ATTACHMENT C

Geotechnical Report
<table>
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<th>TYPE OF SERVICES</th>
<th>Geotechnical Investigation</th>
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</tr>
<tr>
<td>LOCATION</td>
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</tr>
<tr>
<td></td>
<td>Castro Valley, California</td>
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<tr>
<td>CLIENT</td>
<td>Meridian Property Ventures, LLC</td>
</tr>
<tr>
<td>PROJECT NUMBER</td>
<td>534-17-1</td>
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<td>DATE</td>
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Type of Services | Geotechnical Investigation
---|---
Project Name | Castro Valley Medical Office Building
Location | 20630 / 20643 John Drive
| Castro Valley, California
Client | Meridian Property Ventures, LLC
Client Address | 2420 Camino Ramon, Suite 215
| San Ramon, California
Project Number | 534-17-1
Date | January 18, 2019

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Geotechnical Project Manager

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Senior Principal Engineer
Quality Assurance Reviewer
SECTION 1: INTRODUCTION ..................................................................................................... 1

1.1 PROJECT DESCRIPTION .................................................................................................. 1

1.2 SCOPE OF SERVICES ....................................................................................................... 1

1.3 EXPLORATION PROGRAM ............................................................................................... 2

1.4 LABORATORY TESTING PROGRAM .................................................................................. 2

1.5 ENVIRONMENTAL SERVICES .......................................................................................... 2

SECTION 2: REGIONAL SETTING ............................................................................................. 2

2.1 GEOLOGICAL SETTING ................................................................................................... 2

2.2 REGIONAL SEISMICITY ................................................................................................... 3

   Table 1: Approximate Fault Distances .............................................................................. 3

SECTION 3: SITE CONDITIONS ................................................................................................. 4

3.1 SURFACE DESCRIPTION .................................................................................................. 4

3.2 SUBSURFACE CONDITIONS ............................................................................................ 4

   3.2.1 Plasticity/Expansion Potential ................................................................................... 4

   3.2.2 In-Situ Moisture Contents ...................................................................................... 4

3.3 GROUNDWATER ................................................................................................................ 4

SECTION 4: GEOLOGIC HAZARDS ........................................................................................... 5

4.1 FAULT RUPTURE ................................................................................................................ 5

4.2 ESTIMATED GROUND SHAKING .................................................................................... 5

4.3 LIQUEFACTION POTENTIAL ............................................................................................ 5

   4.3.1 Background ............................................................................................................... 5

   4.3.2 Analysis ...................................................................................................................... 6

   4.3.3 Summary .................................................................................................................... 7

   4.3.4 Ground Rupture Potential .......................................................................................... 7

4.4 LATERAL SPREADING ....................................................................................................... 7

4.5 SEISMIC SETTLEMENT/UNSATURATED SAND SHAKING .............................................. 7

4.6 TSUNAMI/SEICHE ............................................................................................................. 7

4.7 FLOODING ....................................................................................................................... 8

SECTION 5: CONCLUSIONS ...................................................................................................... 8

5.1 SUMMARY ......................................................................................................................... 8

   5.1.2 Expansive Soils .......................................................................................................... 8

   5.1.3 Presence of Undocumented Fill ................................................................................. 9

5.2 PLANS AND SPECIFICATIONS REVIEW ........................................................................ 9

5.3 CONSTRUCTION OBSERVATION AND TESTING ......................................................... 9

SECTION 6: EARTHWORK ........................................................................................................ 9

6.1 SITE DEMOLITION ............................................................................................................. 9

   6.1.1 Demolition of Existing Slabs, Foundations and Pavements ........................................ 10
8.3.2 Footing Settlement .............................................................................................................23
Table 5: Assumed Structural Loading ..........................................................................................23
8.3.3 Lateral Loading ..................................................................................................................23
8.3.4 Spread Footing Construction Considerations .................................................................23

SECTION 9: CONCRETE SLABS AND PEDESTRIAN PAVEMENTS ....................................................24
9.1 INTERIOR SLABS-ON-GRADE .............................................................................................24
9.2 INTERIOR SLABS MOISTURE PROTECTION CONSIDERATIONS ...........................................24
9.3 EXTERIOR FLATWORK .........................................................................................................25
  9.3.1 Pedestrian Concrete Flatwork ..........................................................................................25

SECTION 10: VEHICULAR PAVEMENTS ..................................................................................25
10.1 ASPHALT CONCRETE ........................................................................................................25
  Table 6: Asphalt Concrete Pavement Recommendations, Design R-value = 5 ..................26
10.2 PORTLAND CEMENT CONCRETE .................................................................................26
  Table 7: PCC Pavement Recommendations, Design R-value = 5 .......................................27
10.3 PAVEMENT CUTOFF ........................................................................................................27

SECTION 11: RETAINING WALLS ............................................................................................27
11.1 STATIC LATERAL EARTH PRESSURES ...............................................................................27
  Table 8: Recommended Lateral Earth Pressures ...................................................................28
11.2 SEISMIC LATERAL EARTH PRESSURES ..........................................................................28
11.3 WALL DRAINAGE .............................................................................................................28
  11.3.1 At-Grade Site Walls ...................................................................................................28
11.4 BACKFILL .........................................................................................................................29
11.5 FOUNDATIONS ................................................................................................................29

SECTION 12: LIMITATIONS ......................................................................................................29

SECTION 13: REFERENCES .....................................................................................................30

FIGURE 1: VICINITY MAP
FIGURE 2: SITE PLAN
FIGURE 3: REGIONAL FAULT MAP
FIGURE 4A TO 4B: LIQUEFACTION ANALYSIS SUMMARY – CPT-01 TO CPT-02

APPENDIX A: FIELD INVESTIGATION
APPENDIX B: LABORATORY TEST PROGRAM
SECTION 1: INTRODUCTION

This geotechnical report was prepared for the sole use of Meridian Property Ventures, LLC for the Castro Valley Medical Office Building project in Castro Valley, California. The location of the site is shown on the Vicinity Map, Figure 1. For our use, we were provided with the following documents:

- A set of conceptual design plans titled “Meridian MOB, John Drive, Castro Valley, CA,” prepared by Ware Malcolm, dated August 21, 2018.

1.1 PROJECT DESCRIPTION

The project will include constructing a new at-grade, two-story medical office building on the approximately 1¼ acre site. The building will be located along John Drive toward the southeast corner of the site. The new building will have a footprint of about 12,500 square feet. At-grade pavement and landscaping will cover the remainder of the site. Appurtenant utilities, landscaping, and other improvements necessary for site development are also planned.

Structural loads are not currently known for the proposed medical office; however, structural loads are expected to be typical of similar type structures. Minor site grading with cuts and fills on the order of 2 to 4 feet are expected.

1.2 SCOPE OF SERVICES

Our scope of services was presented in our proposal dated December 4, 2018 and consisted of field and laboratory programs to evaluate physical and engineering properties of the subsurface soils, engineering analysis to prepare recommendations for site work and grading, building foundations, flatwork, retaining walls, and pavements, and preparation of this report. Brief descriptions of our exploration and laboratory programs are presented below.
1.3 EXPLORATION PROGRAM

Field exploration consisted of one boring drilled on December 21, 2018 with limited-access hollow-stem auger drilling equipment, one boring drilled on December 21, 2018 with hand-sampling equipment, and two Cone Penetration Tests (CPTs) advanced on December 20, 2018. The borings were drilled to a depth of 5 to 25 feet; the CPTs were advanced to depths of 23 to 25½ feet, where practical refusal was encountered.

The borings and CPTs were backfilled with cement grout in accordance with local requirements; exploration permits were obtained as required by local jurisdictions.

The approximate locations of our exploratory borings are shown on the Site Plan, Figure 2. Details regarding our field program are included in Appendix A.

1.4 LABORATORY TESTING PROGRAM

In addition to visual classification of samples, the laboratory program focused on obtaining data for foundation design and seismic ground deformation estimates. Testing included moisture contents, dry densities, Plasticity Index tests, and an R-value test. Details regarding our laboratory program are included in Appendix B.

1.5 ENVIRONMENTAL SERVICES

Environmental services were not requested for this project. If environmental concerns are determined to be present during future evaluations, the project environmental consultant should review our geotechnical recommendations for compatibility with the environmental concerns.

SECTION 2: REGIONAL SETTING

2.1 GEOLOGICAL SETTING

Castro Valley is located within the Diablo Range of the Coast Ranges structural and geomorphic province of California. The Diablo Range is one mountain range in a series of northwesterly-aligned mountains forming the Coast Ranges geomorphic province of California that stretch from the Oregon border nearly to Point Conception. In the San Francisco Bay area most of the Coast Ranges have developed on a basement of tectonically mixed Cretaceous- and Jurassic-age (70- to 200-million years old) rocks of the Franciscan Complex, Coast Range Ophiolite, and Great Valley Sequence. Locally, younger sedimentary and volcanic rocks cap these basement rocks. Still younger surficial deposits that reflect geologic conditions for the last million years or so cover most of the Coast Ranges.

Movement on the many splays of the San Andreas fault system has produced the dominant northwest-oriented structural and topographic trend seen throughout the Coast Ranges today. This trend reflects the boundary between two of the Earth's major tectonic plates: North American plate to the east and the Pacific plate to the west. The San Andreas fault system (including its major branches) is about 40 miles wide in the Bay area and extends from the San
Gregorio fault near the coastline to the Coast Ranges-Central Valley blind thrust at the western edge of the Great Central Valley as shown on the Regional Fault Map, Figure 3. The San Andreas fault is the dominant structure in this system, nearly spanning the length of California, and capable of producing the highest magnitude earthquakes. Many other subparallel or branch faults within the San Andreas system are equally active and nearly as capable of generating large earthquakes. Right-lateral movement dominates on these faults but an increasingly large amount of thrust faulting resulting from compression across the system is now being identified also.

2.2 REGIONAL SEISMICITY

The San Francisco Bay area region is one of the most seismically active areas in the Country. While seismologists cannot predict earthquake events, geologists from the U.S. Geological Survey have recently updated earlier estimates from their 2014 Uniform California Earthquake Rupture Forecast (Version 3) publication. The estimated probability of one or more magnitude 6.7 earthquakes (the size of the destructive 1994 Northridge earthquake) expected to occur somewhere in the San Francisco Bay Area has been revised (increased) to 72 percent for the period 2014 to 2043 (Aagaard et al., 2016). The faults in the region with the highest estimated probability of generating damaging earthquakes between 2014 and 2043 are the Hayward (33%), Rodgers Creek (33%), Calaveras (26%), and San Andreas Faults (22%). In this 30-year period, the probability of an earthquake of magnitude 6.7 or larger occurring is 22 percent along the San Andreas Fault and 33 percent for the Hayward or Rodgers Creek Faults.

The faults considered capable of generating significant earthquakes are generally associated with the well-defined areas of crustal movement, which trend northwesterly. The table below presents the State-considered active faults within 25 kilometers of the site. Fault distances were determined using the program EZ Frisk (Risk Engineering, 2012). It is noted that fault distances presented in Table 1 were determined from EZ Frisk and represent the rupture distance and may not be the distance to the surface expression of the fault that is shown on published geological maps and on-line resources such as Google Earth, etc. The seismic characteristics of some faults vary along its length so different segments of the same fault could be listed separately in the table.

Table 1: Approximate Fault Distances

<table>
<thead>
<tr>
<th>Fault Name</th>
<th>Distance (miles)</th>
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<tbody>
<tr>
<td>Hayward-Rodgers Creek</td>
<td>3.1</td>
<td>5.0</td>
</tr>
<tr>
<td>Calaveras</td>
<td>5.2</td>
<td>8.3</td>
</tr>
<tr>
<td>Mount Diablo Thrust</td>
<td>10.6</td>
<td>17.0</td>
</tr>
<tr>
<td>Green Valley Connected</td>
<td>14.7</td>
<td>23.6</td>
</tr>
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A regional fault map is presented as Figure 3, illustrating the relative distances of the site to significant fault zones.
SECTION 3: SITE CONDITIONS

3.1 SURFACE DESCRIPTION

The relatively level site is located at 20630 and 20643 John Drive in Castro Valley, California. The site is bounded by commercial and residential development to the north, east, and west, and John Drive to the south. The site is currently occupied by several one-story at-grade structures and at-grade pavement parking lots and landscaping.

Surface pavements generally consisted of 6 inches of Portland Concrete Cement (PCC) on the eastern parcel and 3 inches of Asphalt Concrete (AC) over 5 inches of aggregate base on the western parcel. Based on visual observations, the existing PCC pavements are in poor condition with significant cracking observed and the AC pavements are in fair conditions with minor cracking observed.

3.2 SUBSURFACE CONDITIONS

Below the surface pavements, our exploratory boring EB-1 encountered approximately 4½ feet of undocumented fill consisting of very stiff lean clay with varying amounts of sand. Beneath the undocumented fill, Boring EB-1 encountered very stiff lean clay with sand to a depth of approximately 7 feet underlain by moderately hard Shale bedrock to the terminal boring depth of 25 feet.

Below the surface pavements or ground surface, our CPTs generally encountered stiff to hard clays and medium dense sands to depths of approximately 6¼ to 12 feet underlain by materials classified as stiff sand to clayey sand and sand mixtures to the terminal boring depths of 23¼ to 26 feet, where practical drilling refusal was encountered. Based on the material encountered in Boring EB-1, the material encountered in the CPTs below 6¼ to 12 feet is anticipated to be consistent with the Shale bedrock encountered at a depth of 7 feet in EB-1.

3.2.1 Plasticity/Expansion Potential

We performed one Plasticity Index (PI) tests on a representative sample. Test results were used to evaluate expansion potential of surficial soils. The results of the surficial PI test indicated a PI of 24, indicating moderate expansion potential to wetting and drying cycles.

3.2.2 In-Situ Moisture Contents

Laboratory testing indicated that the in-situ moisture contents within the upper 7 feet of alluvial soils range from about 9% to 15 percent over the estimated laboratory optimum moisture.

3.3 GROUNDWATER

Groundwater was not encountered in any of our borings during drilling; however, the borings were not left open but were immediately backfilled when the boring was completed. As predominantly clays and bedrock were encountered, the borings were not left open long enough
for water to seep into the boring holes. Historic high groundwater is mapped at less than 10 feet by CGS on the eastern portion of the site and not mapped (underlain by bedrock) by CGS on the western portion of the site (CGS, Hayward Quadrangle, 2003). Based on monitoring well data in the site vicinity on Geotracker, shallow groundwater was encountered at depths on the order of 3 to 10 feet. We anticipate this may be perched groundwater due to the presence of shallow bedrock encountered in our borings. Based on our experience and CGS mapping, we estimate groundwater to be a couple feet above bedrock. Therefore, for our analysis we used a design groundwater depth of 5 feet.

Fluctuations in groundwater levels occur due to many factors including seasonal fluctuation, underground drainage patterns, regional fluctuations, and other factors.

SECTION 4: GEOLOGIC HAZARDS

4.1 FAULT RUPTURE

As discussed above several significant faults are located within 25 kilometers of the site. The site is not located within a State-designated Alquist Priolo Earthquake Fault Zone. As shown in Figure 3, no known surface expression of fault traces is thought to cross the site; therefore, fault rupture hazard is not a significant geologic hazard at the site.

4.2 ESTIMATED GROUND SHAKING

Moderate to severe (design-level) earthquakes can cause strong ground shaking, which is the case for most sites within the Bay Area. A peak ground acceleration (PGA) was estimated for analysis using a value equal to $F_{PGA} \times PGA$, as allowed in the 2016 edition of the California Building Code. For our liquefaction analysis we used a $PGA_{M}$ of 0.953g.

4.3 LIQUEFACTION POTENTIAL

The site is partially located within a State-designated Liquefaction Hazard Zone (CGS, Hayward Quadrangle, 2013). Our field and laboratory programs addressed this issue by testing and sampling potentially liquefiable layers depths of practical refusal and/or competent bedrock, performing visual classification on sampled materials, evaluating CPT data, and performing various tests to further classify soil properties.

4.3.1 Background

During strong seismic shaking, cyclically induced stresses can cause increased pore pressures within the soil matrix that can result in liquefaction triggering, soil softening due to shear stress loss, potentially significant ground deformation due to settlement within sandy liquefiable layers as pore pressures dissipate, and/or flow failures in sloping ground or where open faces are present (lateral spreading) (NCEER 1998). Limited field and laboratory data is available regarding ground deformation due to settlement; however, in clean sand layers settlement on the order of 2 to 4 percent of the liquefied layer thickness can occur. Soils most susceptible to
liquefaction are loose, non-cohesive soils that are saturated and are bedded with poor drainage, such as sand and silt layers bedded with a cohesive cap.

4.3.2 Analysis

As discussed in the “Subsurface” section above, several sand layers were encountered in our CPTs below the design groundwater depth of 5 feet. Following the liquefaction analysis framework in the 2008 monograph, *Soil Liquefaction During Earthquakes* (Idriss and Boulanger, 2008), incorporating updates in *CPT and SPT Based Liquefaction Triggering Procedures* (Boulanger and Idriss, 2014), and in accordance with CDMG Special Publication 117A guidelines (CDMG, 2008) for quantitative analysis, these layers were analyzed for liquefaction triggering and potential post-liquefaction settlement. These methods compare the ratio of the estimated cyclic shaking (Cyclic Stress Ratio - CSR) to the soil's estimated resistance to cyclic shaking (Cyclic Resistance Ratio - CRR), providing a factor of safety against liquefaction triggering. Factors of safety less than or equal to 1.3 are considered to be potentially liquefiable and capable of post-liquefaction re-consolidation (i.e. settlement).

The CSR for each layer quantifies the stresses anticipated to be generated due to a design-level seismic event, is based on the peak horizontal acceleration generated at the ground surface discussed in the “Estimated Ground Shaking” section above, and is corrected for overburden and stress reduction factors as discussed in the procedure developed by Seed and Idriss (1971) and updated in the 2008 Idriss and Boulanger monograph.

The soil’s CRR is estimated from the in-situ measurements from CPTs and laboratory testing on samples retrieved from our borings. SPT “N” values obtained from hollow-stem auger borings were not used in our analyses, as the “N” values obtained are less reliable in sands below ground water. The tip pressures are corrected for effective overburden stresses, taking into consideration both the ground water level at the time of exploration and the design ground water level, and stress reduction versus depth factors. The CPT method utilizes the soil behavior type index (Ic) to estimate the plasticity of the layers.

In estimating post-liquefaction settlement at the site, we have implemented a depth weighting factor proposed by Cetin (2009). Following evaluation of 49 high-quality, cyclically induced, ground settlement case histories from seven different earthquakes, Cetin proposed the use of a weighting factor based on the depth of layers. The weighting procedure was used to tune the surface observations at liquefaction sites to produce a better model fit with measured data. Aside from the better model fit it produced, the rationale behind the use of a depth weighting factor is based on the following: 1) upward seepage, triggering void ratio redistribution, and resulting in unfavorably higher void ratios for the shallower sublayers of soil layers; 2) reduced induced shear stresses and number of shear stress cycles transmitted to deeper soil layers due to initial liquefaction of surficial layers; and 3) possible arching effects due to nonliquefied soil layers. All these may significantly reduce the contribution of volumetric settlement of deeper soil layers to the overall ground surface settlement (Cetin, 2009).

The results of our CPT analyses (CPT-1 and CPT-2) are presented on Figures 4A and 4B of this report.
4.3.3 Summary

Our analyses indicate that several layers could potentially experience liquefaction triggering that could result in post-liquefaction total settlement at the ground surface ranging from less than ¼-inch to approximately ½-inch based on the Yoshimine (2006) method. As discussed in SP 117A, differential movement for level ground sites over deep soil sites will be up to about two-thirds of the total settlement between independent foundation elements. In our opinion, differential settlements are anticipated to be on the order of ⅓-inch or less between independent foundation elements.

4.3.4 Ground Rupture Potential

The methods used to estimate liquefaction settlements assume that there is a sufficient cap of non-liquefiable material to prevent ground rupture or sand boils. For ground rupture to occur, the pore water pressure within the liquefiable soil layer will need to be great enough to break through the overlying non-liquefiable layer, which could cause significant ground deformation and settlement. The work of Youd and Garris (1995) indicates that the 5- to 6-foot thick layer of non-liquefiable cap is sufficient to prevent ground rupture; therefore, the above total settlement estimates are reasonable.

4.4 LATERAL SPREADING

Lateral spreading is horizontal/lateral ground movement of relatively flat-lying soil deposits towards a free face such as an excavation, channel, or open body of water; typically lateral spreading is associated with liquefaction of one or more subsurface layers near the bottom of the exposed slope. As failure tends to propagate as block failures, it is difficult to analyze and estimate where the first tension crack will form.

The potential for liquefaction is low and there are no open faces within a distance considered susceptible to lateral spreading; therefore, in our opinion, the potential for lateral spreading to affect the site is low.

4.5 SEISMIC SETTLEMENT/UNSATURATED SAND SHAKING

Loose unsaturated sandy soils can settle during strong seismic shaking. As the soils encountered at the site were predominantly stiff to very stiff clays and moderately hard shale bedrock, in our opinion, the potential for significant differential seismic settlement affecting the proposed improvements is low.

4.6 TSUNAMI/SEICHE

The terms tsunami or seiche are described as ocean waves or similar waves usually created by undersea fault movement or by a coastal or submerged landslide. Tsunamis may be generated at great distance from shore (far field events) or nearby (near field events). Waves are formed, as the displaced water moves to regain equilibrium, and radiates across the open water, similar to ripples from a rock being thrown into a pond. When the waveform reaches the coastline, it
quickly raises the water level, with water velocities as high as 15 to 20 knots. The water mass, as well as vessels, vehicles, or other objects in its path create tremendous forces as they impact coastal structures.

Tsunamis have affected the coastline along the Pacific Northwest during historic times. The Fort Point tide gauge in San Francisco recorded approximately 21 tsunamis between 1854 and 1964. The 1964 Alaska earthquake generated a recorded wave height of 7.4 feet and drowned eleven people in Crescent City, California. For the case of a far-field event, the Bay area would have hours of warning; for a near field event, there may be only a few minutes of warning, if any.

A tsunami or seiche originating in the Pacific Ocean would lose much of its energy passing through San Francisco Bay. Based on the study of tsunami inundation potential for the San Francisco Bay Area (Ritter and Dupre, 1972), areas most likely to be inundated are marshlands, tidal flats, and former bay margin lands that are now artificially filled, but are still at or below sea level, and are generally within 1½ miles of the shoreline. The site is approximately 4 miles inland from the San Francisco Bay shoreline and is approximately 179 to 182 feet above mean sea level. Therefore, the potential for inundation due to tsunami or seiche is considered low.

4.7 FLOODING

Based on our internet search of the Federal Emergency Management Agency (FEMA) flood map public database, the site is located within Zone X described as “Areas determined to be outside the 0.2% annual chance floodplain.” We recommend the project civil engineer be retained to confirm this information and verify the base flood elevation, if appropriate.

SECTION 5: CONCLUSIONS

5.1 SUMMARY

From a geotechnical viewpoint, the project is feasible provided the concerns listed below are addressed in the project design. Descriptions of each concern with brief outlines of our recommendations follow the listed concerns.

- Presence of moderately expansive soils
- Presence of undocumented fill

5.1.2 Expansive Soils

Moderately expansive surficial soils generally blanket the site. Expansive soils can undergo significant volume change with changes in moisture content. They shrink and harden when dried and expand and soften when wetted. If structures are underlain by expansive soils it is important that foundation systems be capable of tolerating or resisting any potentially damaging soil movements. In addition, it is important to limit moisture changes in the surficial soils by using positive drainage away from buildings as well as limiting landscaping watering. Grading
and foundation recommendations addressing this concern are presented in the following sections.

5.1.3 Presence of Undocumented Fill

As discussed above, undocumented fill to a depth of approximately 4½ feet below existing ground surface was encountered in our exploratory boring EB-1. We recommend all fill be completely removed from within the building areas. Please refer to section 6.3 below for additional recommendations.

5.2 PLANS AND SPECIFICATIONS REVIEW

We recommend that we be retained to review the geotechnical aspects of the project structural, civil, and landscape plans and specifications, allowing sufficient time to provide the design team with any comments prior to issuing the plans for construction.

5.3 CONSTRUCTION OBSERVATION AND TESTING

As site conditions may vary significantly between the small-diameter borings performed during this investigation, we also recommend that a Cornerstone representative be present to provide geotechnical observation and testing during earthwork and foundation construction. This will allow us to form an opinion and prepare a letter at the end of construction regarding contractor compliance with project plans and specifications, and with the recommendations in our report. We will also be allowed to evaluate any conditions differing from those encountered during our investigation, and provide supplemental recommendations as necessary. For these reasons, the recommendations in this report are contingent of Cornerstone providing observation and testing during construction. Contractors should provide at least a 48-hour notice when scheduling our field personnel.

SECTION 6: EARTHWORK

6.1 SITE DEMOLITION

All existing improvements not to be reused for the current development, including all foundations, flatwork, pavements, utilities, and other improvements should be demolished and removed from the site. Recommendations in this section apply to the removal of these improvements, which are currently present on the site, prior to the start of mass grading or the construction of new improvements for the project. Cornerstone should be notified prior to the start of demolition, and should be present on at least a part-time basis during all backfill and mass grading as a result of demolition. Occasionally, other types of buried structures (wells, cisterns, debris pits, etc.) can be found on sites with prior development. If encountered, Cornerstone should be contacted to address these types of structures on a case-by-case basis.
6.1.1 Demolition of Existing Slabs, Foundations and Pavements

All slabs, foundations, and pavements should be completely removed from within planned building areas.

As an owner value-engineered option, existing slabs, foundations, and pavements that extend into planned flatwork, pavement, or landscape areas may be left in place provided there is at least 3 feet of engineered fill overlying the remaining materials, they are shown not to conflict with new utilities, and that asphalt and concrete more than 10 feet square is broken up to allow subsurface drainage. Future distress and/or higher maintenance may result from leaving these prior improvements in place. A discussion of recycling existing improvements is provided later in this report.

Special care should be taken during the demolition and removal of existing floor slabs, foundations, utilities and pavements to minimize disturbance of the subgrade. Excessive disturbance of the subgrade, which includes either native or previously placed engineered fill, resulting from demolition activities can have serious detrimental effects on planned foundation and paving elements.

Existing foundations are typically mat-slabs, shallow footings, or piers/piles. If slab or shallow footings are encountered, they should be completely removed. If drilled piers are encountered, they should be cut off at an elevation at least 60-inches below proposed footings or the final subgrade elevation, whichever is deeper. The remainder of the drilled pier could remain in place. Foundation elements to remain in place should be surveyed and superimposed on the proposed development plans to determine the potential for conflicts or detrimental impacts to the planned construction. Following review, additional mitigation or planned foundation elements may need to be modified.

6.1.2 Abandonment of Existing Utilities

All utilities should be completely removed from within planned building areas. For any utility line to be considered acceptable to remain within building areas, the utility line must be completely backfilled with grout or sand-cement slurry (sand slurry is not acceptable), the ends outside the building area capped with concrete, and the trench fills either removed and replaced as engineered fill with the trench side slopes flattened to at least 1:1, or the trench fills are determined not to be a risk to the structure. The assessment of the level of risk posed by the particular utility line will determine whether the utility may be abandoned in place or needs to be completely removed. The contractor should assume that all utilities will be removed from within building areas unless provided written confirmation from both the owner and the geotechnical engineer.

Utilities extending beyond the building area may be abandoned in place provided the ends are plugged with concrete, they do not conflict with planned improvements, and that the trench fills do not pose significant risk to the planned surface improvements.
The risk for owners associated with abandoning utilities in place include the potential for future differential settlement of existing trench fills, and/or partial collapse and potential ground loss into utility lines that are not completely filled with grout.

6.2 SITE CLEARING AND PREPARATION

6.2.1 Site Stripping

The site should be stripped of all surface vegetation, and surface and subsurface improvements to be removed within the proposed development area. Demolition of existing improvements is discussed in the prior paragraphs. A detailed discussion of removal of existing fills is provided later in this report. Surface vegetation and topsoil should be stripped to a sufficient depth to remove all material greater than 3 percent organic content by weight. Based on our site observations, surficial stripping should extend about 3 inches below existing grade in vegetated areas.

6.2.2 Tree and Shrub Removal

Trees and shrubs designated for removal should have the root balls and any roots greater than ½-inch diameter removed completely. Mature trees are estimated to have root balls extending to depths of 2 to 4 feet, depending on the tree size. Significant root zones are anticipated to extend to the diameter of the tree canopy. Grade depressions resulting from root ball removal should be cleaned of loose material and backfilled in accordance with the recommendations in the “Compaction” section of this report.

6.3 REMOVAL OF EXISTING FILLS

As discussed above, up to approximately 4½ feet of undocumented fill was encountered in boring EB-1. All fills should be completely removed from within building areas and to a lateral distance of at least 5 feet beyond the building footprint or to a lateral distance equal to fill depth below the perimeter footing, whichever is greater. Provided the fills meet the “Material for Fill” requirements below, the fills may be reused when backfilling the excavations. Based on review of the samples collected from our borings, it appears that the fill may be reused. If materials are encountered that do not meet the requirements, such as debris, wood, trash, those materials should be screened out of the remaining material and be removed from the site. Backfill of excavations should be placed in lifts and compacted in accordance with the “Compaction” section below.

Fills extending into planned pavement and flatwork areas may be left in place provided they are determined to be a low risk for future differential settlement and that the upper 12 to 18 inches of fill below pavement subgrade is re-worked and compacted as discussed in the “Compaction” section below. In our opinion, the fills encountered at this site are acceptable to be left in place.
6.4 TEMPORARY CUT AND FILL SLOPES

The contractor is responsible for maintaining all temporary slopes and providing temporary shoring where required. Temporary shoring, bracing, and cuts/fills should be performed in accordance with the strictest government safety standards. On a preliminary basis, the upper 10 feet at the site may be classified as OSHA Soil Type B or C materials. A Cornerstone representative should be retained to confirm the preliminary site classification.

Excavations extending more than 5 feet below building subgrade and excavations in pavement and flatwork areas should be sloped in accordance with the OSHA soil classification.

6.5 SUBGRADE PREPARATION

After site clearing and demolition is complete, and prior to backfilling any excavations resulting from fill removal or demolition, the excavation subgrade and subgrade within areas to receive additional site fills, slabs-on-grade and/or pavements should be scarified to a depth of 6 inches, moisture conditioned, and compacted in accordance with the “Compaction” section below.

6.6 SUBGRADE STABILIZATION MEASURES

Soil subgrade and fill materials, especially soils with high fines contents such as clays and silty soils, can become unstable due to high moisture content, whether from high in-situ moisture contents or from winter rains. As the moisture content increases over the laboratory optimum, it becomes more likely the materials will be subject to softening and yielding (pumping) from construction loading or become unworkable during placement and compaction.

There are several methods to address potential unstable soil conditions and facilitate fill placement and trench backfill. Some of the methods are briefly discussed below. Implementation of the appropriate stabilization measures should be evaluated on a case-by-case basis according to the project construction goals and the particular site conditions.

6.6.1 Scarification and Drying

The subgrade may be scarified to a depth of 6 to 12 inches and allowed to dry to near optimum conditions, if sufficient dry weather is anticipated to allow sufficient drying. More than one round of scarification may be needed to break up the soil clods.

6.6.2 Removal and Replacement

As an alternative to scarification, the contractor may choose to over-excavate the unstable soils and replace them with dry on-site or import materials. A Cornerstone representative should be present to provide recommendations regarding the appropriate depth of over-excavation, whether a geosynthethic (stabilization fabric or geogrid) is recommended, and what materials are recommended for backfill.
6.6.3 Chemical Treatment

Where the unstable area exceeds about 5,000 to 10,000 square feet and/or site winterization is desired, chemical treatment with quicklime (CaO), kiln-dust, or cement may be more cost-effective than removal and replacement. Recommended chemical treatment depths will typically range from 12 to 18 inches depending on the magnitude of the instability.

6.7 MATERIAL FOR FILL

6.7.1 Re-Use of On-site Soils

On-site soils with an organic content less than 3 percent by weight may be reused as general fill. General fill should not have lumps, clods or cobble pieces larger than 6 inches in diameter; 85 percent of the fill should be smaller than 2½ inches in diameter. Minor amounts of oversize material (smaller than 12 inches in diameter) may be allowed provided the oversized pieces are not allowed to nest together and the compaction method will allow for loosely placed lifts not exceeding 12 inches.

6.7.2 Re-Use of On-Site Site Improvements

If desired to reuse the asphalt concrete grindings as part of general site fill, the grindings should be thoroughly mixed with on-site soil resulting in a mixture or less than 40 percent grindings by weight. The resulting mixture should also meet the “Material for Fill” requirements in this report. Due to the potential for slight petroleum odors penetrating into habitable building areas, fill containing asphalt concrete should not be used within 2 feet of the bottom of the capillary break layer or select granular fill layer. At least 2 feet of clean, cohesive soil should cap fill containing asphalt concrete.

6.7.3 Potential Import Sources

Imported and non-expansive material should be inorganic with a Plasticity Index (PI) of 15 or less, and not contain recycled asphalt concrete where it will be used within the proposed building areas. To prevent significant caving during trenching or foundation construction, imported material should have sufficient fines. Samples of potential import sources should be delivered to our office at least 10 days prior to the desired import start date. Information regarding the import source should be provided, such as any site geotechnical reports. If the material will be derived from an excavation rather than a stockpile, potholes will likely be required to collect samples from throughout the depth of the planned cut that will be imported. At a minimum, laboratory testing will include PI tests. Material data sheets for select fill materials (Class 2 aggregate base, ¾-inch crushed rock, quarry fines, etc.) listing current laboratory testing data (not older than 6 months from the import date) may be provided for our review without providing a sample. If current data is not available, specification testing will need to be completed prior to approval.

Environmental and soil corrosion characterization should also be considered by the project team prior to acceptance. Suitable environmental laboratory data to the planned import quantity...
should be provided to the project environmental consultant; additional laboratory testing may be required based on the project environmental consultant's review. The potential import source should also not be more corrosive than the on-site soils, based on pH, saturated resistivity, and soluble sulfate and chloride testing.

6.7.4 Non-Expansive Fill Using Lime Treatment

As discussed above, non-expansive fill should have a Plasticity Index (PI) of 15 or less. Due to the high clay content and PI of the on-site soil materials, it is not likely that sufficient quantities of non-expansive fill would be generated from cut materials. As an alternative to importing non-expansive fill, chemical treatment can be considered to create non-expansive fill. If this option is considered, additional laboratory tests should be performed during initial site grading to further evaluate the optimum percentage of quicklime required.

6.8 COMPACtion REQUIREMENTS

All fills, and subgrade areas where fill, slabs-on-grade, and pavements are planned, should be placed in loose lifts 8 inches thick or less and compacted in accordance with ASTM D1557 (latest version) requirements as shown in the table below. In general, clayey soils should be compacted with sheepsfoot equipment and sandy/gravelly soils with vibratory equipment; open-graded materials such as crushed rock should be placed in lifts no thicker than 18 inches consolidated in place with vibratory equipment. Each lift of fill and all subgrade should be firm and unyielding under construction equipment loading in addition to meeting the compaction requirements to be approved. The contractor (with input from a Cornerstone representative) should evaluate the in-situ moisture conditions, as the use of vibratory equipment on soils with high moistures can cause unstable conditions. General recommendations for soil stabilization are provided in the “Subgrade Stabilization Measures” section of this report. Where the soil’s PI is 20 or greater, the expansive soil criteria should be used.
### Table 2: Compaction Requirements

<table>
<thead>
<tr>
<th>Description</th>
<th>Material Description</th>
<th>Minimum Relative Compaction (percent)</th>
<th>Moisture Content (percent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Fill (within upper 5 feet)</td>
<td>On-Site Expansive Soils</td>
<td>87 – 92</td>
<td>&gt;3</td>
</tr>
<tr>
<td></td>
<td>Low Expansion Soils</td>
<td>90</td>
<td>&gt;1</td>
</tr>
<tr>
<td>General Fill (below a depth of 5 feet)</td>
<td>On-Site Expansive Soils</td>
<td>95</td>
<td>&gt;3</td>
</tr>
<tr>
<td></td>
<td>Low Expansion Soils</td>
<td>95</td>
<td>&gt;1</td>
</tr>
<tr>
<td>Trench Backfill</td>
<td>On-Site Expansive Soils</td>
<td>87 – 92</td>
<td>&gt;3</td>
</tr>
<tr>
<td>Trench Backfill</td>
<td>Low Expansion Soils</td>
<td>90</td>
<td>&gt;1</td>
</tr>
<tr>
<td>Trench Backfill (upper 6 inches of subgrade)</td>
<td>On-Site Low Expansion Soils</td>
<td>95</td>
<td>&gt;1</td>
</tr>
<tr>
<td>Crushed Rock Fill</td>
<td>¾-inch Clean Crushed Rock</td>
<td>Consolidate In-Place</td>
<td>NA</td>
</tr>
<tr>
<td>Non-Expansive Fill</td>
<td>Imported Non-Expansive Fill</td>
<td>90</td>
<td>Optimum</td>
</tr>
<tr>
<td>Flatwork Subgrade</td>
<td>On-Site Expansive Soils</td>
<td>87 - 92</td>
<td>&gt;3</td>
</tr>
<tr>
<td>Flatwork Subgrade</td>
<td>Low Expansion Soils</td>
<td>90</td>
<td>&gt;1</td>
</tr>
<tr>
<td>Flatwork Aggregate Base</td>
<td>Class 2 Aggregate Base(^3)</td>
<td>90</td>
<td>Optimum</td>
</tr>
<tr>
<td>Pavement Subgrade</td>
<td>On-Site Expansive Soils</td>
<td>87 - 92</td>
<td>&gt;3</td>
</tr>
<tr>
<td>Pavement Subgrade</td>
<td>Low Expansion Soils</td>
<td>95</td>
<td>&gt;1</td>
</tr>
<tr>
<td>Pavement Aggregate Base</td>
<td>Class 2 Aggregate Base(^3)</td>
<td>95</td>
<td>Optimum</td>
</tr>
<tr>
<td>Asphalt Concrete</td>
<td>Asphalt Concrete</td>
<td>95 (Marshall)</td>
<td>NA</td>
</tr>
</tbody>
</table>

1 – Relative compaction based on maximum density determined by ASTM D1557 (latest version)
2 – Moisture content based on optimum moisture content determined by ASTM D1557 (latest version)
3 – Class 2 aggregate base shall conform to Caltrans Standard Specifications, latest edition, except that the relative compaction should be determined by ASTM D1557 (latest version)

### 6.8.1 Construction Moisture Conditioning

Expansive soils can undergo significant volume change when dried then wetted. The contractor should keep all exposed expansive soil subgrade (and also trench excavation side walls) moist until protected by overlying improvements (or trenches are backfilled). If expansive soils are allowed to dry out significantly, re-moisture conditioning may require several days of re-wetting (flooding is not recommended), or deep scarification, moisture conditioning, and re-compaction.

### 6.9 TRENCH BACKFILL

Utility lines constructed within public right-of-way should be trenched, bedded and shaded, and backfilled in accordance with the local or governing jurisdictional requirements. Utility lines in private improvement areas should be constructed in accordance with the following requirements unless superseded by other governing requirements.
All utility lines should be bedded and shaded to at least 6 inches over the top of the lines with crushed rock (⅜-inch-diameter or greater) or well-graded sand and gravel materials conforming to the pipe manufacturer’s requirements. Open-graded shading materials should be consolidated in place with vibratory equipment and well-graded materials should be compacted to at least 90 percent relative compaction with vibratory equipment prior to placing subsequent backfill materials.

General backfill over shading materials may consist of on-site native materials provided they meet the requirements in the “Material for Fill” section, and are moisture conditioned and compacted in accordance with the requirements in the “Compaction” section.

Where utility lines will cross perpendicular to strip footings, the footing should be deepened to encase the utility line, providing sleeves or flexible cushions to protect the pipes from anticipated foundation settlement, or the utility lines should be backfilled to the bottom of footing with sand-cement slurry or lean concrete. Where utility lines will parallel footings and will extend below the “foundation plane of influence,” an imaginary 1:1 plane projected down from the bottom edge of the footing, either the footing will need to be deepened so that the pipe is above the foundation plane of influence or the utility trench will need to be backfilled with sand-cement slurry or lean concrete within the influence zone. Sand-cement slurry used within foundation influence zones should have a minimum compressive strength of 75 psi.

On expansive soils sites it is desirable to reduce the potential for water migration into building and pavement areas through the granular shading materials. We recommend that a plug of low-permeability clay soil, sand-cement slurry, or lean concrete be placed within trenches just outside where the trenches pass into building and pavement areas.

6.10 SITE DRAINAGE

6.10.1 Surface Drainage

Ponding should not be allowed adjacent to building foundations, slabs-on-grade, or pavements. Hardscape surfaces should slope at least 2 percent towards suitable discharge facilities; landscape areas should slope at least 3 percent towards suitable discharge facilities. Roof runoff should be directed away from building areas in closed conduits, to approved infiltration facilities, or on to hardscaped surfaces that drain to suitable facilities. Retention, detention or infiltration facilities should be spaced at least 10 feet from buildings, and preferably at least 5 feet from slabs-on-grade or pavements. However, if retention, detention or infiltration facilities are located within these zones, we recommend that these treatment facilities meet the requirements in the Storm Water Treatment Design Considerations section of this report.

6.11 LOW-IMPACT DEVELOPMENT (LID) IMPROVEMENTS

The Municipal Regional Permit (MRP) requires regulated projects to treat 100 percent of the amount of runoff identified in Provision C.3.d from a regulated project’s drainage area with low impact development (LID) treatment measures onsite or at a joint stormwater treatment facility. LID treatment measures are defined as rainwater harvesting and use, infiltration,
evapotranspiration, or biotreatment. A biotreatment system may only be used if it is infeasible to implement harvesting and use, infiltration, or evapotranspiration at a project site.

Technical infeasibility of infiltration may result from site conditions that restrict the operability of infiltration measures and devices. Various factors affecting the feasibility of infiltration treatment may create an environmental risk, structural stability risk, or physically restrict infiltration. The presence of any of these limiting factors may render infiltration technically infeasible for a proposed project. To aid in determining if infiltration may be feasible at the site, we provide the following site information regarding factors that may aid in determining the feasibility of infiltration facilities at the site.

- The near-surface soils at the site are clayey, and categorized as Hydrologic Soil Group D, and is expected to have infiltration rates of less than 0.2 inches per hour. In our opinion, these clayey soils will significantly limit the infiltration of stormwater.

- Locally, seasonal high groundwater is mapped at a depth of less than 10 feet, and therefore is expected to be within 10 feet of the base of the infiltration measure.

- The site is not known, to our knowledge, to have pollutants with the potential for mobilization as a result of stormwater infiltration.

- In our opinion, infiltration locations within 10 feet of the buildings would create a geotechnical hazard.

- Infiltration devices should be located at least 100 feet away from septic tanks and underground storage tanks with hazardous materials, as well as any other potential underground sources of pollution.

- Infiltration measures, devices, or facilities may conflict with the location of existing or proposed underground utilities or easements. Infiltration measures, devices, or facilities should not be placed on top of or very near to underground utilities such that they discharge to the utility trench, restrict access, or cause stability concerns.

- Local Water District policies or guidelines may limit locations where infiltration may occur, require greater separation from seasonal high groundwater, or require greater setbacks from potential sources of pollution.

6.13.1 Storm Water Treatment Design Considerations

If storm water treatment improvements, such as shallow bio-retention swales, basins or pervious pavements, are required as part of the site improvements to satisfy Storm Water Quality (C.3) requirements, we recommend the following items be considered for design and construction.
6.13.1.1 GENERAL BIOSWALE DESIGN GUIDELINES

- If possible, avoid placing bioswales or basins within 10 feet of the building perimeter or within 5 feet of exterior flatwork or pavements. If bioswales must be constructed within these setbacks, the side(s) and bottom of the trench excavation should be lined with 10-mil visqueen to reduce water infiltration into the surrounding expansive clay.

- Bioswales constructed within 3 feet of proposed buildings may be within the foundation zone of influence for perimeter wall loads. Therefore, where bioswales will parallel foundations and will extend below the “foundation plane of influence,” an imaginary 1:1 plane projected down from the bottom edge of the foundation, the foundation will need to be deepened so that the bottom edge of the bioswale filter material is above the foundation plane of influence.

- The bottom of bioswale or detention areas should include a perforated drain placed at a low point, such as a shallow trench or sloped bottom, to reduce water infiltration into the surrounding soils near structural improvements, and to address the low infiltration capacity of the on-site clay soils.

6.13.1.2 BIOSWALE INFILTRATION MATERIAL

- Gradation specifications for bioswale filter material, if required, should be specified on the grading and improvement plans.

- Compaction requirements for bioswale filter material in non-landscaped areas or in pervious pavement areas, if any, should be indicated on the plans and specifications to satisfy the anticipated use of the infiltration area.

- If required, infiltration (percolation) testing should be performed on representative samples of potential bioswale materials prior to construction to check for general conformance with the specified infiltration rates.

- It should be noted that multiple laboratory tests may be required to evaluate the properties of the bioswale materials, including percolation, landscape suitability and possibly environmental analytical testing depending on the source of the material. We recommend that the landscape architect provide input on the required landscape suitability tests if bioswales are to be planted.

- If bioswales are to be vegetated, the landscape architect should select planting materials that do not reduce or inhibit the water infiltration rate, such as covering the bioswale with grass sod containing a clayey soil base.

- If required by governing agencies, field infiltration testing should be specified on the grading and improvement plans. The appropriate infiltration test method, duration and frequency of testing should be specified in accordance with local requirements.
Due to the relatively loose consistency and/or high organic content of many bioswale filter materials, long-term settlement of the bioswale medium should be anticipated. To reduce initial volume loss, bioswale filter material should be wetted in 12 inch lifts during placement to pre-consolidate the material. Mechanical compaction should not be allowed, unless specified on the grading and improvement plans, since this could significantly decrease the infiltration rate of the bioswale materials.

It should be noted that the volume of bioswale filter material may decrease over time depending on the organic content of the material. Additional filter material may need to be added to bioswales after the initial exposure to winter rains and periodically over the life of the bioswale areas, as needed.

6.13.1.3 BIOSWALE CONSTRUCTION ADJACENT TO PAVEMENTS

If bio-infiltration swales or basins are considered adjacent to proposed parking lots or exterior flatwork, we recommend that mitigative measures be considered in the design and construction of these facilities to reduce potential impacts to flatwork or pavements. Exterior flatwork, concrete curbs, and pavements located directly adjacent to bio-swales may be susceptible to settlement or lateral movement, depending on the configuration of the bioswale and the setback between the improvements and edge of the swale. To reduce the potential for distress to these improvements due to vertical or lateral movement, the following options should be considered by the project civil engineer:

- Improvements should be setback from the vertical edge of a bioswale such that there is at least 1 foot of horizontal distance between the edge of improvements and the top edge of the bioswale excavation for every 1 foot of vertical bioswale depth, or
- Concrete curbs for pavements, or lateral restraint for exterior flatwork, located directly adjacent to a vertical bioswale cut should be designed to resist lateral earth pressures in accordance with the recommendations in the “Retaining Walls” section of this report, or concrete curbs or edge restraint should be adequately keyed into the native soil or engineered to reduce the potential for rotation or lateral movement of the curbs.

6.15 LANDSCAPE CONSIDERATIONS

Since the near-surface soils are moderately expansive, we recommend greatly reducing the amount of surface water infiltrating these soils near foundations and exterior slabs-on-grade. This can typically be achieved by:

- Using drip irrigation
- Avoiding open planting within 3 feet of the building perimeter or near the top of existing slopes
- Regulating the amount of water distributed to lawns or planter areas by using irrigation timers
- Selecting landscaping that requires little or no watering, especially near foundations.

We recommend that the landscape architect consider these items when developing landscaping plans.

SECTION 7: SOIL AND GROUNDWATER INFILTRATION

7.1 FIELD TESTING

To estimate the infiltration rates of the soils, we performed one in-situ field infiltration test using a Guelph permeameter by SoilMoisture Equipment Corp., Model #2800, in general accordance with ASTM D5126. Generally, the Guelph permeameter is a constant head device, which uses two water-filled chambers to measure infiltration rate in a shallow borehole. A constant head level is established in the borehole and the rate of water outflow into the surrounding soil is noted. The rate of flow when it reaches a steady state, or constant rate, is used to determine an approximate infiltration rate for that location and depth.

The approximate location of the field infiltration test (P-1) is shown on Figure 2. The infiltration test was performed at an approximate depth of 10 feet below existing site grades. The test results are summarized in Table 3.

Table 3: In-Situ Field Guelph Permeameter Test Results

<table>
<thead>
<tr>
<th>Location</th>
<th>Depth Below Existing Grade (ft)</th>
<th>Infiltration Rate (in/hr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>P-1</td>
<td>10</td>
<td>$4.46 \times 10^{-3}$</td>
</tr>
</tbody>
</table>

7.1.1 Reliability of Field Test Data

Test results may not be truly indicative of the long-term, in-situ infiltration. Other factors including stratifications, heterogeneous deposits, overburden stress, disturbance, organic content, depth to ground water, and other factors can influence test results. In addition, for stratified soils such as those encountered at the site, the average horizontal infiltration is typically greater than the average vertical infiltration.

7.2 FINDINGS AND RECOMMENDATIONS

Based on our findings, the soils at the location tested and at a depth of about 10 feet below existing grades has an infiltration rate of about $4.46 \times 10^{-3}$ inch per hour. Based on our test results, the in-situ field test indicated generally a very low infiltration rate at the depth and location tested.

We recommend the above estimate be confirmed in the field at the time of construction, if required. In addition, the project civil engineer should review the above information and provide additional recommendations as deemed necessary.
7.2.1 General Comments and Design Considerations

As discussed, the test was performed at a discrete location and depth. In addition, some disturbance in preparing the test also can occur. Therefore, the above results can vary significantly and may not be representative over the entire site. Localized areas/depths with higher or lower permeable materials can increase or decrease the actual infiltration rates. Therefore, we can recommend the potential for variations be considered when evaluating the soil infiltration capacity or performance.

SECTION 8: FOUNDATIONS

8.1 SUMMARY OF RECOMMENDATIONS

In our opinion, the proposed structures may be supported on shallow foundations provided the recommendations in the “Earthwork” section and the sections below are followed.

8.2 SEISMIC DESIGN CRITERIA

We understand that the project structural design will be based on the 2016 California Building Code (CBC), which provides criteria for the seismic design of buildings in Chapter 16. The “Seismic Coefficients” used to design buildings are established based on a series of tables and figures addressing different site factors, including the soil profile in the upper 100 feet below grade and mapped spectral acceleration parameters based on distance to the controlling seismic source/fault system. Based on our borings and review of local geology, the site is underlain by deep alluvial soils and moderately hard bedrock with typical SPT “N” values between 15 and 50 blows per foot for the alluvial soils, and typical SPT “N” values greater than 50 blows per foot. In addition, shear wave velocity measurements performed at CPT-1 and CPT-2 to depths of 23 and 25.4 feet, respectively, or drilling refusal, resulted in an average shear wave velocity of 861 to 920 feet per second (or 263 to 281 meters per second). The shear wave velocity measurements were only performed to a maximum depth of 25.4 feet due to practical drilling refusal; therefore, we anticipate the average shear wave velocities for the upper 100 feet to be higher. Therefore, we have classified the site as Soil Classification C. The mapped spectral acceleration parameters $S_S$ and $S_T$ were calculated using the ASCE 7 web-based program ASCE 7 Hazard Tool, located at http://asce7hazardtool.online, 2017-2018, based on the site coordinates presented below and the site classification. The table below lists the various factors used to determine the seismic coefficients and other parameters.
Table 4: CBC Site Categorization and Site Coefficients

<table>
<thead>
<tr>
<th>Classification/Coefficient</th>
<th>Design Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site Class</td>
<td>C</td>
</tr>
<tr>
<td>Site Latitude</td>
<td>37.69304°</td>
</tr>
<tr>
<td>Site Longitude</td>
<td>-122.09073°</td>
</tr>
<tr>
<td>0.2-second Period Mapped Spectral Acceleration $^1$, $S_S$</td>
<td>2.464g</td>
</tr>
<tr>
<td>1-second Period Mapped Spectral Acceleration $^1$, $S_1$</td>
<td>1.025g</td>
</tr>
<tr>
<td>Short-Period Site Coefficient – $F_a$</td>
<td>1.0</td>
</tr>
<tr>
<td>Long-Period Site Coefficient – $F_v$</td>
<td>1.3</td>
</tr>
<tr>
<td>0.2-second Period, Maximum Considered Earthquake Spectral Response Acceleration Adjusted for Site Effects - $S_{MSS}$</td>
<td>2.464g</td>
</tr>
<tr>
<td>1-second Period, Maximum Considered Earthquake Spectral Response Acceleration Adjusted for Site Effects – $S_{M1}$</td>
<td>1.333g</td>
</tr>
<tr>
<td>0.2-second Period, Design Earthquake Spectral Response Acceleration – $S_{DS}$</td>
<td>1.643g</td>
</tr>
<tr>
<td>1-second Period, Design Earthquake Spectral Response Acceleration – $S_{D1}$</td>
<td>0.888g</td>
</tr>
</tbody>
</table>

$^1$For Site Class B, 5 percent damped.

8.3 SHALLOW FOUNDATIONS

8.3.1 Spread Footings

Spread footings should bear on natural, undisturbed soil or engineered fill, be at least 18 inches wide, and extend at least 18 inches below the lowest adjacent grade. Lowest adjacent grade is defined as the deeper of the following: 1) bottom of the adjacent interior slab-on-grade, or 2) finished exterior grade, excluding landscaping topsoil. The deeper footing embedment is due to the presence of moderately expansive soils, and is intended to embed the footing below the zone of significant seasonal moisture fluctuation, reducing the potential for differential movement.

Footings constructed to the above dimensions and in accordance with the “Earthwork” recommendations of this report are capable of supporting maximum allowable bearing pressures of 2,000 psf for dead loads, 3,000 psf for combined dead plus live loads, and 4,000 psf for all loads including wind and seismic. These pressures are based on factors of safety of 3.0, 2.0, and 1.5 applied to the ultimate bearing pressure for dead, dead plus live, and all loads, respectively. These pressures are net values; the weight of the footing may be neglected for the portion of the footing extending below grade (typically, the full footing depth). Top and bottom mats of reinforcing steel should be included in continuous footings to help span irregularities and differential settlement.
8.3.2 Footing Settlement

Structural loads were not provided to us at the time this report was prepared; therefore, we assumed the typical loading in the following table.

**Table 5: Assumed Structural Loading**

<table>
<thead>
<tr>
<th>Foundation Area</th>
<th>Range of Assumed Loads</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interior Isolated Column Footing</td>
<td>250 to 300 kips</td>
</tr>
<tr>
<td>Exterior Isolated Column Footing</td>
<td>75 to 150 kips</td>
</tr>
<tr>
<td>Perimeter Strip Footing</td>
<td>2 to 4 kips per lineal foot</td>
</tr>
</tbody>
</table>

Based on the above loading and the allowable bearing pressures presented above, we estimate that the total static footing settlement will be on the order of ½-inch or less, with about ¼-inch or less of post-construction differential settlement between adjacent foundation elements. In addition we estimate that differential seismic movement will be on the order of ½-inch or less between adjacent foundation elements, resulting in a total estimated differential footing movement of ⅓-inch or less between foundation elements, assumed to be on the order of 30 feet. As our footing loads were assumed, we recommend we be retained to review the final footing layout and loading, and verify the settlement estimates above. Our settlement analysis presented above assumes footings will bear on relatively uniform, native alluvial soils; if shallow bedrock is encountered in bottom of footing excavations during construction, we should be consulted to provided revised settlement analysis as necessary.

8.3.3 Lateral Loading

Lateral loads may be resisted by friction between the bottom of footing and the supporting subgrade, and also by passive pressures generated against footing sidewalls. An ultimate frictional resistance of 0.40 applied to the footing dead load, and an ultimate passive pressure based on an equivalent fluid pressure of 450 pcf may be used in design. The structural engineer should apply an appropriate factor of safety (such as 1.5) to the ultimate values above. Where footings are adjacent to landscape areas without hardscape, the upper 12 inches of soil should be neglected when determining passive pressure capacity.

8.3.4 Spread Footing Construction Considerations

Where utility lines will cross perpendicular to strip footings, the footing should be deepened to encase the utility line, providing sleeves or flexible cushions to protect the pipes from anticipated foundation settlement, or the utility lines should be backfilled to the bottom of footing with sand-cement slurry or lean concrete. Where utility lines will parallel footings and will extend below the “foundation plane of influence,” an imaginary 1:1 plane projected down from the bottom edge of the footing, either the footing will need to be deepened so that the pipe is above the foundation plane of influence or the utility trench will need to be backfilled with sand-cement slurry or lean concrete within the influence zone. Sand-cement slurry used within foundation influence zones should have a minimum compressive strength of 75 psi.
Footing excavations should be filled as soon as possible or be kept moist until concrete placement by regular sprinkling to prevent desiccation. A Cornerstone representative should observe all footing excavations prior to placing reinforcing steel and concrete. If there is a significant schedule delay between our initial observation and concrete placement, we may need to re-observe the excavations.

SECTION 9: CONCRETE SLABS AND PEDESTRIAN PAVEMENTS

9.1 INTERIOR SLABS-ON-GRADE

As the Plasticity Index (PI) of the surficial soils ranges up to 24, the proposed slabs-on-grade should be supported on at least 12 inches of non-expansive fill (NEF) to reduce the potential for slab damage due to soil heave. The NEF layer should be constructed over subgrade prepared in accordance with the recommendations in the “Earthwork” section of this report. If moisture-sensitive floor coverings are planned, the recommendations in the “Interior Slabs Moisture Protection Considerations” section below may be incorporated in the project design if desired. If significant time elapses between initial subgrade preparation and slab-on-grade NEF construction, the subgrade should be proof-rolled to confirm subgrade stability, and if the soil has been allowed to dry out, the subgrade should be re-moisture conditioned to at least 3 percent over the optimum moisture content.

The structural engineer should determine the appropriate slab reinforcement for the loading requirements and considering the expansion potential of the underlying soils. For unreinforced concrete slabs, ACI 302.1R recommends limiting control joint spacing to 24 to 36 times the slab thickness in each direction, or a maximum of 18 feet.

9.2 INTERIOR SLABS MOISTURE PROTECTION CONSIDERATIONS

The following general guidelines for concrete slab-on-grade construction where floor coverings are planned are presented for the consideration by the developer, design team, and contractor. These guidelines are based on information obtained from a variety of sources, including the American Concrete Institute (ACI) and are intended to reduce the potential for moisture-related problems causing floor covering failures, and may be supplemented as necessary based on project-specific requirements. The application of these guidelines or not will not affect the geotechnical aspects of the slab-on-grade performance.

- Place a minimum 10-mil vapor retarder conforming to ASTM E 1745, Class C requirements or better directly below the concrete slab; the vapor retarder should extend to the slab edges and be sealed at all seams and penetrations in accordance with manufacturer’s recommendations and ASTM E 1643 requirements. A 4-inch-thick capillary break, consisting of crushed rock should be placed below the vapor retarder and consolidated in place with vibratory equipment. The mineral aggregate shall be of such size that the percentage composition by dry weight as determined by laboratory sieves will conform to the following gradation:
<table>
<thead>
<tr>
<th>Sieve Size</th>
<th>Percentage Passing Sieve</th>
</tr>
</thead>
<tbody>
<tr>
<td>1&quot;</td>
<td>100</td>
</tr>
<tr>
<td>¾&quot;</td>
<td>90 – 100</td>
</tr>
<tr>
<td>No. 4</td>
<td>0 - 10</td>
</tr>
</tbody>
</table>

The capillary break rock may be considered as the upper 4 inches of the non-expansive fill previously recommended.

- The concrete water:cement ratio should be 0.45 or less. Mid-range plasticizers may be used to increase concrete workability and facilitate pumping and placement.
- Water should not be added after initial batching unless the slump is less than specified and/or the resulting water:cement ratio will not exceed 0.45.
- Polishing the concrete surface with metal trowels is not recommended.
- Where floor coverings are planned, all concrete surfaces should be properly cured.
- Water vapor emission levels and concrete pH should be determined in accordance with ASTM F1869-98 and F710-98 requirements and evaluated against the floor covering manufacturer’s requirements prior to installation.

### 9.3 EXTERIOR FLATWORK

#### 9.3.1 Pedestrian Concrete Flatwork

Exterior concrete flatwork subject to pedestrian and/or occasional light pick up loading should be at least 4 inches thick and supported 6 inches of non-expansive fill overlying subgrade prepared in accordance with the “Earthwork” recommendations of this report. the upper 4 inches of NEF section should also meet Class 2 aggregate base specifications. Flatwork that will be subject to heavier or frequent vehicular loading should be designed in accordance with the recommendations in the “Vehicular Pavements” section below. To help reduce the potential for uncontrolled shrinkage cracking, adequate expansion and control joints should be included. Consideration should be given to limiting the control joint spacing to a maximum of about 2 feet in each direction for each inch of concrete thickness. Flatwork should be isolated from adjacent foundations or retaining walls except where limited sections of structural slabs are included to help span irregularities in retaining wall backfill at the transitions between at-grade and on-structure flatwork.

### SECTION 10: VEHICULAR PAVEMENTS

#### 10.1 ASPHALT CONCRETE

The following asphalt concrete pavement recommendations tabulated below are based on the Procedure 608 of the Caltrans Highway Design Manual, estimated traffic indices for various pavement-loading conditions, and on a design R-value of 5. The design R-value was chosen
based on the results of the laboratory testing performed on a surficial sample collected from the proposed pavement area and engineering judgment considering the variable surface conditions.

Table 6: Asphalt Concrete Pavement Recommendations, Design R-value = 5

<table>
<thead>
<tr>
<th>Design Traffic Index (TI)</th>
<th>Asphalt Concrete (inches)</th>
<th>Class 2 Aggregate Base* (inches)</th>
<th>Total Pavement Section Thickness (inches)</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.0</td>
<td>2.5</td>
<td>7.5</td>
<td>10.0</td>
</tr>
<tr>
<td>4.5</td>
<td>2.5</td>
<td>9.5</td>
<td>12.0</td>
</tr>
<tr>
<td>5.0</td>
<td>3.0</td>
<td>10.0</td>
<td>13.0</td>
</tr>
<tr>
<td>5.5</td>
<td>3.0</td>
<td>12.0</td>
<td>15.0</td>
</tr>
<tr>
<td>6.0</td>
<td>3.5</td>
<td>12.5</td>
<td>16.0</td>
</tr>
<tr>
<td>6.5</td>
<td>4.0</td>
<td>14.0</td>
<td>18.0</td>
</tr>
</tbody>
</table>

*Caltrans Class 2 aggregate base; minimum R-value of 78

Frequently, the full asphalt concrete section is not constructed prior to construction traffic loading. This can result in significant loss of asphalt concrete layer life, rutting, or other pavement failures. To improve the pavement life and reduce the potential for pavement distress through construction, we recommend the full design asphalt concrete section be constructed prior to construction traffic loading. Alternatively, a higher traffic index may be chosen for the areas where construction traffic will be use the pavements.

Asphalt concrete pavements constructed on expansive subgrade where the adjacent areas will not be irrigated for several months after the pavements are constructed may experience longitudinal cracking parallel to the pavement edge. These cracks typically form within a few feet of the pavement edge and are due to seasonal wetting and drying of the adjacent soil. The cracking may also occur during construction where the adjacent grade is allowed to significantly dry during the summer, pulling moisture out of the pavement subgrade. Any cracks that form should be sealed with bituminous sealant prior to the start of winter rains. One alternative to reduce the potential for this type of cracking is to install a moisture barrier at least 24 inches deep behind the pavement curb.

10.2 PORTLAND CEMENT CONCRETE

The exterior Portland Cement Concrete (PCC) pavement recommendations tabulated below are based on methods presented in the Portland Cement Association (PCA) design manual (PCA, 1984). Recommendations for garage slabs-on-grade were provided in the “Concrete Slabs and Pedestrian Pavements” section above. We have provided a few pavement alternatives as an anticipated Average Daily Truck Traffic (ADTT) was not provided. An allowable ADTT should be chosen that is greater than what is expected for the development.
Table 7: PCC Pavement Recommendations, Design R-value = 5

<table>
<thead>
<tr>
<th>Allowable ADTT</th>
<th>Minimum PCC Thickness (inches)</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>5½</td>
</tr>
<tr>
<td>130</td>
<td>6</td>
</tr>
</tbody>
</table>

The PCC thicknesses above are based on a concrete compressive strength of at least 3,500 psi, supporting the PCC on at least 6 inches of Class 2 aggregate base compacted as recommended in the “Earthwork” section, and laterally restraining the PCC with curbs or concrete shoulders. Adequate expansion and control joints should be included. Consideration should be given to limiting the control joint spacing to a maximum of about 2 feet in each direction for each inch of concrete thickness. Due to the expansive surficial soils present, we recommend that the construction and expansion joints be dowelled.

10.2.1 Stress Pads for Trash Enclosures

Pads where trash containers will be stored, and where garbage trucks will park while emptying trash containers, should be constructed on Portland Cement Concrete. We recommend that the trash enclosure pads and stress (landing) pads where garbage trucks will store, pick up, and empty trash be increased to a minimum PCC thickness of 7 inches. The compressive strength, underlayment, and construction details should be consistent with the above recommendations for PCC pavements.

10.3 PAVEMENT CUTOFF

Surface water penetration into the pavement section can significantly reduce the pavement life, due to the native expansive clays. While quantifying the life reduction is difficult, a normal 20-year pavement design could be reduce to less than 10 years; therefore, increased long-term maintenance may be required.

It would be beneficial to include a pavement cut-off, such as deepened curbs, redwood-headers, or “Deep-Root Moisture Barriers” that are keyed at least 4 inches into the pavement subgrade. This will help limit the additional long-term maintenance.

SECTION 11: RETAINING WALLS

11.1 STATIC LATERAL EARTH PRESSURES

The structural design of any site retaining wall should include resistance to lateral earth pressures that develop from the soil behind the wall, any undrained water pressure, and surcharge loads acting behind the wall. Provided a drainage system is constructed behind the wall to prevent the build-up of hydrostatic pressures as discussed in the section below, we recommend that the walls with level backfill be designed for the following pressures:
Table 8: Recommended Lateral Earth Pressures

<table>
<thead>
<tr>
<th>Wall Condition</th>
<th>Lateral Earth Pressure*</th>
<th>Additional Surcharge Loads</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unrestrained – Cantilever Wall</td>
<td>45 pcf</td>
<td>⅓ of vertical loads at top of wall</td>
</tr>
<tr>
<td>Restrained – Braced Wall</td>
<td>45 pcf + 8H** psf</td>
<td>⅓ of vertical loads at top of wall</td>
</tr>
</tbody>
</table>

* Lateral earth pressures are based on an equivalent fluid pressure for level backfill conditions
** H is the distance in feet between the bottom of footing and top of retained soil

If adequate drainage cannot be provided behind the wall, an additional equivalent fluid pressure of 40 pcf should be added to the values above for both restrained and unrestrained walls for the portion of the wall that will not have drainage. Damp proofing or waterproofing of the walls may be considered where moisture penetration and/or efflorescence are not desired.

11.2 SEISMIC LATERAL EARTH PRESSURES

11.2.1 Site Walls

The 2016 CBC states that lateral pressures from earthquakes should be considered in the design of basements and retaining walls. At this time, we are not aware of any retaining walls for the project. However, minor landscaping walls (i.e. walls 6 feet or less in height) may be proposed. In our opinion, design of these walls for seismic lateral earth pressures in addition to static earth pressures is not warranted.

11.3 WALL DRAINAGE

11.3.1 At-Grade Site Walls

Adequate drainage should be provided by a subdrain system behind all walls. This system should consist of a 4-inch minimum diameter perforated pipe placed near the base of the wall (perforations placed downward). The pipe should be bedded and backfilled with Class 2 Permeable Material per Caltrans Standard Specifications, latest edition. The permeable backfill should extend at least 12 inches out from the wall and to within 2 feet of outside finished grade. Alternatively, ½-inch to ¾-inch crushed rock may be used in place of the Class 2 Permeable Material provided the crushed rock and pipe are enclosed in filter fabric, such as Mirafi 140N or approved equivalent. The upper 2 feet of wall backfill should consist of compacted on-site soil. The subdrain outlet should be connected to a free-draining outlet or sump.

Miradrain, Geotech Drainage Panels, or equivalent drainage matting can be used for wall drainage as an alternative to the Class 2 Permeable Material or drain rock backfill. Horizontal strip drains connecting to the vertical drainage matting may be used in lieu of the perforated pipe and crushed rock section. The vertical drainage panel should be connected to the perforated pipe or horizontal drainage strip at the base of the wall, or to some other closed or through-wall system such as the TotalDrain system from AmerDrain. Sections of horizontal drainage strips should be connected with either the manufacturer’s connector pieces or by pulling back the filter fabric, overlapping the panel dimples, and replacing the filter fabric over
the connection. At corners, a corner guard, corner connection insert, or a section of crushed rock covered with filter fabric must be used to maintain the drainage path.

Drainage panels should terminate 18 to 24 inches from final exterior grade. The Miradrain panel filter fabric should be extended over the top of and behind the panel to protect it from intrusion of the adjacent soil.

11.4 BACKFILL

Where surface improvements will be located over the retaining wall backfill, backfill placed behind the walls should be compacted to at least 95 percent relative compaction using light compaction equipment. Where no surface improvements are planned, backfill should be compacted to at least 90 percent. If heavy compaction equipment is used, the walls should be temporarily braced.

11.5 FOUNDATIONS

Retaining walls may be supported on a continuous spread footing designed in accordance with the recommendations presented in the “Foundations” section of this report.

SECTION 12: LIMITATIONS

This report, an instrument of professional service, has been prepared for the sole use of Meridian Property Ventures, LLC specifically to support the design of the Castro Valley Medical Office Building project in Castro Valley, California. The opinions, conclusions, and recommendations presented in this report have been formulated in accordance with accepted geotechnical engineering practices that exist in Northern California at the time this report was prepared. No warranty, expressed or implied, is made or should be inferred.

Recommendations in this report are based upon the soil and ground water conditions encountered during our subsurface exploration. If variations or unsuitable conditions are encountered during construction, Cornerstone must be contacted to provide supplemental recommendations, as needed.

Meridian Property Ventures, LLC may have provided Cornerstone with plans, reports and other documents prepared by others. Meridian Property Company understands that Cornerstone reviewed and relied on the information presented in these documents and cannot be responsible for their accuracy.

Cornerstone prepared this report with the understanding that it is the responsibility of the owner or his representatives to see that the recommendations contained in this report are presented to other members of the design team and incorporated into the project plans and specifications, and that appropriate actions are taken to implement the geotechnical recommendations during construction.
Conclusions and recommendations presented in this report are valid as of the present time for the development as currently planned. Changes in the condition of the property or adjacent properties may occur with the passage of time, whether by natural processes or the acts of other persons. In addition, changes in applicable or appropriate standards may occur through legislation or the broadening of knowledge. Therefore, the conclusions and recommendations presented in this report may be invalidated, wholly or in part, by changes beyond Cornerstone’s control. This report should be reviewed by Cornerstone after a period of three (3) years has elapsed from the date of this report. In addition, if the current project design is changed, then Cornerstone must review the proposed changes and provide supplemental recommendations, as needed.

An electronic transmission of this report may also have been issued. While Cornerstone has taken precautions to produce a complete and secure electronic transmission, please check the electronic transmission against the hard copy version for conformity.

Recommendations provided in this report are based on the assumption that Cornerstone will be retained to provide observation and testing services during construction to confirm that conditions are similar to that assumed for design, and to form an opinion as to whether the work has been performed in accordance with the project plans and specifications. If we are not retained for these services, Cornerstone cannot assume any responsibility for any potential claims that may arise during or after construction as a result of misuse or misinterpretation of Cornerstone’s report by others. Furthermore, Cornerstone will cease to be the Geotechnical-Engineer-of-Record if we are not retained for these services.

SECTION 13: REFERENCES


American Society of Civil Engineers (ASCE), 2010, ASCE 7 Hazard Tool: http://asce7hazardtool.online.

Boulanger, R.W. and Idriss, I.M., 2004, Evaluating the Potential for Liquefaction or Cyclic Failure of Silts and Clays, Department of Civil & Environmental Engineering, College of Engineering, University of California at Davis.

Boulanger, R.W. and Idriss, I.M., 2014, CPT and SPT Based Liquefaction Triggering Procedures, Department of Civil & Environmental Engineering, College of Engineering, University of California at Davis, Report No. UCD/GCM-14/01, April 2014


Federal Emergency Management Administration (FEMA), 1989, FIRM County of Alameda, California, Community Panel #0600010279G.


MEDICAL OFFICE BLDG.
FOOTPRINT
12,500 SF
2-STORIES
TOTAL: 25,000 SF

Approximate Site Boundary

Legend
- Approximate location of exploratory boring (EB)
- Approximate location of cone penetration test (CPT)
- Approximate location of percolation test (P)

Foothill Boulevard
Castro Valley Boulevard

Base by Google Earth, dated 4/2/2018
Overlay by Ware Malcomb, Site Plan - Page 2, dated 8/21/2018

Approximate location of exploratory boring (EB)
Approximate location of cone penetration test (CPT)
Approximate location of percolation test (P)
SITE

Displacement during historic time (e.g. San Andreas fault 1906).

Includes areas of known fault creep.

Displacement during Holocene time.

Fault offsets seafloor sediments or strata of Holocene age.

Faults showing evidence of displacement during late Quaternary time.

Fault cuts strata of Late Pleistocene age.

Undivided Quaternary faults - most faults in this category show evidence of displacement during the last 1,600,000 years; possible exceptions are faults which displace rocks of undifferentiated Pliocene-Pleistocene age.

Fault cuts strata of Quaternary age.

Faults without recognized Quaternary displacement or showing evidence of no displacement during Quaternary time. Not necessarily inactive.

Fault cuts strata of Pliocene or older age.
**PROJECT/CPT DATA**

<table>
<thead>
<tr>
<th>Project Title</th>
<th>Castro Valley MOB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project No.</td>
<td>534-17-1</td>
</tr>
<tr>
<td>Project Manager</td>
<td>MFR</td>
</tr>
</tbody>
</table>

**SEISMIC PARAMETERS**

- **Controlling Fault**: Hayward
- **Earthquake Magnitude (Mw)**: 7.1
- **PGA (Amax)**: 0.953 (g)

**SITE SPECIFIC PARAMETERS**

- **Ground Water Depth at Time of Drilling (feet)**: 16.2
- **Design Water Depth (feet)**: 5
- **Ave. Unit Weight Above GW (pcf)**: 125
- **Ave. Unit Weight Below GW (pcf)**: 120

**CPT ANALYSIS RESULTS**

- **Dry Sand Settlement from 5 feet**: 0.01 inches
- **Liquefaction Settlement from 50 feet**: 0.23 inches
- **Total Seismic Settlement**: 0.2 inches

**POTENTIAL LATERAL DISPLACEMENT**

- **LDI**: 0.13
- **L/H**: 160.0

**EXPECTED RANGE OF DISPLACEMENT**

<table>
<thead>
<tr>
<th>LDI&lt;sub&gt;LDI&lt;/sub&gt; corrected for Distance</th>
<th>(4 &lt; L/H &lt; 40)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.01</td>
<td>0.0 to 0.0 feet</td>
</tr>
</tbody>
</table>

Not valid for L/H Values < 4 and > 40.

LDI<sub>LDI</sub> Values only summed to 2H below grade.
PROJECT/CPT DATA

Project Title: Castro Valley MOB
Project No.: 534-17-1
Project Manager: MFR

SEISMIC PARAMETERS

Controlling Fault: Hayward
Earthquake Magnitude (Mw): 7.1
PGA (Amax): 0.953 (g)

SITE SPECIFIC PARAMETERS

Ground Water Depth at Time of Drilling (feet): 25
Design Water Depth (feet): 5
Ave. Unit Weight Above GW (pcf): 125
Ave. Unit Weight Below GW (pcf): 120

CPT ANALYSIS RESULTS

DRY SAND SETTLEMENT FROM 5 FEET
0.01 (Inches)

LIQUEFACTION SETTLEMENT FROM 50 FEET
0.45 (Inches)

TOTAL SEISMIC SETTLEMENT 0.5 INCHES

POTENTIAL LATERAL DISPLACEMENT

LDI: 0.24
L/H: 160.0

LDI corrected for Distance: 0.02 (4 < L/H < 40)

EXPECTED RANGE OF DISPLACEMENT

0.0 to 0.5 feet

Notes:
Not Valid for L/H Values < 4 and > 40.
LDI Values Only Summed to 2H Below Grade.
APPENDIX A: FIELD INVESTIGATION

The field investigation consisted of a surface reconnaissance and a subsurface exploration program using track-mounted, hollow-stem auger drilling equipment and 20-ton truck-mounted Cone Penetration Test equipment. One 6½-inch diameter exploratory boring was drilled on December 21, 2019 to a depth of 25 feet and one ¾-inch diameter exploratory boring was hand-augered on December 21, 2019 to a depth of 5 feet. Two CPT soundings were also performed in accordance with ASTM D 5778-95 (revised, 2002) on December 20, 2019, to depths ranging from 23½ to 26 feet, or drilling refusal. The approximate locations of exploratory borings and CPTs are shown on the Site Plan, Figure 2. The soils encountered were continuously logged in the field by our representative and described in accordance with the Unified Soil Classification System (ASTM D2488). Boring logs, as well as a key to the classification of the soil and bedrock, are included as part of this appendix.

Boring and CPT locations were approximated using existing site boundaries, and other site features as references. Boring and CPT elevations were not determined. The locations of the borings and CPTs should be considered accurate only to the degree implied by the method used.

Representative soil samples were obtained from the borings at selected depths. All samples were returned to our laboratory for evaluation and appropriate testing. The standard penetration resistance blow counts were obtained by dropping a 140-pound hammer through a 30-inch free fall. The 2-inch O.D. split-spoon sampler was driven 18 inches and the number of blows was recorded for each 6 inches of penetration (ASTM D1586). 2.5-inch I.D. samples were obtained using a Modified California Sampler driven into the soil with the 140-pound hammer previously described. Unless otherwise indicated, the blows per foot recorded on the boring log represent the accumulated number of blows required to drive the last 12 inches. The various samplers are denoted at the appropriate depth on the boring logs.

The CPT involved advancing an instrumented cone-tipped probe into the ground while simultaneously recording the resistance at the cone tip (qc) and along the friction sleeve (fs) at approximately 5-centimeter intervals. Based on the tip resistance and tip to sleeve ratio (Rt), the CPT classified the soil behavior type and estimated engineering properties of the soil, such as equivalent Standard Penetration Test (SPT) blow count, internal friction angle within sand layers, and undrained shear strength in silts and clays. A pressure transducer behind the tip of the CPT cone measured pore water pressure (uw). Graphical logs of the CPT data is included as part of this appendix.

Field tests included an evaluation of the unconfined compressive strength of the soil samples using a pocket penetrometer device. The results of these tests are presented on the individual boring logs at the appropriate sample depths.

Attached boring and CPT logs and related information depict subsurface conditions at the locations indicated and on the date designated on the logs. Subsurface conditions at other locations may differ from conditions occurring at these boring and CPT locations. The passage of time may result in altered subsurface conditions due to environmental changes. In addition,
any stratification lines on the logs represent the approximate boundary between soil types and the transition may be gradual.
### Unified Soil Classification (ASTM D-2487-98)

<table>
<thead>
<tr>
<th>Material Types</th>
<th>Criteria for Assigning Soil Group Names</th>
<th>Group Symbol</th>
<th>Soil Group Names &amp; Legend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gravels &gt;50% of Coarse Fraction Retained on No. 4. Sieve</td>
<td>Clean Gravels &lt;5% Finest</td>
<td>Cu&gt;4 AND 1&gt;Cc&lt;3</td>
<td>GW</td>
</tr>
<tr>
<td>Gravels with Finest &gt;12% Finest</td>
<td>Finest Classify as ML or CL</td>
<td>GM</td>
<td>Silty gravel</td>
</tr>
<tr>
<td>Sands &gt;50% of Coarse Fraction Passes on No. 4. Sieve</td>
<td>Clean Sands &lt;5% Finest</td>
<td>Cu&gt;6 AND 1&gt;Cc&lt;3</td>
<td>SW</td>
</tr>
<tr>
<td>Sands and Finest &gt;12% Finest</td>
<td>Finest Classify as ML or CL</td>
<td>SM</td>
<td>Silty Sand</td>
</tr>
<tr>
<td>Silts and Clays Liquid Limit &lt;50</td>
<td>Inorganic</td>
<td>PI and PI plots &gt; &quot;A&quot; line</td>
<td>CL</td>
</tr>
<tr>
<td>Silts and Clays Liquid Limit &gt;50</td>
<td>Inorganic</td>
<td>PI plots &lt; &quot;A&quot; line</td>
<td>OL</td>
</tr>
<tr>
<td>Organic</td>
<td>LL (oven dried)/LL (not dried) &lt;0.75</td>
<td>CH</td>
<td>Fat Clay</td>
</tr>
<tr>
<td>Organic</td>
<td>LL (oven dried)/LL (not dried) &gt;0.75</td>
<td>MH</td>
<td>Elastic Silt</td>
</tr>
</tbody>
</table>

### Additional Tests

- **Consistency**
  - Very Loose: 0 - 4
  - Loose: 4 - 10
  - Medium Dense: 10 - 30
  - Dense: 30 - 50
  - Very Dense: Over 50

- **Swell Test**
  - Very Soft: 0 - 2
  - Soft: 2 - 4
  - Medium Stiff: 4 - 8
  - Stiff: 8 - 15
  - Very Stiff: 15 - 50
  - Hard: Over 50

- **Penetration Resistance** (Recorded as blows/foot)
  - Sand & Gravel
    - Relative Density
      - 0 - 4: Very Loose
      - 4 - 10: Loose
      - 10 - 30: Medium Dense
      - 30 - 50: Dense
      - Over 50: Very Dense
    - Consistency
      - 0 - 2: Very Soft
      - 2 - 4: Soft
      - 4 - 8: Medium Stiff
      - 8 - 15: Stiff
      - 15 - 50: Very Stiff
      - Over 30: Hard
  - Silt & Clay
    - Relative Density
      - 0 - 4: Very Loose
      - 4 - 10: Loose
      - 10 - 30: Medium Dense
      - 30 - 50: Dense
      - Over 50: Very Dense
    - Consistency
      - 0 - 2: Very Soft
      - 2 - 4: Soft
      - 4 - 8: Medium Stiff
      - 8 - 15: Stiff
      - 15 - 50: Very Stiff
      - Over 30: Hard

- **Values**
  - BLOWS/FOOT: Recorded as blows/foot
  - CONSISTENCY: BLOWS/FOOT
  - STRENGTH: (KSF)

- **Legend to Soil Descriptions**
  - Figure Number A-1

#### Other Material Symbols

- Poorly-Graded Sand with Clay
- Clayey Sand
- Sandy Silt
- Artificial/Undocumented Fill
- Poorly-Graded Gravely Sand
- Topsoil
- Well-Graded Gravel with Clay
- Well-Graded Gravel with Silt

#### Sampler Types

- Shelby Tube
- Modified California (2.5" I.D.)
- No Recovery
- Rock Core
- Grab Sample

#### Plasticity Chart

<table>
<thead>
<tr>
<th>LIQUID LIMIT (%)</th>
<th>PLASTICITY INDEX (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
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<tr>
<td>7</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>8</td>
</tr>
</tbody>
</table>

- **Values**
  - PENETRATION RESISTANCE (RECORDED AS BLOWS / FOOT)
  - WATER LEVEL

- **Penetration Resistance** (Recorded as blows/foot)
  - Sand & Gravel
    - Relative Density
      - 0 - 4: Very Loose
      - 4 - 10: Loose
      - 10 - 30: Medium Dense
      - 30 - 50: Dense
      - Over 50: Very Dense
    - Consistency
      - 0 - 2: Very Soft
      - 2 - 4: Soft
      - 4 - 8: Medium Stiff
      - 8 - 15: Stiff
      - 15 - 50: Very Stiff
      - Over 30: Hard
  - Silt & Clay
    - Relative Density
      - 0 - 4: Very Loose
      - 4 - 10: Loose
      - 10 - 30: Medium Dense
      - 30 - 50: Dense
      - Over 50: Very Dense
    - Consistency
      - 0 - 2: Very Soft
      - 2 - 4: Soft
      - 4 - 8: Medium Stiff
      - 8 - 15: Stiff
      - 15 - 50: Very Stiff
      - Over 30: Hard

#### Values

- NUMBER OF BLOWS OF 140 LB HAMMER FALLING 30 INCHES TO DRIVE A 2 INCH O.D. (1.38 INCH I.D.) SPLIT-BARREL SAMPLER THE LAST 12 INCHES OF AN 18-INCH DRIVE (ASTM-1586 STANDARD PENETRATION TEST).
- UNDRAINED SHEAR STRENGTH IN KIPS/FT² AS DETERMINED BY LABORATORY TESTING OR APPROXIMATED BY THE STANDARD PENETRATION TEST, POCKET PENETROMETER, TORKUNE, OR VISUAL OBSERVATION.
**PROJECT NAME**: Castro Valley M.O.B.

**PROJECT NUMBER**: 534-17-1

**PROJECT LOCATION**: John Drive, Castro Valley, CA

**GROUND ELEVATION**: ________

**BORING DEPTH**: 25 ft.

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**DESCRIPTION**

- **Portland cement concrete**: Sandy Lean Clay (CL) [Fill]
  - very stiff, moist, gray and brown mottled, fine to medium sand, low plasticity

- **Lean Clay with Sand (CL) [Fill]**
  - very stiff, moist, dark brown with brown mottles, fine sand, moderate plasticity
  - Liquid Limit = 46, Plastic Limit = 22

- **Lean Clay with Sand (CL)**
  - very stiff, moist, brown, fine to medium sand, moderate plasticity

- **Shale [Knoxville Formation - KJ]***
  - moderately hard, weak, moderate weathering, gray, moderate plasticity

---

**NOTES**

- This log is a part of a report by Cornerstone Earth Group, and should not be used as a stand-alone document. This description applies only to the location of the exploration at the time of drilling. Subsurface conditions may differ at other locations and may change at this location with time. The description presented is a simplification of actual conditions encountered. Transitions between soil types may be gradual.

**BORING NUMBER EB-1**

**DATE STARTED**: 12/21/18

**DATE COMPLETED**: 12/21/18

**DRILLING CONTRACTOR**: Cuesta Geo, Inc.

**DRILLING METHOD**: MPP Track Rig, 6½ inch Hollow-Stem Auger

**LOGGED BY**: RPM

---

**BORING DEPTH**: 25 ft.

---

**GROUND ELEVATION**: ________

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**NOTES**

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**BORING DEPTH**: 25 ft.

---

**GROUND ELEVATION**: ________

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**NOTES**

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---

**GROUND ELEVATION**: ________

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**NOTES**

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---

**GROUND ELEVATION**: ________

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**NOTES**

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---

**GROUND ELEVATION**: ________

**LATITUDE**: ________

**LONGITUDE**: ________

**GROUND WATER LEVELS**:

- \(\n\) AT TIME OF DRILLING: Not Encountered
- \(\n\) AT END OF DRILLING: Not Encountered

---

**NOTES**

- This log is a part of a report by Cornerstone Earth Group, and should not be used as a stand-alone document. This description applies only to the location of the exploration at the time of drilling. Subsurface conditions may differ at other locations and may change at this location with time. The description presented is a simplification of actual conditions encountered. Transitions between soil types may be gradual.
### Description

<table>
<thead>
<tr>
<th>Elevation (ft)</th>
<th>0</th>
<th>5</th>
</tr>
</thead>
</table>

#### 3 inches asphalt concrete over 4 inches aggregate base

**Lean Clay with Sand (CL)**
- Very stiff, moist, dark brown with brown mottles, fine sand, moderate plasticity

- Bottom of Boring at 5.0 feet.
The reported coordinates were acquired from consumer grade GPS equipment and are only approximate locations. The coordinates should not be used for design purposes.
Cornerstone

Job No: 18-56211  
Date: 12/20/2018 08:45  
Site: 20643 John Drive

Sounding: CPT-01  
Cone: 448:T1500F15U500  
Area=15 cm²

Trace Summary:
Filename: 18-56211_SP01.PPF  
Depth: 7.075 m / 23.212 ft  
Duration: 170.0 s  
U Min: -21.6 ft  
U Max: -20.4 ft
### SCPTu SHEAR WAVE VELOCITY TEST RESULTS - Vs

<table>
<thead>
<tr>
<th>Tip Depth (ft)</th>
<th>Geophone Depth (ft)</th>
<th>Ray Path (ft)</th>
<th>Ray Path Difference (ft)</th>
<th>Travel Time Interval (ms)</th>
<th>Interval Velocity (ft/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>23.23</td>
<td>23.03</td>
<td>23.04</td>
<td>23.04</td>
<td>17.30</td>
<td>1285</td>
</tr>
</tbody>
</table>
The reported coordinates were acquired from consumer grade GPS equipment and are only approximate locations. The coordinates should not be used for design purposes.

Cornerstone

Job No: 18-56179
Date: 2018-12-20 07:29
Site: 20643 John Drive

Sounding: CPT-02
Cone: 448:T1500F15U500

Max Depth: 7.925 m / 26.00 ft
Depth Inc: 0.025 m / 0.082 ft
Avg Int: Every Point

Equilibrium Pore Pressure (Ueq)
Assumed Ueq
Dissipation, Ueq achieved
Dissipation, Ueq not achieved
Hydrostatic Line

SBT: Robertson, 2009 and 2010
Coords: UTM 10N N: 4172113m E: 580172m E: 580172m
Sheet No: 1 of 1

File: 18-56211_SP02.COR
Unit Wt: SBTQtn (PKR2009)
Trace Summary:
Filename: 18-56211_SP02.PPF
Depth: 6.450 m / 21.161 ft
U Min: -16.5 ft
U Max: -12.7 ft
Duration: 285.0 s
<table>
<thead>
<tr>
<th>Tip Depth (ft)</th>
<th>Geophone Depth (ft)</th>
<th>Ray Path (ft)</th>
<th>Ray Path Difference (ft)</th>
<th>Travel Time Interval (ms)</th>
<th>Interval Velocity (ft/s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>26.02</td>
<td>25.36</td>
<td>25.45</td>
<td>25.45</td>
<td>23.56</td>
<td>1104</td>
</tr>
</tbody>
</table>
APPENDIX B: LABORATORY TEST PROGRAM

The laboratory testing program was performed to evaluate the physical and mechanical properties of the soils retrieved from the site to aid in verifying soil classification.

**Moisture Content:** The natural water content was determined (ASTM D2216) on five samples of the materials recovered from the borings. These water contents are recorded on the boring logs at the appropriate sample depths.

**Dry Densities:** In place dry density determinations (ASTM D2937) were performed on three samples to measure the unit weight of the subsurface soils. Results of these tests are shown on the boring logs at the appropriate sample depths.

**Plasticity Index:** One Plasticity Index determination (ASTM D4318) was performed on a sample of the subsurface soil to measure the range of water contents over which this material exhibits plasticity. The Plasticity Index was used to classify the soil in accordance with the Unified Soil Classification System and to evaluate the soil expansion potential. Results of this test are shown on the boring log at the appropriate sample depth.

**R-value:** An R-value resistance test (California Test Method No. 301) was performed on a representative sample of the surface soils at the site to provide data for the pavement design. The test indicated an R-value of less than 5 due false exudation pressure as a result of soil extruding from the mold. Lab testing results are attached.
Plasticity Index (ASTM D4318) Testing Summary

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Boring No.</th>
<th>Depth (ft)</th>
<th>Natural Water Content (%)</th>
<th>Liquid Limit (%)</th>
<th>Plastic Limit (%)</th>
<th>Plasticity Index</th>
<th>Passing No. 200 (%)</th>
<th>Group Name (USCS - ASTM D2487)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>EB-1</td>
<td>2.0</td>
<td>21</td>
<td>46</td>
<td>22</td>
<td>24</td>
<td>—</td>
<td>Lean Clay with Sand (CL) [Fill]</td>
</tr>
</tbody>
</table>

CL: Clay
ML: Montmorillonite
OL: Organic
CH: Chamosite
OH or MH: Organic or Montmorillonite
CL-ML: Clayey Montmorillonite
<table>
<thead>
<tr>
<th>Specimen Number</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>Remarks:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exudation Pressure, psi</td>
<td>404</td>
<td></td>
<td></td>
<td></td>
<td>Soil extruded from the mold giving a false exudation pressure. Per Caltrans, the R-value test was terminated and an R-value of less than 5 was reported.</td>
</tr>
<tr>
<td>Preaired Weight, grams</td>
<td>1200</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Final Water Added, grams/cc</td>
<td>80</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Weight of Soil &amp; Mold, grams</td>
<td>3100</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Weight of Mold, grams</td>
<td>2098</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Height After Compaction, in.</td>
<td>2.50</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moisture Content, %</td>
<td>22.7</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dry Density, pcf</td>
<td>99.0</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expansion Pressure, psf</td>
<td>73</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stabilometer @ 1000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stabilometer @ 2000</td>
<td>139</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Turns Displacement</td>
<td>3.22</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>R-value</td>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Graph:**
- **R-value**
- **Expansion Pressure, psf**

**Exudation Pressure, psi**

**Initial Moisture,** 15.0

**R-value** <5

**Client:** Cornerstone Earth Group

**Project:** 534-17-1

**Sample:** EB-2 Bulk

**Soil Type:** Dark Gray CLAY w/ Sand

**Job No.:** 640-1279

**Date:** 01/11/19

**Notes:**
- Per Caltrans, the R-value test was terminated and an R-value of less than 5 was reported.