Alameda County
Social Services
Agency

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Yolanda Baldovinos
Agency Director

ybaldovi2@co.alameda.ca.us
www.alamedasocialservices.org

March 3, 2009

Honorable Board of Supervisors
Administration Building
Oakland, CA 94612

Dear Board Members:

Subject: Approval and authorization for an amendment to the current services agreement with International Business Machines for the data warehouse and business intelligence technologies.

RECOMMENDATION:

It is recommended that your board:

- Approve and authorize the President of the Board to sign and execute an amendment to the Standard Services Agreement, Procurement #3319, PO# 7651 with International Business Machines (IBM), (Principal: Sam Palmisano, CEO; Location: Sacramento, CA), increasing the current award of $1,351,286.89 by an additional $349,000 for a new total award of $1,700,286.89 for the period of September 15, 2008 – June 30, 2009 for continued data warehouse service development.

SUMMARY/DISCUSSION:

This letter requests action by your Board to approve and authorize the President of the Board to sign and execute an amendment to the current standard services agreement with IBM. The original award, approved by your Board on September 30, 2008 was the result of the completed 2007-2008 Request for Interest (RFI) for the provision of data warehouse and business intelligence technologies for tracking program performance.

To date, IBM has delivered and set up the purchased hardware and software, and, as agreed, has integrated data from our welfare system (CalWIN), Children's system (CWS/CMS), and our Adult & Aging system (CMIPS) into the data warehouse. With just these three systems, the data warehouse is helping us understand how individuals and families are using multiple SSA services concurrently and over time. By the end of April we will also have our Adoption and Probation systems integrated into the data warehouse. Data from these systems are now being loaded into the warehouse.

The amended contract will allow the inclusion of more data including that from The Health Care Services Agency, which will greatly enhance the County's ability to provide better wrap-around services to our common clients. It will allow the integration of data from the following systems:

- Another Road to Safety (ARS-ECChange) for Children & Family Services
- STAT-EPSDT-Assm-Center: Medi-cal for at-risk kids for Children & Family Services
- EBT: Electronic Food Stamps for CalWORKS Clients
- Juris Case Management System: Probation Department's new case management system
- Children & Family Services Contracts for better tracking of CFS Vendor performance
- In-home Provider Registry for our Adult & Aging Department
- SSA Financial Systems for improved tracking and reporting

Additionally, we have begun preliminary discussions with the Health Care Services Agency to explore opportunities for collaboration and further integration of data specific to the many service streams we collaborate on. It is our intention to include HCSA data system as a pilot for a larger data integration effort.

The additional data source integrations will allow SSA to move away from the use of the Employment Services Department's Exemplar system (an annual savings of $275,000). It will also facilitate the sharing of SSA and HCSA data for the purpose of more rapidly moving General Assistance clients to SSI (and off of GA), thereby saving GA grant dollars and providing more MediCal revenue to the safety net clinic system.

SELECTION CRITERIA/PROCESS:

On September 30, 2008 (File No 23941, Item No. 4A) your Board approved and authorized the current agreement with IBM. The contract award was recommended as the outcome of a Request for Interest (RFI) procurement process held in April 2008. This procurement was developed as a part of the approval process for purchases of technology within the State of California whereby Alameda County submitted and received mandatory approval for an Advance Planning Document (APD) from the State supporting the Agency's plans to develop the data warehouse. The County also followed mandated Federal and State procurement policies for this specific project.

FINANCING:

Financing for the extended contract is included in the approved Social Services Agency budget for FY 2008-2009. There are no changes to net County Cost.

Sincerely,

Yolanda Baldovinos
Agency Director

Att: Packet (1) of (5) contract amendment documents for Board President signature.

c: Auditor-Controller
     County Administrator
     County Counsel

V:\BoardLetters\2009\4.28.09 IBM Data Warehouse Amend

Form 110-9 Rev 01/08

REQUEST TO ENCUMBER CONTRACT FUNDS OR
TO LIQUIDATE ENCUMBERED FUNDS

PART I: REQUEST FROM CONTRACTING DEPARTMENT

Contractor's Name: International Business Machines (IBM)

Contractor's Tax ID: 130871985   Contractor's Vendor ID: 13283

Description of Contract: Data Warehouse and Business Intelligence Technologies

Master Contract #: N/A   Procurement Contract #: 3319

Procurement Contract   Begin Date: 9/15/08   Expire Date: 6/30/09

Elation Project Info: Sub-Contractor Compliance N/A   Labor Compliance   N/A

Board Waiver   N/A   BOCO Waiver N/A   Waiver Number N/A

A. ENCUMBER FUNDS IN A NEW PURCHASE ORDER

Date of Board Minute Order: _____   File/Item/Contract Number: _____

Total Amount Authorized By Board: _____   Amount to be Encumbered: _____

B. ADD FUNDS TO AN EXISTING PURCHASE ORDER PO Number: 7651

Date of Board Minute Order: 4/28/09   File/Item/Contract Number: _____

Total Amount Authorized By Board: $1,700,286.89   Amount to be Encumbered: $349,000

C. LIQUIDATE FUNDS FROM A PURCHASE ORDER

Purchase Order Number: _____   Amount to be Liquidated: _____

ACCOUNTING INFORMATION

Business Unit	Account	Fund	Dept	Program	BY	Subclass	Proj/Grant	Amount
SOCSA	610292	10000	320100	30000	2009			$1,700,286.89
							Total	$1,700,286.89

Send Response to: Kathy Chen   QIC: 20203   Phone: 267-9459

Authorized Signature: _____ Department: Finance   Date: 4/20/09

PART II: RESPONSE FROM AUDITOR-CONTROLLER

☐ The Auditor-Controller encumbered _____ in a new PO. The PO Number is _____

☐ The Auditor-Controller added _____ to PO Number _____

☐ The Auditor-Controller liquidated _____ from PO Number _____

☐ This form is being returned for you due to insufficient funds in the appropriation account.

☐ The following information is needed before this request can be processed.

_____

Signature of Contract Processor _____   Date: _____

Standard Agreement Information 110-9 Supplement
(Revised 7/14/06)

Contractor Name: <u>International Business Machines – (IBM)</u>

Contract Signatory: <u>Mike McGuire</u>   Contact Person: <u>Mike McGuire</u>

Office Address: <u>2710 S. Gateway Oaks Dr. Suite 200</u>
<u>Sacramento, CA 95833</u>

Remittance Address: <u>IBM CORPORATION</u>

<u>PO BOX 676673</u>

<u>DALLAS, TX 75267-6673</u>

Telephone: <u>1-916-920-6030</u> Fax<u>: same</u>   Email: <u>mmcguire@us.ibm.com</u>

Federal Tax ID: <u>130871985</u> Vendor ID #:<u>13283</u>

Location #: <u>28</u> BOS Dist #: <u>0</u> CDFA #: <u>N/A</u>   Requisition #: <u>N/A</u>

Period of Funding: Start Date: <u>September 15, 2008</u> End Date: <u>June 30, 2009</u>

Funding Source: Federal <u>$1,088,183.60</u>   State<u>$289,048.77</u>   County <u>$323,054.52</u>
(64%)   (17%)   (19%)

One line description of Service: <u>Data Warehouse and Business Intelligence Technologies</u>

FIRST AMENDMENT TO AGREEMENT

This First Amendment to Agreement ("First Amendment") is made by the County of Alameda ("County") and International Business Machines, Inc. (IBM), ("Contractor") with respect to that certain agreement entered by them on 9/15/08 (referred to herein as the "Contract") pursuant to which Contractor provides Data Warehouse and Business Intelligence Technologies services to County.

County and Contractor agree as follows:

1) For valuable consideration, the receipt and sufficiency of which are hereby acknowledged, County and Contractor agree to amend the Agreement in the following respects:

   a. For FY08/09, to increase the contract amount from $1,351,286.89 to $1,700,286.89 with an increase of $349,000.

   b. Exhibit A as attached.

2) Except as otherwise stated in this First Amendment, the terms and provisions of this Amendment will be considered to be effective as of the date this First Amendment is executed by the County. ("Effective Date: 4/28/09").

3) DEBARMENT AND SUSPENSION CERTIFICATION:

   a. By signing this First Amendment and Exhibit D, Debarment and Suspension Certification, Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations, including but not limited to 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35 and Executive Order 12549.

   b. By signing this agreement, Contractor certifies to the best of its knowledge and belief, that it and its principles:

      1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntary excluded by any federal department or

agency;

    2.   Shall not knowingly enter into any covered transaction with a person who is proposed for debarment under federal regulations, debarred, suspended, declared ineligible, or voluntarily excluded from participation in such transaction.

4)   Except as expressly modified by this First Amendment, all of the terms and conditions of the Agreement are and remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed this Amendment to the Agreement as of the day and year first above written.

COUNTY OF ALAMEDA

International Business Machines

By:_____

By:_____
    Signature   Signature

Name:_____

Name:_Lyn Brown_____
    (Printed)   (Printed)

Title: <u>President of the Board of Supervisors</u>

Title: Quality Assurance Representative

Date:_4/7/09_____

Approved as to Form:

By signing above, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement

By:_____
    County Counsel Signature

Approved as to Form
RICHARD E. WINNIE, County Counsel

By_____

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Exhibit A

First Amendment to the Statement of Work (SOW) Number CAHD-7FAJZP:

Assumption B is being replaced with the following

The EAS implementation effort for the SOW shall include digestion of up to six (6) data sources. These data sources (and assumptions associated with those data sources) include:

- CALWINS /CIS - @1000 Tables; 105 Tables containing useful data for the SSIRS project Scope; CIS is an Oracle DBMS Managed Database.
- CWS/CMS - A Shadow copy of this Database shall be our access point. This is a DB2 DBMS Managed Database, Consisting of @100 Tables, 50 Tables containing useful data for the SSIRS project Scope.
- Smart – Consists of two SQL Server Databases
- CMIPS - flat file data source.
- VersaForm

In addition, the following new assumptions for targeted data sources to be added to this contract amendment include;

- Safe Measures-Strategic Decision Making for Children & Family Services.
- Another Road to Safety (ARS-ECChange) for Children & Family Services.
- Meds social security validation, SSI approve/denied, and data mismatch reports.
- STAT-EPSDT-Assm-Center: Medi-cal for at-risk kids for Children & Family Services.
- EBT: Electronic Food Stamps for CalWORKS Clients.
- Juris Case Management System: Probation Department's new case management system.
- Consolidated Records Management System for our Program Integrity Department.
- Children & Family Services Contracts for better tracking of CFS Vendor performance.
- In-home Provider Registry for our Adult & Aging Department.
- SSA Financial Systems for improved tracking and reporting.

A) Add hours to extend to the IBM project team as described below.

Add the following hours:

Type	Rate	Total Hours	Total Rate
Project Manager	$270.00	150	$40,500.00
EAS Specialist	$240.00	560	$134,400.00
Cognos Report Writer	$240.00	160	$38,400.00
Data Architect/Developer	$198.00	560	$110,880.00
Total			$324,180.00

Section 2.0 Statement of Work
No Change

Section 2.1 Project Scope
No Change

Section 2.2 Key Assumptions
No Change

Section 2.3 IBM Responsibilities
No Change

Section 2.4 Your Responsibilities
No Change

Section 2.5 Materials
No Change

Section 2.7 Estimated Schedule
No Change

Section 2.8 Charges
The additional estimated professional services hours for this First Amendment are 1430 for additional estimated professional services charges of $324,180.00.

The additional estimated travel and living expenses for this First Amendment are $24,820.00.

Section 2.9 Additional Terms and Conditions
No Change

EXHIBIT D

COUNTY OF ALAMEDA
DEBARMENT AND SUSPENSION CERTIFICATION
For Procurements Over $25,000

The contractor, under penalty of perjury, certifies that, except as noted below, contractor, its principals, and any named subcontractor:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three years;

- Does not have a proposed debarment pending; and

- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

If there are any exceptions to this certification, insert the exceptions in the following space.

- IBM was temporarily suspended from participating in new US Federal contracts from March 27, 2008 to April 3, 2008.

Exceptions will not necessary result in denial of award, but will be considered in determining contractor responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions. The above certification is part of the Standard Services Agreement. Signing this Standard Services Agreement on the signature portion thereof shall also constitute signature of this Certification.

CONTRACTOR: _Lyn Brown   4/7/09_

PRINCIPAL: _____ TITLE: _____

SIGNATURE: _____ DATE: _____