	\$28,550,221	(\$213,044)	(0.74%)
Discretionary Services & Supplies	\$2,872,175	\$2,872,175	\$0	0.00%
Non-Disc. Services & Supplies	\$5,894,737	\$6,923,445	\$1,028,708	17.45%
Other Charges	\$50,000	\$50,000	\$0	0.00%
Credits for Interdept. Services	(\$70,000)	(\$70,000)	\$0	0.00%
Total Appropriations	\$37,510,177	\$38,325,841	\$815,664	2.17%
Total Revenue	\$48,548,944	\$49,244,292	\$695,348	1.43%
Net County Cost	(\$11,038,767)	(\$10,918,451)	\$120,316	1.09%



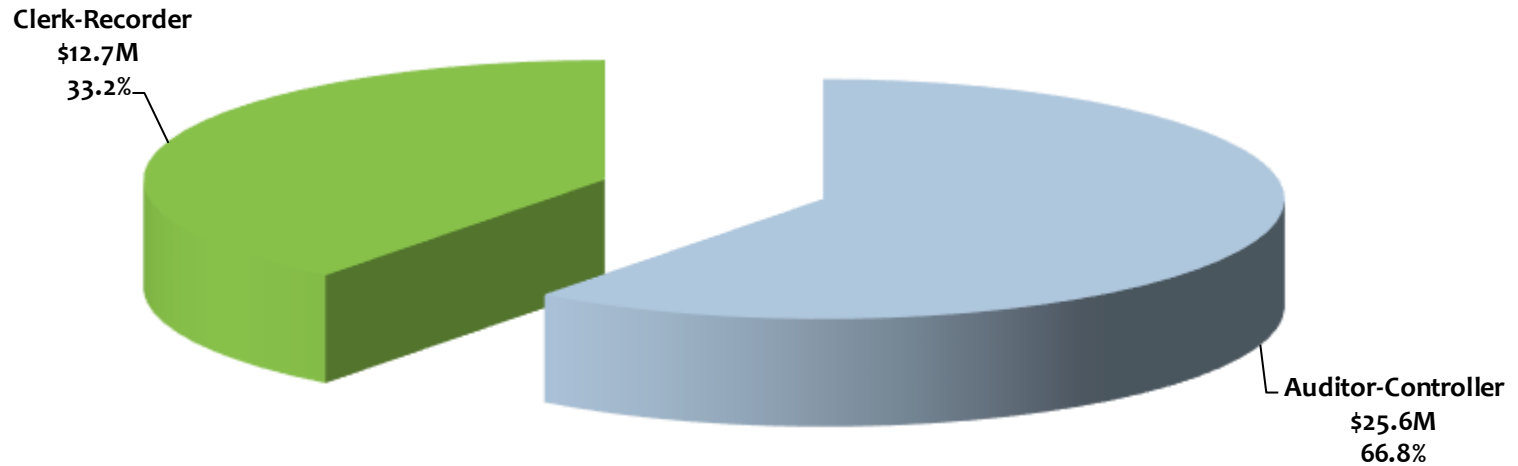
Major Components of Net County Cost Change

Major Component	NCC Change
Salaries & Benefits	(\$213,044)
Discretionary Services and Supplies	\$0
Non-Discretionary Services and Supplies	\$1,028,708
Revenue	\$695,348
Total Net County Cost Increase	\$120,316



FY 2019-2020 MOE Request Total Appropriation by Department

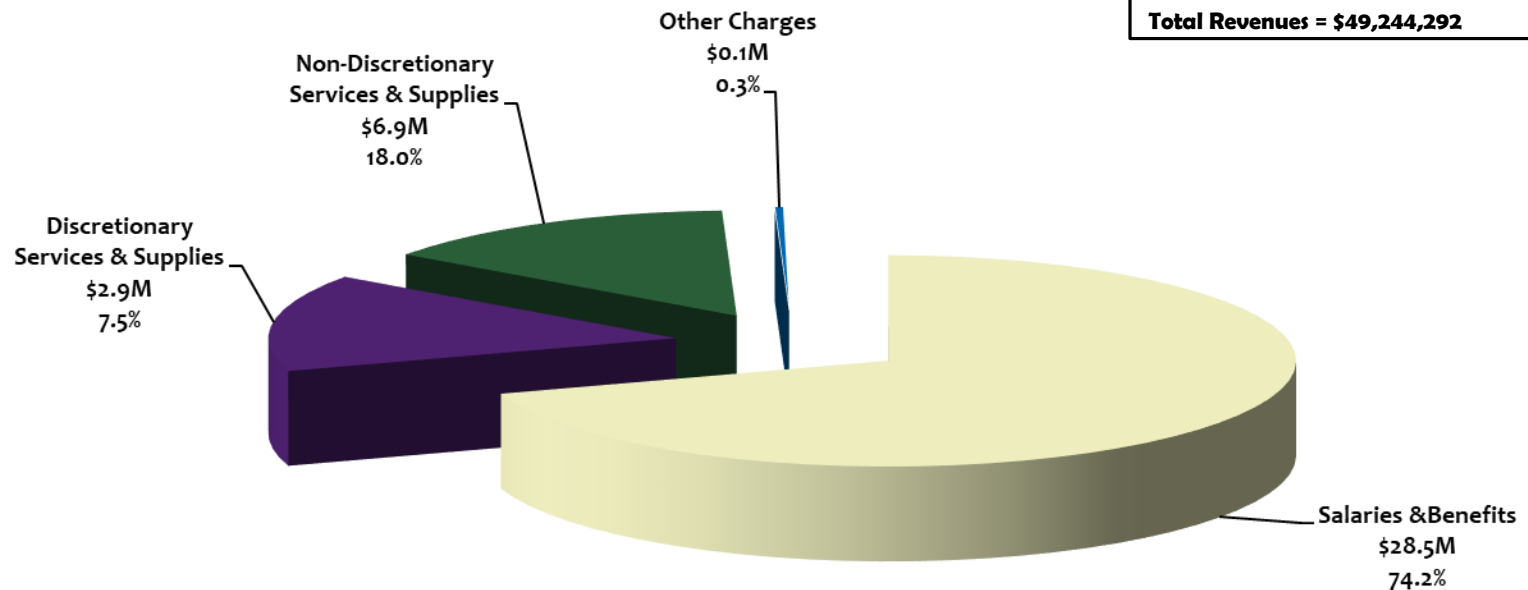
Total Appropriations = \$38,325,841
Total Revenues = \$49,244,292





FY 2019-2020 MOE Request Total Appropriation by Major Object

Total Appropriations = \$38,325,841
Total Revenues = \$49,244,292

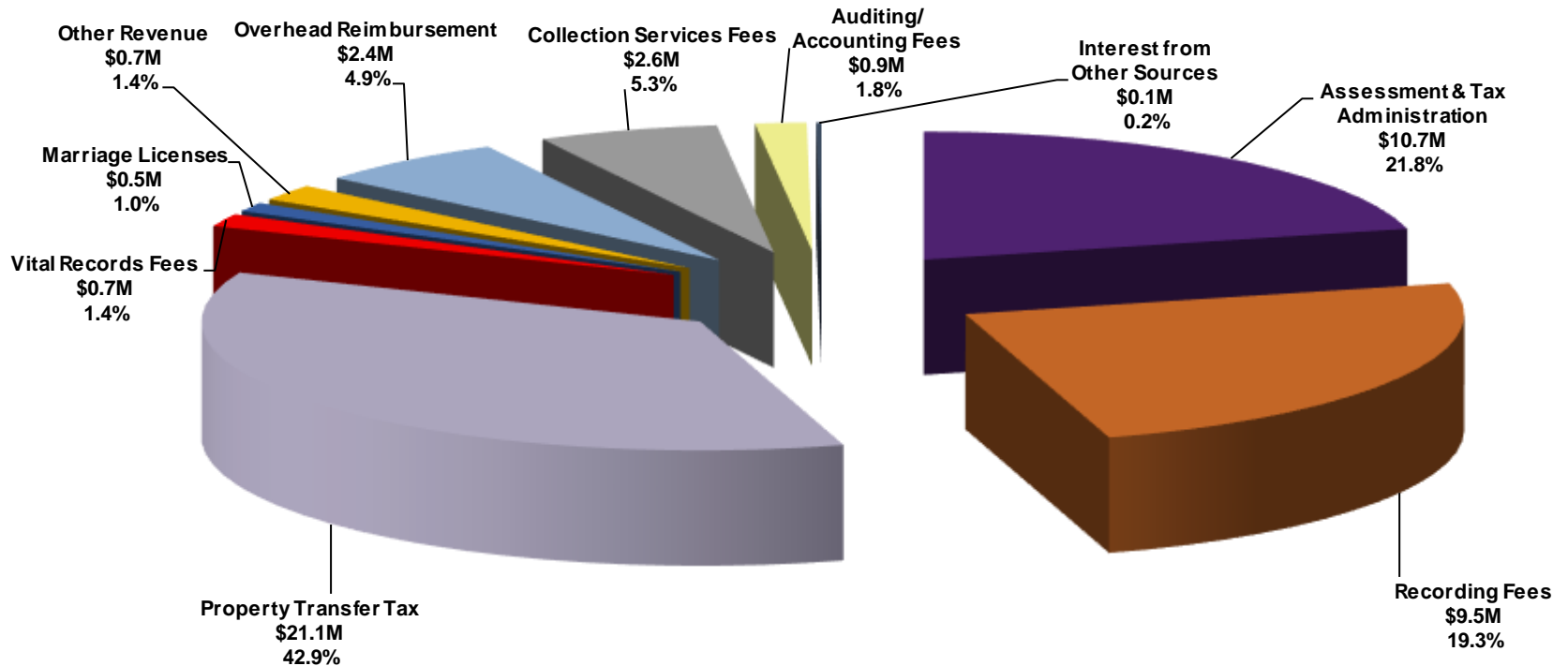


* Credit for Interdepartmental Services
(\$70k)



FY 2019-2020 MOE Request Total Revenue by Source

Total Appropriations = \$38,325,841
Total Revenues = \$49,244,292





FY 2019-2020 Estimated Annual Revenue Collected for the County and Other Entities

<ul style="list-style-type: none"> • Real Estate Fraud Fee \$1.8M – District Attorney • Survey Monument Fee \$107k – Public Works • Domestic Violence Fee (Marriage Licenses) \$172k – Social Services • Domestic Violence Fee (Vital Records) \$205k – County Administrator’s Office • Children’s Trust (Birth Certificates) \$394k – Social Services 	<p>City Transfer Taxes</p> <ul style="list-style-type: none"> • City of Oakland - \$87.5M • City of Berkeley - \$21.4M • City of Alameda - \$14.4M • City of Hayward - \$10.4M • City of San Leandro - \$5.4M • City of Piedmont - \$2.6 • City of Fremont - \$1.8M • City of Emeryville - \$1.7M • City of Albany - \$1.5M • City of Dublin - \$1.1M • City of Pleasanton - \$943K • City of Livermore - \$728K • City of Newark - \$570K • City of Union City - \$361K 	<ul style="list-style-type: none"> • Various Obligations (e.g., Victim Restitution, Fines, Penalties), Probation, Social Services, Public Works, Environmental Health, Cities & State - \$23.7M
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* Total Revenue Collected for Others: \$175M+



Accomplishments

- Earned “Award for Counties Financial Transactions Reporting” from the State Controller’s Office for fiscal year ended June 30, 2017.
- Earned “Certificate of Achievement for Excellence in Financial Reporting” for the 34th consecutive year from the Government Finance Officers Association for the Comprehensive Annual Financial Report for fiscal year ended June 30, 2017.
- In collaboration with key departmental partners, issued the Single Audit report with no internal control or compliance findings for 2nd consecutive year.
- Automated processes to improve efficiencies and accessibility, including new self service payroll functions; conversion to an online journal entry process; and transition of disbursement hard copy processes to online utilizing DocuSign and Powerforms.
- In collaboration with ITD, upgraded PeopleSoft Financials to utilize new features for enhanced functionality and efficiency.
- Partnered with HRS, ITD and staff to implement the successful Rethink project “Understanding My Paycheck”.



Accomplishments

- Implemented marriage license services at the Tri-Valley satellite office for increased convenience to our customers.
- Expanded community outreach so that we could share information about our Agency and the County and recruit interns from our communities to further ensure that our employees reflect the values and diversity of the communities that we serve.
- Convened the first Countywide Oversight Board meeting for the ten remaining Successor Agencies.
- Presented at state and regional conferences on topics related to technology impacts, new accounting pronouncements, collections operations and legislation affecting counties.



Vision 2026



The Alameda County Auditor-Controller Agency is committed to Vision 2026.

Our efforts support the Vision 2026 strategic priorities, with a focus on ensuring a prosperous and vibrant economy.

We strive to provide our customers with an accessible infrastructure through fiscal stewardship, collaboration, innovation, access and sustainability.



Strategies to Create a Prosperous & Vibrant Economy

- Upgrade the County Clerk-Recorder software system to provide enhanced functionality, accessibility and security, including E-Recording.
- Partner with ITD to implement an automatic bill pay system and electronic check payments for collections customers to enhance customer accessibility.
- Promote transparency and accessibility to frequently requested information by making it available online to the public, including the status of property tax refunds, audit related documents, digitized maps and Agency updates.



Strategies to Create a Prosperous & Vibrant Economy

- Implement new preservation and storage solutions for historical maps and documents, enhancing sustainability and public access to those records.
- Increase use of emerging technologies within our audit process in order to provide the highest degree of accountability.
- Maximize efficiency and sustainability by creating an automated electronic process for Federal Fund Waivers.
- Further community engagement and an understanding of critical public priorities through meetings and dialog with key partners from our communities, cities, special districts and local businesses.