



Request for Prequalification
of Design-Build Entities for:
**Alameda County
Facilities Needs Priority 1 Program**

DESIGN-BUILD PACKAGE #3

- Alameda County Health Facilities
 - AC Health, Behavioral Health Department

December 6, 2024

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Notice of Prequalification Requirement

NOTICE OF PREQUALIFICATION REQUIREMENT

In accordance with its **Notice of Request for Prequalification of Design-Build Entities**, the County of Alameda (“County”) hereby gives notice it is requesting qualifications for Design- Build services, and that the County has determined that all Design-Build Entities must be prequalified.

GENERAL DESCRIPTION OF THE PROJECT

The Work includes but is not limited to, the design, site preparation, and construction of projects identified in a 2018/2019 Facility Conditions Assessment (FCA) performed by Cannon Design, to remediate the deficiencies within the existing facilities owned by the County of Alameda.

Projects for Design-Build Bid Package #3 are:

Alameda County Health (ACH) Facilities
Behavioral Health Department (ACH-BHD)
Willow Rock: Building A (A1, A2, A3, and A4), B, C, and D. Villa Fairmont

The estimated project construction costs for Design-Build Package #3, including Design-Build Architect/Engineering Fees, for building, site work, and Owner Allowance(s) is approximately \$15,000,000.

The following link takes you to the FCA prepared by Cannon Design for the facilities listed above, describing the detailed scope of work. The final scope of work included in the RFP will include approximately 85% of the FCA items.

<https://swinerton.sharefile.com/d-sb49403f09cc44e5eb38450aee5f617fb>

The County has retained Swinerton Management & Consulting, for Program, Project, and Construction Management support.

The Design-Build Entity and each Design-Build Entity member and its sub-contractors of all tiers will be required to execute and sign the Letter of Assent agreeing to all provisions of the County’s standard Project Stabilization Community Benefits Agreement (PSCBA) adopted by the County of Alameda Board of Supervisors. The Design-Build Entity entering into a PSCBA is in lieu of the Design-Build Entity providing an enforceable commitment to the County that it and its sub-contractors at every tier will use a skilled and trained workforce to perform all work on the project as required by Public Contract Code section 22164(c).

COMPETITIVE SELECTION PROCESS

In accordance with Public Contract Code (PCC) sections 22160, 22161, 22164, and 22166, the County will select a Design-Build Entity to construct the Project through a public, two-step Best Value Selection process:

- **Step 1:** Prequalification of Design-Build Entities.
- **Step 2:** Proposal Phase during which the County will consider proposals submitted by the prequalified, shortlisted Design-Build Entities.

The County intends to prequalify the three (3) highest-ranked Design-Build Entities in Step 1. These Design-Build Entities will be allowed to participate in Step 2. The County intends to award the contract on a Best Value Basis.

PREQUALIFICATION REQUIREMENTS

It is mandatory that all Design-Build Entities complete the Prequalification Questionnaire, provide ALL materials requested herein, and be determined to be prequalified by the County to be on the RFP shortlist. No proposal will be accepted from a Design-Build Entity that has failed to comply with the requirements of the Prequalification Document.

Prequalification Questionnaires that fail to clearly present ALL of the requested information, present false or misleading information, or are not submitted in the format requested may be considered non-responsive and rejected on that basis.

While the Prequalification Questionnaire and required attachments are intended to assist the County in determining bidder responsibility before receipt of proposals, the County reserves the right to reference other sources available in its prequalification assessment of Design-Build Entities. The County's prequalification determinations will be based on objective evaluation criteria.

However, neither the fact of prequalification, nor any prequalification rating, will preclude the County from additional post-proposal consideration and determination of whether a submitter has demonstrated the requisite trustworthiness, quality, fitness, capacity, and experience to satisfactorily perform the proposed Project work.

DEFINITION OF WHAT COMPRISES A DESIGN-BUILD ENTITY

A Design-Build Entity is defined by Public Contract Code section 22161(d) as a corporation, limited liability company, partnership, joint venture, or other legal entity that can provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a

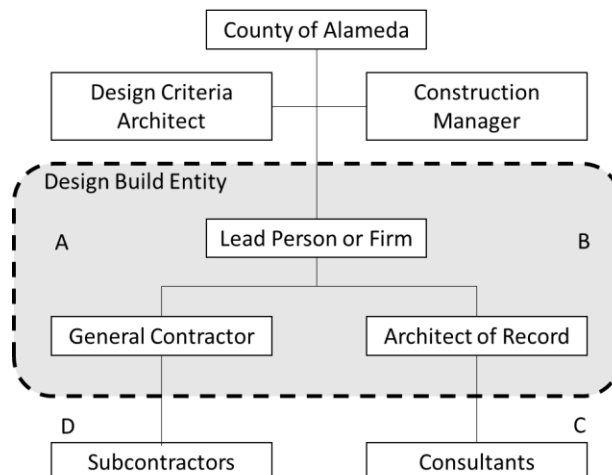
Design-Build contract.

For purposes of the Project prequalification, each Design-Build Entity must identify and provide information for certain Core Organizations. Complete information for these Core Organizations, listed immediately below, must be included in the Prequalification Questionnaire for the DBE to be prequalified. The Core Organizations that must be listed for Prequalification are:

- General Contractor (GC)
- Architect of Record (Architect)
- Mechanical/Plumbing Engineer
- Electrical Engineer
- Civil Engineer
- Fire/Life Safety Engineer

The Core Organizations identified in response to this Prequalification Document must be selected by each Design-Build Entity on qualifications alone. Pursuant to Public Contract Code section 22166, the services of other sub-contractors or sub-consultants who are not identified as core members must be procured in accordance with Public Contract Code section 4100 et seq. subsequent to the selection of the Design-Build Entity. *If the Design-Build Entity chooses to use a Core Organization with the capability of performing both design and construction services (e.g., a sub-contractor with a licensed engineer and design staff), then that member must successfully qualify within the Prequalification Questionnaire, and subsequently be submitted as a sub-contractor in the DBE's Proposal.*

The following diagram, as an example, illustrates the schematic composition of a Design-Build Entity and its sub-consultants and sub-contractors. There are three portions of the Questionnaire specifically tailored to the general responsibility of the particular Design-Build Entity member or organization. Subpart III. A applies to the General Contractor; subpart III.B applies to the Architect; and subpart III.C applies to core design Sub-Consultants.



IMPORTANT PROVISIONS OF THE PUBLIC CONTRACT CODE RELATED TO PREQUALIFICATION

The County intends to request competitive proposals for Design-Build services to construct the Project pursuant to California Public Contract Code section 22162 which authorizes the Design-Build method of procurement for public construction projects in excess of \$1.0 million. This Prequalification Document establishes the standardized prequalification procedure required by section 22164.

In accordance with the requirements described in Public Contract Code section 22164(b)(3) the County has prepared the attached Prequalification Questionnaire based on various model prequalification documents prepared pursuant to the California Department of Industrial Relations. Accordingly, the prequalification procedure described herein: (1) provides a standardized Prequalification Questionnaire, (2) adopts and applies a uniform system for rating submitters' objective criteria, and (3) creates an appeals procedure, by which a Design-Build Entity that is found to be not prequalified during the Prequalification Phase may dispute that determination.

Except for the Contact Information section (Subpart I.A) of the Prequalification Questionnaire, which will be considered a public record, completed Prequalification Questionnaires and all required attachments submitted by Design-Build Entities during the Prequalification Phase shall not be open to public inspection pursuant to Public Contract Code section 20101. The County will keep all such information confidential to the extent permitted by federal and state law. However, the County reserves the right to disclose submitted prequalification information selectively to third parties for the purpose of verification, investigation of substantial allegations, or in an appeal hearing.

Public Contract Code section 22162(c) requires the County to develop an organizational conflict-of-interest policy regarding the ability of a person or entity that performs services for the local agency relating to the solicitation of a design-build project to submit a proposal as a design-build entity, or to join a design-build team. County of Alameda Organizational Conflict of Interest Policy for Design-Build Construction Projects per Public Contract Code Section 22162 is included in Attachment 1 (Organizational Conflict-of-Interest Policy for Design-Build Projects).

The following entities are currently retained by the County or the County's consultants to assist in the development of the criteria documents and are precluded from being part of any Design- Build Entity team:

- Shah Kawasaki Architects
- BKF, Civil Engineer
- Interface Engineers, Electrical Engineer
- ECS Limited, Engineering Envelope Consulting
- Swinerton

COUNTY OF ALAMEDA RESERVATIONS

The County may refuse to grant prequalification where the requested information and materials are not provided, or not provided by the date specified above. There is no appeal from a refusal for an incomplete or late application. The deadline for RFQ responses will not be changed to accommodate the supplementation of incomplete submissions or late submissions.

The County reserves the right to accept prequalification applications despite minor irregularities and omissions in the information that would otherwise serve to disqualify a Design-Build Entity. The County reserves the right to make all final prequalification determinations and to determine at any time that the prequalification procedures will not be applied to the Project.

The County reserves the right to adjust, increase, limit, suspend, or rescind a Design-Build Entity's prequalification rating based on subsequently learned information. Design-Build Entities whose rating changes sufficient to disqualify them will be notified and given an opportunity for a hearing consistent with the hearing procedures described herein for appealing an initial prequalification determination.

The County may require Design-Build Entities that will be using Sub-Contractors who are not Core Organizations identified during prequalification to (1) submit the qualifications of certain Sub-Contractors as specified in the proposal documents, and (2) participate in post-bid qualification review. Compliance with such requirements, if imposed, will follow acceptance of a proposal, but will occur before an award is made.

REVIEW AND NOTIFICATION PROCESS

The completed Prequalification Questionnaire with required attachments must be submitted by the deadline specified below. Once received, the review and notification process will be as follows:

1. **Receipt:** The original Prequalification Questionnaire with required attachments will be stamped upon receipt with an official date and time of receipt.
2. **Completeness Review:** Each prequalification submission will be reviewed for completeness by County staff within SEVEN (7) calendar days from the deadline date. The Design-Build Entity will then be notified as to completeness by electronic mail. If the prequalification submission is not complete, the Design-Build entity will have FOUR (4) calendar days from such notification to add whatever information is needed to make its prequalification submission complete. If the prequalification submission is complete, it will proceed to Staff Review.
3. **Staff Review:** Complete the Prequalification Questionnaire with the required attachments will be reviewed by County staff within TWENTY-ONE (21) calendar days from the submission deadline date.
4. **Qualification Notification:** The Design-Build Entity will be notified of their qualification

ranking by electronic mail within THIRTY (30) calendar days from the submission deadline date.

If the Design-Build Entity is determined to be Prequalified for the Project, it will be eligible for proposing on the Project and will be notified of the opportunity to participate in the RFP process when the proposal documents are issued. If the Design-Build Entity is rated as not “Prequalified” for this Project, it may initiate an appeal process as described below.

APPEAL PROCESS

The following is provided in the event that the non-qualifying Design-Build Entity(s) wishes to protest the prequalification process or protest the recommendation to deny the prequalification.

Any prequalification protest must be submitted in writing by 5:00 p.m. on the SEVENTH (7th) calendar day following the date the County issues notice of non-qualification (not the date the Design-Builder receives such notification of non-qualification). The prequalification protest must be submitted to the office that has been designated for the review of protest for this procurement (the Protest Evaluator). For this procurement, the Protest Evaluator is:

GSA–Office of Acquisition Policy (OAP)
ATTN: Chief Deputy, Administration
OAP, General Services Agency.
1401 Lakeside Drive, 10th Floor, Oakland, CA 94612.
Email: GSA-BidProtests@acgov.org

A protest received after 5:00 p.m. is considered received as of the next calendar day. A protest received after 5:00 p.m. on the SEVENTH (7th) calendar day following the date of issuance of the notice of non-qualification will not be considered under any circumstances by the Protest Evaluator or their designee. The County will send an email acknowledging receipt of the protest; however, it is the responsibility of the protestor to confirm that the protest was timely received. All Design-Builder Entities will be notified if a protest is received.

The protest must contain a complete statement of the reasons and facts for the protest. The protest must refer to the specific portions of all documents that form the basis for the protest. The protest must include the company name, contact name, address, email address, and telephone number of the person submitting the protest on behalf of the protesting party.

The Protest Evaluator, or their designee, will review and evaluate the protest and issue a written decision. The Protest Evaluator may, at its discretion, do any of the following: investigate the protest, obtain additional information, provide an opportunity to settle the protest by mutual agreement, and schedule a meeting(s) with the protesting Design-Build Entity and others (as

appropriate) to discuss the protest. The decision on the protest must be finalized prior to the Board hearing.

Notification of the decision will be communicated by email and/or US Postal Service mail to the protester. Notification will be provided to all prequalified Design-Build Entities when a decision has been made on the protest and whether the recommendation to the Board of Supervisors in the Notification of Qualified Design-Build Entities will stand.

The decision on the non-qualification protest by the Protest Evaluator may be appealed to the Auditor-Controller's Office of Contract Compliance & Reporting (OCCR) located at 1221 Oak St., Room 249, Oakland, CA 94612, Email: OCCR@acgov.org, unless the OCCR determines that it has a conflict of interest in which case an alternate will be identified to hear the appeal and all steps to be taken by OCCR will be performed by the alternate. The non-qualifying Design-Build Entity whose qualifications are the subject of the protest, all pre-qualified Design-Build Entities affected by the Protest Evaluator's decision on the appeal, and the protester have the right to appeal if they feel the Protest Evaluator's decision is incorrect.

All appeals to the Auditor-Controller's OCCR must be in writing and submitted within SEVEN (7) calendar days following the issuance of the decision, not the date the decision is received by the non-qualified Design-Build Entity. An appeal received after 5:00 p.m. is considered received as of the next calendar day. An appeal received after 5:00 p.m. on the SEVENTH (7th) calendar day following the date of issuance of the decision by the Protest Evaluator will not be considered under any circumstances by the Auditor-Controller OCCR or their designee.

The appeal must specify the decision being appealed and all the facts and circumstances relied upon in support of the appeal. In reviewing protest appeals, the OCCR will not re-judge the proposal(s). The appeal to the OCCR must be limited to a review of the procurement process to determine if the contracting department materially erred in following the bid or, if applicable, County contracting policies or other laws and regulations. The appeal to the OCCR must be limited to the grounds raised in the original protest and the written decision by the Protest Evaluator. As such, a non-qualifying Design-Build Entity is prohibited from stating new grounds for a prequalifying protest in its appeal.

The Auditor's Office may overturn the results of a non-qualification protest process for ethical violations by Procurement staff, County Selection Committee members, subject matter experts, or any other County staff managing or participating in the competitive bid process, regardless of timing or the contents of a bid protest. The finding of the Auditor-Controller's OCCR is the final step of the protest process. A copy of the finding of the Auditor-Controller's OCCR will be furnished to the appellant.

The finding on the appeal will be issued before the list of Qualified Firms is approved by the Board of Supervisors.

The procedures and time limits set forth in this section are mandatory and are each prequalifying Design-Build Entity's sole and exclusive remedy in the event of a pre-qualification protest/appeal. A pre-qualifying Design-Build Entity's failure to timely complete the protest/appeal procedures will be deemed a failure to exhaust administrative remedies. Failure to exhaust administrative remedies, or failure to comply otherwise with these procedures, will constitute a waiver of any right to further pursue a pre-qualification protest/appeal, including filing a Government Code Claim or legal proceedings.

PRE-QUALIFICATION POINT OF CONTACT

Any questions regarding the Project and the Prequalification process should be directed to:

Liam Brown, Senior Project Manager
County of Alameda – General Services Agency
1401 Lakeside Drive, Suite 800
Oakland, CA 94612-4305
Tel (510) 208-9522
Email: Liam.Brown@acgov.org

All requests for clarifications shall be received by the County **no later than 5:00 PM January 8, 2025.**

RFQ ADDENDA

Should there be a need to issue clarifications to this RFQ, the County will issue an addendum. Clarification requests shall be via email to the point of contact for the County.

Please check the website below frequently for any addenda. Any addenda will be posted on the website below no later than three business days prior to the RFQ submission date. It is the responsibility of each firm to make itself aware of any addenda with or without further notice from the County.

Website: <https://gsa.acgov.org/do-business-with-us/contracting-opportunities/>

ANTICIPATED PROJECT SCHEDULE (ALL DATES ARE SUBJECT TO CHANGE)

Milestone	Date
Issue RFQ	December 6, 2024
Non-Mandatory RFQ Conference (virtual)	December 12, 2024. 11am – 12pm
Recommended Non-Mandatory Site Visits (Fairmont Campus)	December 17, 2024. 10-11am January 7, 2025. 10-11am
Final requests for clarifications	January 8, 2025. 5:00 pm
Submit Prequalification Questionnaire	January 17th, 2025, 3:00 pm
County Evaluation of Prequalification Questionnaire	January 2025
Notice to Shortlist	January 2025
Issue RFP to DBEs	February 2025
Confidential Meetings	March 2025
DBEs Submit Proposals	April 2025
County Reviews DBE Submittals	April 2025
Formal Interviews	April 2025
Notice to Award	May 2025
BOS Award	May 2025
Issue NTP	June 2025
Design	June 2025 - December 2025
Construction	December 2025 - May 2027

NON-MANDATORY INFORMATIONAL RFQ CONFERENCE

A non-mandatory RFQ conference is scheduled for December 12, 2024 from 11am – 12pm.

Non-Mandatory Information RFQ Conference Link:

https://teams.microsoft.com/l/meetup-join/19%3ameeting_ODNmYmNjN2EtMTc3OS00ZmNkLTgwN2EtOWQ1ODY0ODYzZDEw%40thread.v2/0?context=%7b%22Tid%22%3a%2232fdff2c-f86e-4ba3-a47d-6a44a7f45a64%22%2c%22Oid%22%3a%2235b75152-2e81-4139-8abb-74b567c8c9ec%22%7d

RECOMMENDED NON-MANDATORY SITE VISITS

Two non-mandatory site visits will be conducted **on December 17, 2024 from 10-11am, and January 7, 2025 from 10-11am.** The site visits will include an exterior walking tour of all the facilities in Design-Build Package #3 at the Fairmont Campus. The meeting place will be at **2054 Fairmont Drive, San Leandro, CA 94578.** Pay parking is available in the large lot northeast of that

building. Please meet in front of the BMD office at 2054 Fairmont Drive.

REQUEST FOR PROPOSAL (RFP) STIPEND

The County of Alameda intends to offer each of the unsuccessful and responsive shortlisted Design-Build Teams a stipend to assist in defraying the costs of proposal development. As outlined in the RFP Documents, each firm understands that the stipend may not reimburse it for all expenses incurred in preparing the proposal. The RFP documents will require all shortlisted Design-Build Teams to execute and deliver a stipend agreement to the County of Alameda.

COUNTY OF ALAMEDA SMALL LOCAL AND EMERGING BUSINESS PROGRAM

Each Design-Build Entity shall include in the letter of transmittal a description of the outreach efforts that your firm made to include Small Local Emerging Business (SLEB) sub-consultant(s).

Website: <http://www.acgov.org/auditor/sleb/index.htm>

Note: For the RFP stage (for the short-listed firms), there will be additional outreach requirements and those will be addressed in the RFP to be issued (the RFP will include requirements associated with the County of Alameda Outreach Program).

Design-Build Entities not meeting the definition of a small or emerging local business must include, as Core Organizations, one or more County certified small and/or emerging local businesses for at least twenty percent (20%) of the professional services portion of the contract in order to be considered for prequalification.

PROJECT STABILIZATION AND COMMUNITY BENEFITS AGREEMENT

Proposals submitted for this project will be subject to the Project Stabilization Community Benefits Agreement (“PSCBA”) adopted by the County of Alameda Board of Supervisors, which applies to all construction projects of \$1 Million or more awarded by the Board. The successful Design-Build Entity contractor and its partners providing work in any covered trade will be signatories to the PSCBA.

<https://acgovt-my.sharepoint.com/my?id=%2Fpersonal%2Fliam%5Fbrown%5Ffacgov%5Forg%2FDocuments%2FPSCBA&FolderCTID=0x012000AFACEC94D4AA2D4BA574CB4931FD80C0>

EXPLANATION OF SCORING FRAMEWORK

The prequalification determination for a Design-Build Entity will result from consideration of the scores attained in all parts of the Prequalification Questionnaire.

PART I: INFORMATION ABOUT THE DESIGN-BUILD ENTITY AND EACH OF ITS MEMBERS

Part I applies to the Design-Build Entity as a whole and each of its Core Organizations and is for identification purposes only. There is no scoring value to this part.

Subpart I. A is the only public record portion of the Project Prequalification Questionnaire.

PART II: ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY AS A WHOLE

Part II applies to the Design-Build Entity as a whole and consists of a series of pass/fail qualification questions. All questions must be answered correctly, or the Design-Build Entity may be disqualified with no consideration given to the remainder of the Questionnaire.

PART III: SCORED QUESTIONS FOR INDIVIDUAL MEMBERS OF THE DESIGN-BUILD ENTITY AND CORE ORGANIZATIONS

Subpart III.A: Scored Questions for the General Contractor

Subpart III. A applies to the General Contractor. It consists of a series of questions that must be answered, each of which has an established numerical score for potential answers (as shown in the sample Scoring Worksheet provided herein). The total score attained establishes the rating for the Design-Build Entity's General Contractor.

There is a maximum possible score of 90 points in this subpart. The score of this subpart will be combined with the scores of the other parts of the Prequalification Questionnaire to determine the Total Score for the Design-Build Entity.

Subpart III.B: Scored Questions for the Architect

Subpart III.B applies to the Architect. It consists of a series of questions that must be answered, each of which has an established numerical score for potential answers (as shown in the sample Scoring Worksheet provided herein). The total score attained establishes the rating for the Design-Build Entity's Architect.

There is a maximum possible score of 40 points for this subpart. The score of this subpart will be combined with the scores of the other parts of the Prequalification Questionnaire to determine the Total Score for the Design-Build Entity.

Subpart III.C: Scored Questions for the Sub-Consultants

Subpart III.C applies to each required core Sub-Consultant. It consists of a series of questions that must be answered for each Sub-Consultant, each of which has an established numerical score for potential answers (as shown in the sample Scoring Worksheet provided herein). The total score attained establishes the rating for each required Sub-Consultant.

There is a maximum possible score of 15 points for each sub-consultant in this subpart. The score of this subpart will be combined with the scores of the other parts of the Prequalification Questionnaire to determine the Total Score for the Design-Build Entity.

PART IV: CONSTRUCTION EXPERIENCE

To help the County assess to what extent the Design-Build Entity and its members have completed, or have demonstrated the experience, competency, capability, and capacity to complete projects of similar size, scope, or complexity to the Project, Part IV requires the Design-Build Entity to submit information on recently completed comparable projects designed and constructed by the DBE, its General Contractor, and its Architect.

In addition, the County will select at least two (2) projects, at least one (1) for the General Contractor, and at least one (1) for the Architect, from the total list of reference projects submitted and conduct interviews of owner representatives using the scored Reference Interview Questions provided herein. Each “scored” question has a possible value ranging from 1 to 10. There is a maximum possible score of 20 points each for DBE/Contractor and Architect in this subpart.

PART V: KEY PERSONNEL

To help the County assess to what extent Design-Build Entity personnel have sufficient experience and training to competently manage and complete the design and construction of the Project, Part V requires the Design-Build Entity to submit information regarding key personnel. There is a maximum possible score of 3 to 7 points for each person (see scoring sheet for details).

PART VI: CERTIFICATION

Part VI requires certification under penalty of perjury by the Design-Build Entity and its members. Failure to certify a Prequalification Questionnaire will result in the disqualification of the Design-Build Entity.

PART VI: REFERENCE INTERVIEW QUESTIONS

Part VI questions will be used to interview selected contacts from at least one (1) of the recently completed projects each for the General Contractor and the Architect. The County will select projects and conduct the interviews. There is a maximum possible score of 20 points each for the General Contractor and Architect.

Instructions for Submission

INSTRUCTIONS FOR REQUEST FOR QUALIFICATION SUBMISSION

Each Qualifications Questionnaire must be signed under penalty of perjury in the manner designated on the Certification page (Part VI), by an individual who has the legal authority to bind the Design-Build Entity on whose behalf that person is signing.

If any information provided by a Design-Build Entity becomes inaccurate, the Design-Build Entity must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

The requested information must be provided on the Prequalification Questionnaire form provided herein. To the extent that additional space is required to fully and completely answer any question, you should note in the Questionnaire space provided that additional information is being submitted. Submit such information on the company letterhead of the firm submitting the Questionnaire with reference to (a) the Project (by name and project number), (b) the relevant subpart and question, and (c) the Questionnaire page number for which the information is being provided.

Prequalification Questionnaires must be submitted in a 3-ring binder with tabs indicating all subparts. Attachments and additional information must be placed behind the appropriate tabs for the subpart for which they are required. The front of the binders must be marked “CONFIDENTIAL” and must indicate the Design-Build Entity’s name and address using the following format:

Design-Build Entity – Request for Qualifications Submittal

Company Name and Address: _____

Project Name: **Alameda County Facilities Need Priority 1 Program
DESIGN-BUILD PACKAGE #3**

Due Date: January 17, 2025, at 3:00 PM

In addition to the three-ring binder, an electronic copy of the completed, signed Prequalification Questionnaire with required attachments must be submitted in a sealed envelope marked “CONFIDENTIAL” and labeled as above.

A complete, timely submission must include:

- **One (1) fully completed, verified RFQ Questionnaire together with ALL required documents,**
- **One (1) electronic, PDF copy of the same on a USB Flash drive.**

RFQ Questionnaires must be submitted to:

Liam Brown, Sr. Project Manager
County of Alameda – General Services Agency
1401 Lakeside Drive, Suite 800
Oakland, CA 94612-4305
Tel (510) 208-9522

Due On: January 17, 2025, at 3:00 PM

Design-Build Entities shall assume full responsibility for timely delivery at the location designated for receipt of Prequalification Questionnaires. **ORAL, TELEPHONIC, FACSIMILE, TELEGRAPHIC, OR E-MAILED PREQUALIFICATION QUESTIONNAIRES ARE INVALID AND WILL NOT BE ACCEPTED. NO PREQUALIFICATION DOCUMENTS WILL BE ACCEPTED AFTER THE DUE DATE AND TIME** unless the County determines that it is in its best interest to reopen the prequalification process in a manner stated in the Prequalification Document.

Prequalification Questionnaire

PREQUALIFICATION QUESTIONNAIRE

Alameda County Facilities Needs Priority 1 Program DESIGN-BUILD PACKAGE #3

PART I: INFORMATION ABOUT THE DESIGN-BUILD ENTITY, ITS MEMBERS, AND CORE ORGANIZATIONS

IA CONTACT INFORMATION

Design-Build Entity Contact: Person: _____

Address: _____

Phone: _____ Email _____

Type of Entity (check one):

Corporation Partnership Sole Prop. Other Association

Member Firm(s) and Core Organizations		
Discipline	Name	CA License No.
General Contractor (GC)		
Architect		
Mechanical/Plumbing Engineer		
Electrical Engineer		
Civil Engineer		
Fire Life/Safety Engineer		

PUBLIC RECORD

I.B INFORMATION ABOUT THE DESIGN-BUILD ENTITY

Entity Name: _____

Contact: Person: _____

Address: _____

Phone: _____ Email _____

Type of Entity (check one):

- Corporation Partnership Sole Prop. Other Association

1.
 - a. Date of entity incorporation, formation, or commencement: _____
 - b. State of formation or incorporation: _____
 - c. How many people does your organization currently employ: _____
 - d. If the entity is a **corporation or LLC**, please complete the following table; provide information for each officer of the corporation or individual(s) with 10% or more of the corporate stock and those officers that hold stock and will perform services for the Project (22164(b)(3)):

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- e. If the entity is a **partnership**, please complete the following table; provide information for each partner who owns 10% or more of the firm and those officers that hold stock and will perform services for the Project (Public Contract Code (PCC) section 22164(b)(3)):

Position	Name	Years with Co.	% Ownership
General Partner			

- f. If the entity is a **sole proprietorship**, please provide the name and social security number of the company owner:

Name	Social Security No.

- g. If the entity is a **joint venture**, please complete the following table; provide information for each member of the joint venture and those officers that hold stock and will perform services for the Project (PCC section 22164(b)(3)):

Name of Firm	Name of Owner, General Partner, or President	% Ownership of Joint Venture

- h. For each person listed in table 1d, 1e, 1f, or 1g, identify every construction company that the person has been associated with (as owner, general partner, limited partner, or officer) at any time during the last five (5) years:

NOTE: For this question, “owner” and “partner” refer to ownership of 10% or more of the business, or 10% or more of its stock, if the business is a corporation.

Name	Company	Position with Company	Dates of Employ.

2. Has there been any change in ownership of the Design-Build Entity at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded must only report a change in ownership of a controlling block of shares.

- Yes
- No

If “yes,” explain:

3. Is the Design-Build Entity a subsidiary, parent, holding company, or affiliate of another design or construction firm?

NOTE: Include information about other firms if one firm owns 50% or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes
- No

If “yes,” explain:

4. Are any of the Design-Build Entity’s corporate officers, partners, or owners associated with any other design or construction companies?

NOTE: Include name, address, and position information about each other firm in which an owner, partner, or officer of your firm holds a similar position.

- Yes
- No

If “yes,” explain:

5. To the extent available, state the Design-Build Entity’s gross revenues for each of the last

2017: _____ 2018: _____ 2019: _____
2020: _____ 2021: _____ 2022: _____

6. Based on the financial statement required in Part II.A, provide the following information about the Design-Build Entity:

Current Assets:	\$ _____
Current Liabilities:	\$ _____
Total Net Worth:	\$ _____
Current Asset/Liability Ratio:	\$ _____
Working Capital (Current Assets – Current Liabilities)	\$ _____

7. Has the Design-Build Entity changed its name or license number in the past five (5) years?
- Yes
- No

If “yes,” explain:

8. Has any owner, partner, or (for corporations) officer of the Design-Build Entity operated a design or construction company under any other name in the last five (5) years?
- Yes
- No

I.C INFORMATION ABOUT THE GENERAL CONTRACTOR

Entity Name: _____

Contact: Person: _____

Address: _____

Phone: _____ Email _____

Type of Entity (check one):

- Corporation
- Partnership
- Sole Prop.
- Other Association

1.
 - a. Date of entity incorporation, formation, or commencement:
 - b. State of formation or incorporation:
 - c. How many people does your organization currently employ:
 - d. If the entity is a **corporation**, please complete the following table; provide information for each officer of the corporation or individual(s) with 10% or more of the corporate stock:

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- e. If the entity is a **partnership**, please complete the following table; provide information for each partner who owns 10% or more of the firm:

Position	Name	Years with Co.	% Ownership
General Partner			

2. Has there been any change in ownership of the General Contractor at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded must only report a change in ownership of a controlling block of shares.

- Yes
- No

If “yes,” explain:

3. Is the General Contractor a subsidiary, parent, holding company, or affiliate of another design or construction firm?

NOTE: Include information about other firms if one firm owns 50% or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes
- No

If “yes,” explain:

4. Are any of the General Contractor’s corporate officers, partners, or owners associated with any other design or construction companies?

NOTE: Include name, address, and position information about each other firm in which an owner, partner, or officer of your firm holds a similar position.

- Yes
- No

If “yes,” explain:

5. State the General Contractor’s gross revenues for each of the last six years:

2017: _____ 2018: _____ 2019: _____
2020: _____ 2021: _____ 2022: _____

6. Based on the financial statement required in Part II.B, provide the following information about the General Contractor:

Current Assets: \$ _____
Current Liabilities: \$ _____
Total Net Worth: \$ _____

Current Asset/Liability Ratio: \$ _____

Working Capital

(Current Assets – Current Liabilities) \$ _____

7. List all California construction license numbers, classifications, and expiration dates of the California contractor licenses held by the General Contractor:

- Yes
- No

Contractor License Number	Classification(s)	Expiration Date

8. If any of the General Contractor’s license(s) are held in the name of a corporation, partnership, or other entity, list below the names of the qualifying individuals(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

9. Has the General Contractor changed its name or license number in the past five (5) years?

- Yes
- No

If “yes,” explain:

10. Has any owner, partner, or (for corporations) officer of the General Contractor operated a design or construction company under any other name in the last five (5) years?

- Yes
- No

If “yes,” explain:

11. Provide documentation from the General Contractor’s surety identifying the following:

Bonding Co./Surety:		Surety Agent:	
Agent Address:		Telephone #:	

12. List all other sureties (by name and with full address) that have written payment or performance bonds for the General Contractor during the last five (5) years, including the dates during which each wrote the bonds:

Date	Surety	Address

ID INFORMATION ABOUT THE ARCHITECT

Entity Name: _____

Contact: Person: _____

Address: _____

Phone: _____ Email _____

Type of Entity (check one):

- Corporation
- Partnership
- Sole Prop.
- Other Association

1.
 - a. Date of entity incorporation, formation, or commencement: _____
 - b. State of formation or incorporation: _____
 - c. How many people does your organization currently employ: _____
 - d. If the entity is a corporation, please complete the following table; provide information for each officer of the **corporation** or individual(s) with 10% or more of the corporate stock:

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- e. If the entity is a **partnership**, please complete the following table; provide information for each partner who owns 10% or more of the firm:

Position	Name	Years with Co.	% Ownership
General Partner			

2. Has there been any change in ownership of the Architect at any time during the last three years?

NOTE: A corporation whose shares are publicly traded must only report a change in ownership of a controlling block of shares.

- Yes
- No

If “yes,” explain:

3. Is the Architect a subsidiary, parent, holding company, or affiliate of another design or construction firm?

NOTE: Include information about other firms if one firm owns 50% or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes
- No

If “yes,” explain:

4. Are any of the Architect’s corporate officers, partners, or owners associated with any other design or construction companies?

NOTE: Include name, address, and position information about each other firm in which an owner, partner, or officer of your firm holds a similar position.

- Yes
- No

If “yes,” explain:

5. State the Architect’s gross revenues for each of the last six years:

2017: _____ 2018: _____ 2019: _____
2020: _____ 2021: _____ 2022: _____

6. If part of the DBE joint venture submitted for this project, based on the financial statement required in Part I.C, provide the following information about the Architect:

Current Assets: \$ _____
Current Liabilities: \$ _____
Total Net Worth: \$ _____
Current Asset/Liability Ratio: \$ _____
Working Capital
(Current Assets – Current Liabilities) \$ _____

7. Has the Architect changed its name or license number in the past five (5) years?

- Yes
- No

If “yes,” explain:

8. Has any owner, partner, or (for corporations) officer of the Architect operated a design or construction company under any other name in the last five (5) years?

- Yes
- No

If “yes,” explain:

9. Provide the following information for the Architect of Record (i.e., the architect whose stamp will appear on the Project documents) for the Project:

Name	CA License Number	Years Licensed

I.E INFORMATION ABOUT CORE SUB-CONSULTANT

For each required Core Sub-Consultant, provide the following information. If a firm is submitted for more than one discipline (for example, Electrical Engineer and Fire/Life Safety Engineer, please submit a separate section for each discipline):

Entity Name: _____

Contact: Person: _____

Address: _____

Phone: _____ Email _____

Type of Entity (check one):

- Corporation
- Partnership
- Sole Prop.
- Other Association

1.

- a. Date of entity incorporation, formation, or commencement: _____
- b. State of formation or incorporation: _____
- c. How many people does your organization currently employ: _____
- d. If the entity is a **corporation**, please complete the following table; provide information for each officer of the corporation or individual(s) with 10% or more of the corporate stock:

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- e. If the entity is a **partnership**, please complete the following table; provide information for each partner who owns 10% or more of the firm:

Position	Name	Years with Co.	% Ownership
General Partner			

2. Has there been any change in ownership of the Sub-Consultant at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded must only report a change in ownership of a controlling block of shares.

- Yes
 No

If “yes,” explain:

3. Is the Sub-Consultant a subsidiary, parent, holding company, or affiliate of another design or construction firm?

NOTE: Include information about other firms if one firm owns 50% or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes
 No

If “yes,” explain:

4. Are any of the Sub-Consultant’s corporate officers, partners, or owners associated with any other design or construction companies?

NOTE: Include name, address, and position information about each other firm in which an owner, partner, or officer of your firm holds a similar position.

- Yes
 No

If “yes,” explain:

5. State the Sub-Consultant’s gross revenues for each of the last six years:

2017: _____ 2018: _____ 2019: _____
2020: _____ 2021: _____ 2022: _____

6. **If part of the DBE joint venture submitted for this project**, based on the financial statement required in Part II.C, provide the following information about the Sub-Consultant:

Current Assets: \$ _____
Current Liabilities: \$ _____
Total Net Worth: \$ _____
Current Asset/Liability Ratio: \$ _____
Working Capital
(Current Assets – Current Liabilities) \$ _____

7. Has the Sub-Consultant changed its name or license number in the past five (5) years?

- Yes
- No

If “yes,” explain:

8. Has any owner, partner, or (for corporations) officer of the Sub-Consultant operated a company under any other name in the last five (5) years?

- Yes
- No

If “yes,” explain:

9. Provide the following information for the Sub-Consultant’s Engineer of Record (i.e., the engineer whose stamp will appear on the Project documents).

Name	CA License Number	Years Licensed

PART II: ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY AS A WHOLE

II.A ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY AS A WHOLE

NOTE: Design-Build Entity will be automatically disqualified if the answer to questions 1 or 2 is “No.”

1. If the Design-Build Entity is a joint venture or partnership, has the Design-Build Entity attached a copy of the agreement creating the entity which specifies that all partners or association members agree to be fully liable for the performance under the design-build contract?

NOTE: A corporation or sole proprietorship is not required to answer this question.

- Yes
- No

2. Has the Design-Build Entity attached a copy of its most **current reviewed** or audited financial statement within the last five years with accompanying notes and supplemental information, as well as reviewed or audited financial statements with accompanying notes and supplemental information for the **past year** (if available). At least **one** such statement for the Design-Build entity must be attached.

- Yes
- No

A financial statement that is not either reviewed or audited is not acceptable. A letter verifying the availability of a line of credit is not a substitute for the required financial statement.

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 3 through 9 is “Yes.”

3. Has the Design-Build Entity ever defaulted on a construction contract?

- Yes
- No

4. Within the last five (5) years, has a surety firm completed a contract on behalf of the Design-Build Entity or any of its members, or paid for completion because the Design-Build Entity or one of its members was default terminated by the project owner?

- Yes
- No

-
5. At the time of submitting this Prequalification Questionnaire, is the Design-Build Entity or any of its members ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
- Yes
 No
6. At any time during the last five (5) years, has the Design-Build Entity or any of its members been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
- Yes
 No
7. Has the Design-Build Entity or any of its members, any of their owners or officers ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
- Yes
 No
8. Has the Design-Build Entity or any of its members, any of their owners, or officers ever been convicted of a crime involving any federal, state, or local law related to construction?
- Yes
 No
9. Has the Design-Build Entity or any of its members, any of their owners, or officers ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
- Yes
 No

II.B ESSENTIAL REQUIREMENTS FOR THE GENERAL CONTRACTOR

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 10 through 14 is “No.”

10. Does the General Contractor possess a valid, current California contractor’s license for the Project services for which it intends to submit a proposal?

For this Project, the General Contractor must have a current and active California State Contractor’s license with a “B” General Building Contractor classification.

- Yes
- No

11. Does the General Contractor have a liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$6,000,000 aggregate?

- Yes
- No

12. Does the General Contractor have current workers’ compensation insurance policies as required by the Labor Code or legal self-insurance pursuant to Labor Code section 3700 et seq.?

- Yes
- No

13. Has the General Contractor attached a copy of its most **current** reviewed or audited financial statement with accompanying notes and supplemental information, as well as reviewed or audited financial statements with accompanying notes and supplemental information for the **past year**? The County reserves the right to request sub-contractor- reviewed or audited financial statements at a later date.

- Yes
- No

A financial statement that is not either reviewed or audited is not acceptable. A letter verifying the availability of a line of credit is not a substitute for the required financial statement.

14. Has the General Contractor attached a notarized statement from a surety insurer admitted and authorized to issue bonds in the State of California (i.e., approved by the California Department of Insurance), which states that the General Contractor’s current payment and performance bonding capacity is sufficient for the Project?

NOTE: Required minimum performance and payment bonding capacity for the Project is equal to the estimated Project construction cost.

- Yes
- No

The notarized statement must be from the surety company, not an agent or broker.

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 15 through 21 is “Yes.”

15. At any time during the last five (5) years, has any Contractor State Licensing Board license of the General Contractor or any of the proposed Sub-Contractors (or the responsible managing officer/employee thereof) been revoked or suspended?
- Yes
 No
16. Within the last five (5) years, has a surety firm completed a contract on behalf of the General Contractor, or paid for completion because the Contractor was default terminated by the project owner?
- Yes
 No
17. At the time of submitting this Prequalification Questionnaire, is the General ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
- Yes
 No
18. At any time during the last five (5) years, has the General Contractor, any of its owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
- Yes
 No
19. Has the General Contractor, any of its owners or officers, ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
- Yes
 No
20. Has the General Contractor, any of its owners or officers, ever been convicted of a crime involving any federal, state, or local law related to construction?
- Yes
 No
21. Has the General Contractor, any of its owners or officers, ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
- Yes
 No

II.C ESSENTIAL REQUIREMENTS FOR THE ARCHITECT AND CORE SUB-CONSULTANTS

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 22 through 25 is “No.”

22. Do all architects and Sub-Consultant designers possess valid and current professional licenses for the services for which they intend to provide on the Project?

- Yes
- No

23. Does the Architect have, or can the Architect obtain, a professional liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate?

- Yes
- No

24. Do the Architect and each proposed Sub-Consultant have a current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et seq.?

- Yes
- No

25. **If part of the DBE joint venture,** Have the Architect and Sub-Consultants attach a copy of their most current compiled, reviewed, or audited financial statements with accompanying notes and supplemental information, as well as reviewed or audited financial statements with accompanying notes and supplemental information for the past year?

- Yes
- No

A financial statement that is not either compiled, reviewed, or audited is not acceptable. A letter verifying the availability of a line of credit is not a substitute for the required financial statement.

NOTE: Design-Build-Entity will be automatically disqualified if the answer to any of questions 26 through 30 is “Yes.”

26. Has any professional license, credential, or registration of any Design-Build Entity architect or sub-consultant designer been revoked or suspended at any time in the last five (5) years?

- Yes
- No

-
27. At any time during the last five (5) years, has the Architect, a Sub-Consultant, or any owner or officer of either been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?
- Yes
 No
28. Has the Architect, a Sub-Consultant, or any of its owners, officers, or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
- Yes
 No
29. Has the Architect, a Sub-Consultant, or any of its owners, officers, or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
- Yes
 No
30. Has the Architect, a Sub-Consultant, or any of its owners, officers, or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
- Yes
 No

PART III: SCORED QUESTIONS FOR MEMBERS OF THE DESIGN- BUILD ENTITY AND CORE ORGANIZATIONS

III.A SCORED QUESTIONS FOR THE GENERAL CONTRACTOR

The term “associates” shall mean all of the following:

- The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
- All current officers of a contractor which is a corporation.
- All current partners of a contractor which is a partnership.
- All current joint ventures of the joint venture contractor which is seeking prequalification.

Experience & Organizational Performance

1. How many years has the General Contractor been in business in California as a General Contractor under its present business name and license number?

NOTE: If the General Contractor is a joint venture, enter the average of the qualifying years for each partner to the joint venture.

Years: _____

2. List facilities similar to this project has the General Contractor or its associates completed in the last ten (10) years. Preferred project attributes include:

- Design-Build project delivery.
- Renovation projects of similar contract value
- Renovation projects in occupied facilities
- Projects with similar healthcare and behavioral health users
- California public agency clients

List the following information for (up to ten) of these projects:

Project Name / Building Type	Location	Construction Cost

3. Has the General Contractor constructed a facility where a California County was the authority having jurisdiction?
- Yes
- No

If yes, identify the specific projects the General Contractor has completed where the California County was the authority having jurisdiction (AHJ):

Project	Location (city, state)	Date Certified

4. Are the General Contractor or its associates currently the debtor in a bankruptcy case?
- Yes
- No

If “yes,” indicate the case number and the date on which the petition was filed.

Case #: _____ **Date filed:** _____

5. Were the General Contractor or its associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 3, above)
- Yes
- No

If “yes,” indicate the case number and the date on which the petition was filed, and the Bankruptcy Court’s discharge order number.

Case #: _____ **Date filed:** _____ **Discharge #:** _____

Licensing

6. In the past five years, have the General Contractor or its associates been cited for violations of the Contractor’s State License Law (Chapter 9 (commencing with section 7000) of Division 3 of the Business and Professions Code), *excluding* alleged violations of federal or state law including the payment of wages, benefits, apprenticeship requirements, or personal income tax withholding?

NOTE: If the General Contractor or its associates have filed an appeal of a citation that has not yet been ruled on, it need not include information about that claim.

- Yes
- No

If “yes,” describe the nature of each violation, identify the name of the project, the date of its completion, the owner for which it was constructed, and give a brief explanation.

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Claims & Disputes

7. At any time in the last five years has the General Contractor or its associates been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?

- Yes
- No

If yes, identify all such projects by the owner, owner's address, completion date of the project, amount of liquidated damages assessed, and all other information necessary to fully explain the

assessment of liquidated damages:

Owner:		Address:	
Completed:		Amount:	
Other:			

8. Have the General Contractor or its associates, or any firm with which any of the General Contractor’s owners, officers, or partners was associated, ever defaulted on a construction contract?

NOTE: “Associated with” refers to another construction firm in which an owner, partner, or officer of your firm held a similar position, and which is listed in response to question 3 or 4 of Part I.C of this form.

- Yes
- No

If “yes,” identify the company, the person within the General Contractor who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the default:

Company:		Person:	
Year		Owner:	
Project:			
Basis:			

Company:		Person:	
Year:		Owner:	
Project			
Basis:			

Company:				Person:	
Year:		Owner		Project	
Basis:					

9. In the last five years, have the General Contractor or its associates, or any firm with which any of the General Contractor’s owners, officers, or partners was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: “Associated with” refers to another construction firm in which an owner, partner, or officer of your firm held a similar position, and which is listed in response to question 3 or 4 of Part I.B of this form.

- Yes
- No

If “yes,” identify the company, the person within the General Contractor who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the action:

Company:				Person:	
Year:		Owner:		Project:	
Basis:					

Company:				Person:	
Year:		Owner:		Project	
Basis:					

Company:				Person:	
Year:		Owner		Project	
Basis:					

10. Have the General Contractor or its associates, or any firm with which any of the General Contractor’s owners, officers, or partners was associated ever been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

NOTE: “Associated with” refers to another construction firm in which an owner, partner, or officer of your firm held a similar position, and which is listed in response to question 3 or 4 of Part I.B of this form.

- Yes
- No

If “yes,” identify the year of the event, the owner, the project, and the basis for the finding by the public agency.

Year:			Owner:		Project:	
Basis:						

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

NOTE: The following two questions refer only to disputes between the General Contractor or its associates and the owner of a project. You need not include information about disputes between the General Contractor and a supplier, another contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner.

11. In the past five years has any claim in excess of \$50,000 been made against the General Contractor or its associates arising from or related to a design or construction contract (including claims for violation of the Federal or California False Claims Act) that was not resolved by change order or contract amendment?

- Yes
- No

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed (if applicable) and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

12. In the past five years have the General Contractor or its associates made any claim in excess of \$50,000 against a project owner arising from or related to a design or construction contract that was not resolved by change order or contract amendment?

- Yes
- No

If “yes,” identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		Date:	
Entity:		Defendant:	
Description:			

Project:		Date:	
Entity:		Defendant:	
Description:			

Project:		Date:	
Entity:		Defendant:	
Description:			

13. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor or its associates?

- Yes
- No

If “yes,” give the name of the insurance carrier, the form of insurance, and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
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Comments:

Year:		Carrier:		Form:	
Comments:					

14. Have the General Contractor or its associates been required to pay a premium of more than one percent (1%) for a performance and payment bond on any project(s) on which the General Contractor or its associates worked at any time during the last five years?
- Yes
- No
- If “yes,” state the percentage that your firm was required to pay; you may provide an explanation for a percentage rate higher than one percent if you wish to do so:
- NOTE: The score will be based on the highest single year.**

Year:		<i>Rate</i>	
Explanation:			

Year:		<i>Rate</i>	
Explanation:			

Year:		<i>Rate</i>	
Explanation:			

15. During the last five (5) years, have the General Contractor or its associates been denied payment or performance bond coverage by a surety company, or has there ever been a period of time when the General Contractor or its associates had no surety bond in place during a public construction project when one was required?

- Yes
- No

If yes, indicate the date when the General Contractor or its associates were denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

Regulatory Compliance

16. Has CAL OSHA cited and assessed penalties against the General Contractor or its associates for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five (5) years?

NOTE: If the General Contractor or its associates have filed an appeal of a citation that has not yet been ruled on, it need not include information about that claim.

- Yes
- No

If “yes,” describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision:

Description:		Date:		Nature:	
Project:		Amount:			
Description:		Date:		Nature:	
Project:		Amount:			
Description:		Date:		Nature:	
Project:		Amount:			

17. In the past five years, has the federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor or its associates?

NOTE: If the General Contractor or its associates have filed an appeal of a citation that has not yet been ruled on, it need not include information about that claim.

- Yes
- No

If “yes,” describe each citation.

Explanation:	
---------------------	--

18. In the past five (5) years, has the EPA any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or its associates, or the owner of a project on which the General Contractor was part of the Design-Build Entity, in the past five (5) years?

NOTE: If the General Contractor or its associates have filed an appeal of a citation that has not yet been ruled on, it need not include information about that claim.

- Yes
- No

If “yes,” describe each citation:

Explanation:

Explanation:

Explanation:

19. In the past five (5) years, have the General Contractor or its associates been cited for violations of the Federal Insurance Contributions Act (FICA; 26 U.S.C. Sec. 3101 *et seq.*) withholding requirements?

NOTE: If the General Contractor or its associates have filed an appeal of a citation that has not yet been ruled on, it need not include information about that claim.

- Yes
- No

If “yes,” describe the nature of each violation, identify the name of the project, the date of its completion, the owner for which it was constructed, and give a brief explanation.

Violation:		Project:	
Completion:	Owner:		
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

Violation:		Project:	
Completion:		Owner:	
Explanation:			

20. Have the General Contractor or its associates been issued a California workers' compensation insurance Experience Modification Rate (EMR) for each of the past five (5) premium years?
- Yes
 No

If your EMR for any of these five (5) years is or was 1.00 or higher, provide an explanation.

NOTE: The score will be based on the highest EMR in any single year.

Current Year:		Rate:	
Explanation (if required):			

Current Year:		Rate:	
Explanation (if required):			

Current Year:		Rate:	
Explanation (if required):			

21. Within the last five (5) years, has there ever been a period when the General Contractor or its associates had employees but was without workers’ compensation insurance or state-approved self-insurance?

- Yes
- No

If “yes,” provide the following information, explaining the reason for the absence of workers’ compensation insurance:

Current Year:		Period:	
Explanation:			

Current Year:		Period:	
Explanation:			

Prevailing Wage & Apprenticeship

22. Has there been more than one occasion during the last five (5) years in which the General Contractor or its associates were required to pay either back wages or penalties for the General Contractor’s failure to comply with **California’s** prevailing wage laws?

This question refers only to a violation of prevailing wage laws by the General Contractor or its associates, not to violations of the prevailing wage laws by a subcontractor.

- Yes
- No

If “yes,” describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, and the amount of back wages and penalties that you were required to pay.

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

23. During the last five (5) years, has there been more than one occasion in which the General Contractor or its associates have been penalized or required to pay back wages for failure to comply with the **Federal** Davis-Bacon prevailing wage requirements?

- Yes
- No

If “yes,” describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
-------------------	--	-----------------	--

Completion:		Agency:	
Employees:		Amount:	

24. Do the General contractor or its associates and each proposed sub-contractor know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, Section 230.1?

- Yes
- No

25. Do the General Contractor or its associates intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on the Project if its associated Design-Build Entity is awarded the contract?

- Yes
- No

If “yes,” provide the name, address, and telephone number of the apprenticeship program from whom the General Contractor or its associates intend to request the dispatch of apprentices.

Program Name	Address	Phone

26. Have the General Contractor or its associates operated its own state-approved apprenticeship program during the last five (5) years?

- Yes
- No

If “yes,” state the year in which each such apprenticeship program was approved, identify the craft or crafts in which the General Contractor or its associates provided apprenticeship training, and state the number of individuals who were employed by the General Contractor or its associates as apprentices at any time during the past five (5) years in each apprenticeship and the number of persons who, during the past five (5) years, completed apprenticeships in each craft while employe by your firm.

Year:		Rate:	
Crafts:			

27. At any time during the last five (5) years, has the General Contractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the

laws pertaining to the use of apprentices on public works?

You may omit reference to any incident that occurred prior to January 1, 2018, if the violation was by a sub-contractor and the General Contractor or its associates, as general contractor on a project, had no knowledge of the subcontractor’s violation at the time they occurred.

- Yes
- No

If “yes,” provide the date(s) of such findings and attach copies of the Department’s final decision(s).

Date	Department	Finding	Decision #

Safety & Quality Control

28. Do the General Contractor and its associates require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

- Yes
- No

If “yes,” identify how often the meetings are required.

- Weekly**
- Bi-Weekly**
- Monthly**
- Less Frequent**

29. Do the General Contractor and its associates have a written Injury and Illness Prevention Program (IIPP) that complies with the California Code of Regulations, Title 8 Sections 1509 and 3203?

- Yes
- No

30. Do the General Contractor and its associates have personnel permanently assigned to safety?

- Yes
- No

If yes, state the names of all personnel who are assigned and list their specific duties:

Name: _____	Title: _____
Specific Duties:	

Name: _____	Title: _____
Specific Duties:	

31. Do the General Contractor and its associates have a substance abuse testing program?

- Yes
- No

If “yes,” identify the testing included.

- Pre-Hire**
- Random**
- Probable Cause**
- Post-Incident**

32. Do the General Contractor and its associates have a written Quality Assurance/Quality Control (“QA/QC”) program?

- Yes
- No

33. Do the General Contractor and its associates have personnel permanently assigned to QA/QC?

- Yes
- No

If yes, state the names of all personnel who are assigned and list their specific duties:

Name: _____	Title: _____
Specific Duties:	

Name: _____	Title: _____
Specific Duties:	

III.B SCORED QUESTIONS FOR THE ARCHITECT

“Architect of Record” shall mean the architect whose stamp will appear on Project documents.

“Architect” shall mean the firm with employs the Architect of Record whether it is the General Contractor, a separate architectural firm, or another firm.

Experience & Organizational Performance

1. List facilities similar to this project has the Architect or its associates completed in the last ten (10) years. Preferred project attributes include:
 - Design-Build project delivery.
 - Renovation projects of similar contract value
 - Renovation projects in occupied facilities
 - Projects with similar healthcare and behavioral health users
 - California public agency clients

List the following information for (up to ten) of these projects:

Project Name / Building Type	Location	Construction Cost

2. How many years has the Architect been in business in California as an architect under its present business name and license number?
NOTE: If the Architect is a joint venture, enter the average of the qualifying years for each partner to the joint venture.
Years: _____

3. Has the Architect constructed a facility where the County was the authority having jurisdiction?
 Yes
 No

If yes, identify the specific projects the Architect has completed where the County was the authority having jurisdiction (AHJ):

Project	Location (city, state)	Date Completed

4. Is the Architect currently the debtor in a bankruptcy case?

- Yes
- No

If “yes,” indicate the case number and the date on which the petition was filed.

Case number: _____ **Date filed:** _____

5. Was any part of the Architect in bankruptcy at any time during the last five (5) years? (This question refers only to a bankruptcy action that was not described in answer to question 6, above)

- Yes
- No

If “yes,” indicate the case number and the date on which the petition was filed, and the Bankruptcy Court’s discharge order number.

Case number: _____ **Date filed:** _____ **Discharge #:** _____

Claims & Disputes

6. In the past ten (10) years has the Architect operated without professional liability insurance?

- Yes
- No

If “yes,” explain on a separate sheet.

7. In the past five (5) years has any claim in excess of \$50,000 been made against the Architect arising from or related to a design or construction contract (including claims for violation of the Federal or California False Claims Act) that was not resolved by change order or contract amendment?

- Yes
- No

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed,

and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project Name:		Date:	
Claimant:		Court:	
Nature:			

Description:

8. In the past five (5) years has the Architect made any claim in excess of \$50,000 against a project owner arising from or related to a design or construction contract that was not resolved by change order or contract amendment?
- Yes
 No

If “yes,” identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project Name:		Date:	
Entity(s):		Court:	
Nature:			
Description:			

9. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Architect?
- Yes
 No

If “yes” give the name of the insurance carrier, the form of insurance, and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

III.1.C SCORED QUESTIONS FOR THE SUB-CONSULTANT MEMBERS

For each required Core Sub-Consultant, answer the following questions:

Experience & Organizational Performance

1. List facilities similar to this project has the General Contractor or its associates completed in the last ten (10) years. Preferred project attributes include:

- Design-Build project delivery
- Renovation projects of similar contract value
- Renovation projects in occupied facilities
- Projects with similar healthcare and behavioral health users
- California public agency clients

List the following information for (up to ten) of these projects:

Project Name / Building Type	Location	Construction Cost

2. How many years has the Sub-Consultant been in business in California under its present business name and license number?

Number of Years: _____

3. Is the Sub-Consultant currently a debtor in a bankruptcy case?

- Yes
- No

4. Was any part of the Sub-Consultant in bankruptcy at any time during the last five (5) years?

Case #: _____ Date Filed: _____ Discharge: _____

Claims & Disputes

5. In the past ten (10) years has the Sub-Consultant operated without professional liability insurance?

- Yes
- No

If “yes,” explain on a separate sheet.

6. In the past five (5) years has any claim in excess of \$50,000 been made against the Sub-Consultant arising from or related to a design or construction contract (including claims for violation of the Federal or California False Claims Act) that was not resolved by change order or contract amendment?

- Yes
- No

If “yes,” identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		Date:	
Entity:		Defendant:	
Description:			

fProject:		Date:	
Entity:		Defendant:	
Description:			

Project:		Date:	
Entity:		Defendant:	

Description:

7. In the past five (5) years has the Sub-Consultant made any claim in excess of \$50,000 against a project owner arising from or related to a design or construction contract that was not resolved by change order or contract amendment?

- Yes
- No

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			

Project:		Date:	
-----------------	--	--------------	--

Claimant:		Court:	
Nature:			
Description:			

8. In the last five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Sub-Consultant?

- Yes
- No

If “yes” give the name of the insurance carrier, the form of insurance, and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED

IV.A DESIGN-BUILD ENTITY AND GENERAL CONTRACTOR EXPERIENCE

The Design-Build Entity shall submit at least three (3) and no more than five (5) projects completed in the past ten (10) years by the Design-Build Entity or its General Contractor that demonstrate that the Design-Build Entity and its General Contractor have the experience, competency, capability, and capacity to design and complete, projects of **similar size, scope, or complexity to this project**; a single project may fulfill more than one required experience criterion.

Only information, experience, and work performed by the Design-Build Entity's or General Contractor's office that will bid, manage, design, construct, and staff the Project will be considered for prequalification unless otherwise indicated below.

Projects presented for consideration must be submitted on the 2-page form provided in this section. Make additional copies as needed.

Preferred project attributes include:

- Design-Build project delivery.
- Renovation projects of similar contract value
- Renovation projects in occupied facilities
- Projects with similar healthcare and behavioral health users
- California public agency clients

**DESIGN-BUILD ENTITY – GENERAL CONTRACTOR COMPARABLY SIZED PROJECT #
VERIFY ALL CONTACTS PRIOR TO SUBMITTAL.**

*Do not leave any spaces blank. Responses such as "N/A" are not acceptable.
If not applicable, state "Not Applicable" and explain why. If none, state "NONE."*

Reference Information:			
Project Name: _____			
Project or Contract Number: _____			
Project Location: _____, _____, _____			
Street Address		City & State	Zip Code
Owner Information: _____ Contact Person: _____			
Owner's Name		Name & Title	
Address: _____, _____, _____			
Street Address		City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____	_____
Address of Design-Build Entity or General Contractor's Office that Performed the Work:			
_____ , _____ , _____			
Street Address		City & State	Zip Code
Name of Design-Build Entity or General Contractor's			
Project Manager for the project: _____			
Name of Design-Build Entity or General Contractor's			
Superintendent for the project: _____			
Contractor:			
Contact Person: _____			
Address: _____, _____, _____			
Street Address		City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____	_____
Design Firm: _____ Contact Person: _____			
		Name & Title	
Address: _____, _____, _____			
Street Address		City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____	_____
Name of Design Firm's Project Manager for the project: _____			

Contract Time:

Start Date: _____ Scheduled Completion Date: _____
Month/Day/Year Month/Day/Year
Actual Completion Date: _____ Days Extended due to Unexcused Delays: _____
Month/Day/Year

Contract Amount:

Base Amount: \$
Adjustment Due to Change Orders: \$
Final Contract Amount: \$

Project Information:

Was the project a Design-Build project? Yes No

Type of Project:

Type of Owner: Public Private

Was the project a renovation of an existing facility? Yes No

Was the project in an operating, occupied facility? Yes No

Was the project built in California? Yes No

Was the project a healthcare facility? Yes No

Did the project include uses similar to a behavioral health facility? Yes No

Project Description: (Provide a brief description including a project photo)

IV.B ARCHITECT EXPERIENCE

The Architect shall submit at least three (3) and no more than five (5) projects completed in the past ten (10) years that demonstrate that the Architect has the experience, competency, capability, and capacity to design and complete, projects **of similar size, scope, or complexity** to this project; a single project may fulfill more than one required experience criterion.

Only information, experience, and work performed by the Architect’s office that will bid, manage, design, construct, and staff the Project will be considered for pre-qualification.

Projects presented for consideration must be submitted on the 2-page form provided in this section. Make additional copies as needed.

Preferred project attributes include:

- Design-Build project delivery.
- Renovation projects of similar contract value
- Renovation projects in occupied facilities
- Projects with similar healthcare and behavioral health users
- California public agency clients

ARCHITECT COMPARABLY SIZED PROJECT # _____

VERIFY ALL CONTACTS PRIOR TO SUBMITTAL.

*Do not leave any spaces blank. Responses such as "N/A" are not acceptable.
If not applicable, state "Not Applicable" and explain why. If none, state "NONE."*

Reference Information
Project Name:
Project or Contract Number:
Project Location (Street/City and State/Zip Code):
Owner's Information
Owner's Name:
Contact Person (Name and title):
Address (Street/ City and State/ Zip Code):
Telephone:
Facsimile:
email:
Address of Architect's office that performs the work
Street address:
City and State:
Zip Code:
Name of the Architect's Project Manager for the Project:
Contractor
Contractor:
Contact Person:
Address (Street/ City and State/ Zip Code):
Telephone:
Facsimile:
email:
Design Firm
Design Firm:
Contact Person (Name and title):
Address (Street/ City and State/ Zip Code):
Telephone:
Facsimile:
email:
Name of the Design Firm's Project Manager for the Project:

Contract Time:

Start Date: _____ Scheduled Completion Date: _____
Month/Day/Year Month/Day/Year
Actual Completion Date: _____ Days Extended due to Unexcused Delays: _____
Month/Day/Year

Contract Amount

\$ _____ \$ _____ \$ _____
Base Amount Adjustment Due to Change Orders Final Contract Amount

Project Information:

Was the project a Design-Build project?
 Yes
 No

Type of Project:

Type of Owner:
 Public
 Private

Was the project a renovation of an existing facility?
 Yes
 No

Was the project in an operating, occupied facility?
 Yes
 No

Was the project built in California?
 Yes
 No

Was the project a healthcare facility?
 Yes
 No

Did the project include uses similar to a behavioral health facility?
 Yes
 No

Project Description: (Provide a brief description including a project photo)

Blank area for project description and photo.

PART V: KEY PERSONNEL

V.A Construction Project Manager Qualifications (For General Contractor)

Complete this form in its entirety. Resumes shall be submitted **in addition** to this form. **Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Construction Project Manager: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____



List all Project Management Training / Certifications	Years of experience
_____	_____
_____	_____



Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____



V.B Superintendent Qualifications (For General Contractor)

Complete this form in its entirety. Resumes shall be submitted **in addition to this form. Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Construction Superintendent: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____

List all Construction Training / Certifications	Years of experience
_____	_____
_____	_____

Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

V.C Quality Assurance Manager Qualifications (For General Contractor)

Can be the same individual as the Project Manager or Superintendent. Complete this form in its entirety. Resumes shall be submitted **in addition to this form. Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Quality Assurance Manager: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____



List all QA/QC Training / Certifications	Years of experience
_____	_____
_____	_____



Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



V.D Principal-in-Charge Qualifications (For Architect)

Complete this form in its entirety. Resumes shall be submitted **in addition to this form. Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Principal in charge: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____

List all Project Management Training / Certifications	Years of experience
_____	_____
_____	_____

Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

V.E Project Manager Qualifications (For Architect)

Complete this form in its entirety. Resumes shall be submitted **in addition to this form. Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Project Manager: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____

List all Project Management Training / Certifications Years of experience.

Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____

V.F Project Architect Qualifications (For Design Firm)

Can be the same individual as the Project Manager. Complete this form in its entirety. Resumes shall be submitted **in addition to this form. Resumes shall NOT be submitted in lieu of this form.** *If more space is needed, provide the information using the format below and attach it to this Questionnaire.*

Name of Proposed Project Architect: _____
Institution/ School: _____
Degree/ License/ Certification Received: _____
Major/ Discipline: _____
Year: _____



List all Project Management Training / Certifications	Years of experience
_____	_____
_____	_____



Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Project.



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



Firm: _____ Years of Employment: _____ through _____
Project Name & Location: _____ Contract Amount: \$ _____
Owner: _____ Contact Name, Title: _____
Type of Facility: _____ Completion Date: _____
Job Title: _____
Design-Build Project (Y) or (N): _____ LEED Certified Project (Y) or (N): _____



PART VI: CERTIFICATION

I, the undersigned, certify and declare that I am authorized to make this certification on behalf of the Design-Build Entity, _____ . I have read the contents of this completed Prequalification Questionnaire and the submittal in response to the Request for Prequalification, and I am thoroughly knowledgeable of its contents.

I declare under penalty of perjury under the laws of the State of California that the content of the Questionnaire and the submittal in response to the Request for Prequalification is true and correct.

Executed at		,		on	
	(City)		(State)		(Date)

(Signature)

(Printed Name)

(Firm)

(Title)

The receipt of the following addenda is hereby acknowledged:

- Addendum No. _____ , dated _____
- Addendum No. _____ , dated _____
- Addendum No. _____ , dated _____

Reference Interview Questions

REFERENCE INTERVIEW QUESTIONS

A. Design-Build General Contractor

The following questions will be used to interview selected contacts from at least one (1) of the recently completed projects. The County will select projects and conduct the interviews. No action on the Design-Build Entity's part is necessary. *These questions are included in the package given to the Design-Build Entity for information only.*

Design-Build Entity: _____

Project #: _____

Project: _____

Brief Description:

1. Are there any outstanding stop notices, liens, or claims by the General Contractor that are currently unresolved on contracts for which notices of completion were recorded more than 120 days ago?
 Yes
 No
If "yes," how many separate instances?
2. On a scale of 1-10, with 10 being the best, did the General Contractor provide adequate personnel throughout the entirety of the construction phase?
Rating: _____
3. On a scale of 1-10, with 10 being the best, did the General Contractor provide adequate supervision?
Rating: _____
4. On a scale of 1-10, with 10 being the best, was there adequate equipment provided on the job?
Rating: _____
5. On a scale of 1-10, with 10 being the best, was the General Contractor timely in providing reports and other paperwork, including change order paperwork and schedule updates?
Rating: _____
6. On a scale of 1-10, with 10 being the best, did the General Contractor adhere to the project schedule that your agency or business approved?
Rating: _____

7. Was the project completed on time?

- Yes
- No

If “no,” rate General Contractor responsibility:_____

8. On a scale of 1-10, with 10 being the best, rate the General Contractor on the timely submission of reasonable cost and time estimates to perform change order work.

Rating:_____

9. On a scale of 1-10, with 10 being the best, rate the General Contractor on how well it performed the work after a change order was issued, and how well it integrated the change order work into the existing work.

Rating:_____

10. On a scale of 1-10, with 10 being the best, rate how the General Contractor performed in providing Operation & Maintenance manuals, completing as-built drawings, providing required training, and taking care of warranty items.

Rating:_____

11. On a scale of 1-10, with 10 being the best, rate the General Contractor on whether there were an unusually high number of change order requests, given the nature of the project, or unusual difficulty in resolving them.

Rating:_____

12. On a scale of 1-10, with 10 being the highest, rate the General Contractor with respect to timely payments by it to sub-contractors and/or suppliers.

Rating:_____

13. On a scale of 1-10, with 10 being the best, rate the quality of the work overall.

Rating:_____

14. On a scale of 1-10, with 10 being the best, was the General Contractor collaborative with the owner and the architect?

Rating:_____

15. On a scale of 1-10, with 10 being the best, did the General Contractor try to resolve disputes in a fair and equitable manner?

Rating:_____

16. On a scale of 1-10, with 10 being the best, how well did the General Contractor work with the Design-Build Architect?

Rating:_____

REFERENCE INTERVIEW QUESTIONS

B. Design-Build Architect

The following questions will be used to interview selected contacts from at least one (1) of the recently completed projects. The County will select projects and conduct the interviews. No action on the Design-Build Entity's part is necessary. *These questions are included in the package given to the Design-Build Entity for information only.*

Design-Build Entity: _____

Project #: _____

Project: _____

Brief Description:

1. Are there any outstanding stop notices, liens, or claims by the Architect that are currently unresolved on contracts for which notices of completion were recorded more than 120 days ago?

Yes

No

If "yes," how many separate instances? _____

2. On a scale of 1-10, with 10 being the best, did the Architect provide adequate personnel for the Design and Construction phases?

Rating: _____

3. On a scale of 1-10, with 10 being the best, did the Architect provide adequate supervision during the construction phase?

Rating: _____

4. On a scale of 1-10, with 10 being the best, did the Architect adhere to the concepts and requirements outlined in the bridging documents or design criteria?

Rating: _____

5. On a scale of 1-10, with 10 being the best, was the Architect timely in providing reports and other paperwork, including design milestone updates and change order paperwork?

Rating: _____

6. On a scale of 1-10, with 10 being the best, did the Architect adhere to the project schedule that your agency or business approved?

Rating: _____

7. Was the project completed on time?

Yes

No

If "no," rate the Architect's responsibility: _____

8. On a scale of 1-10, with 10 being the best, rate the Architect on the timely submission of drawings and specifications to perform change order work.

Rating: _____

9. On a scale of 1-10, with 10 being the best, rate the Architect on how well it responded to requests from the General Contractor and/or Owner including RFIs, submittals, and change order requests.

Rating: _____

10. On a scale of 1-10, with 10 being the best, rate the Architect on how well it works with its sub-consultants.

Rating: _____

11. On a scale of 1-10, with 10 being the best, if not a Design-Build project, rate the Architect on whether there were an unusually high number of change order requests, given the nature of the project, or unusual difficulty in resolving them.

Rating: _____

12. On a scale of 1-10, with 10 being the highest, rate the Architect on how well it works with “Authority Having Jurisdiction” (AHJ) and other regulatory agencies.

Rating: _____

13. On a scale of 1-10, with 10 being the best, rate the quality of the “design” work overall.

Rating: _____

14. On a scale of 1-10, with 10 being the best, was the Architect collaborative with the owner and the General Contractor?

Rating: _____

15. On a scale of 1-10, with 10 being the best, did the Architect try to resolve disputes in a fair and equitable manner?

Rating: _____

Scoring Worksheets

SCORING WORKSHEETS

The rating of the Design-Build Entity is determined by: (1) confirming the correct answers to the Essential Requirements, (2) adding the point value of all the answers to the Scored Questionnaire and (3) adding the point value of all Reference Interview Questions. The table below gives the value of each scored question for the Essential Requirements and Scored Questionnaire parts.

The Scoring Worksheets list every essential requirement and scored question. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as written in the Essential Requirements and Scored Questionnaire sections of the Prequalification Questionnaire.

PART II.A ESSENTIAL REQUIREMENT FOR THE DESIGN-BUILD ENTITY AS A WHOLE

No.	Requirement / Question	Yes	No	Score
1	Are Design-Build Entity’s organizational and indemnity documents attached? <i>Yes or N/A (corp. or sole prop.) = 0; No = 1</i>			
2	Is the Design-Build Entity’s financial statement attached? <i>Yes = 0; No = 1</i>			
3	Has Design-Build Entity ever defaulted on a construction contract? <i>Yes = 1; No = 0</i>			
4	Has Design-Build Entity’s surety paid for completion within the last 5 years? <i>Yes = 1; No = 0</i>			
5	Is Design-Build Entity ineligible to bid on public works? <i>Yes = 1; No = 0</i>			
6	Has Design-Build Entity been convicted of a crime on a public contract within the last 5 years? <i>Yes = 1; No = 0</i>			
7	Has Design-Build Entity been found liable or guilty in a civil or criminal action for making a false claim? <i>Yes = 1; No = 0</i>			
8	Has Design-Build Entity been convicted of a crime related to construction? <i>Yes = 1; No = 0</i>			
9	Has Design-Build Entity been convicted of fraud, theft, or dishonesty? <i>Yes = 1; No = 0</i>			
Total Score for Essential Requirements (DISQUALIFIED if score is greater than “0”)				

No.	Requirement / Question	Yes	No	Score
	Does the General Contractor have a valid, current California contractor’s license? <i>Yes = 0; No = 1</i>			
	Does the General Contractor have at least \$3,000,000 per occurrence and \$4,000,000 aggregate liability insurance? <i>Yes = 0; No = 1</i>			
	Does the General Contractor have current workers’ compensation insurance policies? <i>Yes = 0; No = 1</i>			
	Are the General Contractor’s financial statements attached? <i>Yes = 0; No = 1</i>			
	Is the General Contractor’s notarized surety statement attached? <i>Yes = 0; No = 1</i>			
	Has the General Contractor had their license revoked or suspended in the last 5 years? <i>Yes = 1; No = 0</i>			
	Has General Contractor’s surety paid for completion within the last 5 years? <i>Yes = 1; No = 0</i>			
	Is the General Contractor ineligible to bid on public works? <i>Yes = 1; No = 0</i>			
	Has the General Contractor been convicted of a crime on a public contract within the last 5 years? <i>Yes = 1; No = 0</i>			
	Has the General Contractor been found liable or guilty in a civil or criminal action for making a false claim? <i>Yes = 1; No = 0</i>			
	Has the General Contractor been convicted of a crime related to construction? <i>Yes = 1; No = 0</i>			
	Has the General Contractor been convicted of fraud, theft, or dishonesty? <i>Yes = 1; No = 0</i>			
Total Score for Essential Requirements (DISQUALIFIED if score is greater than “0”)				

PART II.B ESSENTIAL REQUIREMENTS FOR THE GENERAL CONTRACTOR

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 10 through 14 is “No.”

10. Does the General Contractor possess a valid, current California contractor’s license for the Project services for which it intends to submit a proposal?

For this Project, the General Contractor must have a current and active California State Contractor’s license with a “B” General Building Contractor classification.

- Yes
- No

11. Does the General Contractor have a liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$6,000,000 aggregate?

- Yes
- No

12. Does the General Contractor have current workers’ compensation insurance policies as required by the Labor Code or legal self-insurance pursuant to Labor Code section 3700 et seq.?

- Yes
- No

13. Has the General Contractor attached a copy of its most **current** reviewed or audited financial statement with accompanying notes and supplemental information, as well as reviewed or audited financial statements with accompanying notes and supplemental information for the **past year**? The County reserves the right to request sub-contractor- reviewed or audited financial statements at a later date.

- Yes
- No

A financial statement that is not either reviewed or audited is not acceptable. A letter verifying the availability of a line of credit is not a substitute for the required financial statement.

14. Has the General Contractor attached a notarized statement from a surety insurer admitted and authorized to issue bonds in the State of California (i.e., approved by the California Department of Insurance), which states that the General Contractor’s current payment and performance bonding capacity is sufficient for the Project?

NOTE: Required minimum performance and payment bonding capacity for the Project is equal to the estimated Project construction cost.

- Yes
- No

The notarized statement must be from the surety company, not an agent or broker.

- Yes
- No

NOTE: Design-Build Entity will be automatically disqualified if the answer to any of questions 15 through 21 is “Yes.”

15. At any time during the last five (5) years, has any Contractor State Licensing Board license of the General Contractor or any of the proposed Sub-Contractors (or the responsible managing officer/employee thereof) been revoked or suspended?
 Yes
 No
16. Within the last five (5) years, has a surety firm completed a contract on behalf of the General Contractor, or paid for completion because the Contractor was default terminated by the project owner?
 Yes
 No
17. At the time of submitting this Prequalification Questionnaire, is the General ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
 Yes
 No
18. At any time during the last five (5) years, has the General Contractor, any of its owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
 Yes
 No
19. Has the General Contractor, any of its owners or officers, ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
 Yes
 No
20. Has the General Contractor, any of its owners or officers, ever been convicted of a crime involving any federal, state, or local law related to construction?
 Yes
 No
21. Has the General Contractor, any of its owners or officers, ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
 Yes
 No

**PART II.C ESSENTIAL REQUIREMENTS FOR THE ARCHITECT AND CORE
 SUB-CONSULTANTS**

No.	Requirement / Question	Yes	No	Score
22	Do all Architects and Sub-consultants have valid, current, professional licenses? <i>Yes = 0; No = 1</i>			
23	Does the Architect have, or can the Architect obtain, at least \$1,000,000 per occurrence and \$2,000,000 aggregate liability insurance? <i>Yes = 0; No = 1</i>			
24	Do Architect and all Sub-consultants have current workers' compensation insurance policies? <i>Yes = 0; No = 1</i>			
25	<u>IF part of the DBE joint venture</u>, Are the Architect's and Sub-consultant's financial statements attached? <i>Yes = 0; No = 1</i>			
26	Have the Architect or any proposed Sub- consultants had their license, credential, or registration revoked or suspended in the last 5 years? <i>Yes = 1; No = 0</i>			
27	Have the Architect or any proposed Sub- consultants been convicted of a crime on a government contract within the last 5 years? <i>Yes = 1; No = 0</i>			
28	Have the Architect or any proposed Sub- consultants been found liable or guilty in a civil or criminal action for making a false claim? <i>Yes = 1 pt; No =0</i>			
29	Have the Architect or any proposed Sub- consultants been convicted of a crime related to construction? <i>Yes =1 pt; No =0</i>			
30	Have the Architect or any proposed Sub- consultants been convicted of fraud, theft, or dishonesty? <i>Yes = 1 pt; No =0</i>			
Total Score for Essential Requirements (DISQUALIFIED if score is greater than "0")				

PART III.A Scored Questions for the General Contractor

No.	Requirement / Question	Yes	No	Instance	Score
1	How many years has General Contractor been in business in California? <i>3 yrs or less = 2 pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs +=5 pts</i>				
2	Facilities similar to this project have the General Contractor or its associates completed in the last ten (10) years. <i>0 - 10 pts.</i>				
3	Has the General Contractor worked on a project where a County was the authority having jurisdiction (AHJ)? <i>Yes =3; No =0</i>				
4	Is the General Contractor currently in bankruptcy? <i>Yes = 0 pts; No = 3 pts</i>				
5	Has the General Contractor been in bankruptcy during the last 5 years? <i>Yes = 0 pts; No = 3 pts</i>				
6	Has the General Contractor been cited for violations of the Contractor’s State License Law in the last 5 years? <i>Yes = 0 pts; No = 2 pts</i>				
7	How many projects has General Contractor paid liquidated damages of more than \$50,000 in the last 5 years? <i>0 to 1 projects = 3 pts; 2 projects =2 pts; more than 2 = 0 pts.</i>				
8	Has the General Contractor or its associates ever defaulted on a construction contract? <i>Yes = 0 pts; No = 2 pts</i>				
9	Has the General Contractor been prevented from bidding on or completing a public works project in the last 5 years? <i>Yes =subtract 3 pts; No =3 pts</i>				
10	Has the General Contractor been denied the award of a public works project based on a finding of not being a responsible bidder? <i>Yes = 0 pts; No = 3 pts</i>				
11	Has a claim of over \$50,000 been filed against General Contractor in the last 5 years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.</i>				

No.	Requirement / Question	Yes	No	Instance	Score
12	Has the General Contractor filed a claim of more than \$50,000 against a project owner in the last 5 years that was not resolved by change order or amendment? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
13	Has any insurance carrier refused to renew the General Contractor's policy? <i>3 pts for 0 or 1 instance; 2 pts for 2 instances; 0 pts for more than 2</i>				
14	Has the General Contractor paid more than 1% for a performance and payment bond in the last 5 years? <i>3 pts for up to 1%; 2 pts for no higher than 1.10%; 0 pts for any other answer</i>				
15	Has a surety ever denied General Contractor payment or performance bond coverage in the last 5 years? <i>Yes =0; No =3</i>				
16	Has CAL OSHA cited General Contractor for serious, willful, or repeated safety violations in the last 5 years? <i>3 pts for 0 or 1 instance; 2 pts for 2 instances; 0 pts for any other answer</i>				
17	Has federal OSHA cited or assessed penalties against General Contractor in the last 5 years? <i>3 pts for 0 or 1 instance; 2 pts for 2 instances; 0 pts for any other answer</i>				
18	Has EPA, AQMD, or RWQCB cited and assessed penalties against the General Contractor in the last 5 years? <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
19	Has the General Contractor been cited for violations of FICA withholding requirements in the last 5 years? <i>Yes = 0 pts; No = 2 pts</i>				
20	Has the General Contractor been issued a worker's comp EMR in the last 5 years? <i>5 pts for .95 or less; 3 pts if between .96 and 1.00; 0 pts for any other answer</i>				
21	Has the General Contractor been without workmen's compensation insurance at any time in the last 5 years? <i>3 pts for 0 to 1 instance; 0 pts for any other answer</i>				

No	Requirement / Question	Yes	No	Instance	Score
22	<p>Has the General Contractor been required to pay back wages or failed to comply with state prevailing wage laws more than once in the last 5 years? <i>3 pts for 0 to 2 instances; 2 pts for 3 instances; 0 pts for any other answer</i></p>				
23	<p>Has the General Contractor been required to pay back wages or failed to comply with federal Davis-Bacon prevailing wage laws more than once in the last 5 years? <i>3 pts for 0 to 2 instances; 2 pts for 3 instances; 0 pts for any other answer</i></p>				
24	<p>Do the General Contractor and proposed Subcontractors know their obligations regarding the employment of apprentices on public works projects? <i>Yes =1; No =0</i></p>				
25	<p>Does the General Contractor intend to use apprentices from the apprenticeship program approved by the California Apprenticeship Council? <i>Yes =1; No =0</i></p>				
26	<p>Has the General Contractor operated a state- approved apprenticeship program in the last 5 years? <i>Yes =1; No =0</i></p>				
27	<p>Has the General Contractor violated apprenticeship laws or regulations in the last 5 years? <i>Yes =0; No =1</i></p>				
28	<p>Does the General Contractor require safety meetings? <i>Yes =1; No =0</i></p>				
29	<p>Does the General Contractor have a written Site-Specific Safety Plan? <i>Yes =1; No =0</i></p>				
30	<p>Does the General Contractor have personnel permanently assigned to safety? <i>Yes =1; No =0</i></p>				
31	<p>Does the General contractor have a substance abuse testing program? <i>Yes =1; No =0</i></p>				
32	<p>Does the General Contractor have a written QA/QC program? <i>Yes =1; No =0</i></p>				

No	Requirement / Question	Yes	No	Instance	Score
33	Does the General Contractor have personnel permanently assigned to QA/QC? <i>Yes = 1; No = 0</i>				
Total for Scored Contractor Questions 90 total possible points					

PART III.B Scored Questions for the Architect

No.	Requirement / Question	Yes	No	Instance	Score
1	Facilities similar to this project have the Architect or its associates completed in the last ten (10) years. <i>0 – 10 pts.</i>				
2	How many years has the Architect been in business in California? <i>3 yrs or less = 2 +pts; 4 yrs = 3 pts; 5 yrs = 4 pts; 6 yrs + = 5 pts</i>				
3	Has the Architect worked on a project where a County was the authority having jurisdiction (AHJ)?? <i>Yes =3; No =0</i>				
4	Is Architect currently a debtor in a bankruptcy case? <i>Yes =0; No =3</i>				
5	Was Architect in bankruptcy in the last 5 years? <i>Yes =0; No =3</i>				
6	Has the Architect operated without Profession Liability insurance in the last 10 years? <i>Yes =0; No =3</i>				
7	Has a claim in excess of \$50,000 been filed against the Architect in the last 5 years that was not resolved by change order or contract amendment? <i>4 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer.</i>				
8	Has the Architect filed a claim in excess of \$50,000 against any owner in the last 5 years that was not resolved by change order or contract amendment? <i>4 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer.</i>				
9	Has any insurance carrier refused to renew a policy for the Architect in the last 5 years? <i>5 pts for 0 to 2 instances; 3 pts for 3 instances; 0 pts for any other answer</i>				
Total for Scored Architect Questions 40 total possible points					

PART III.C Scored Questions for the Sub-Consultant Members

No.	Requirement / Question	Yes	No	Instance	Score
1	Facilities similar to this project have the Sub- Consultant or its associates completed in the last ten (10) years. <i>0 – 5 pts.</i>				
2	How many years has the Sub-consultant been in business in California? <i>3 yrs or less = 1 pts; 4 yrs = 2 pts; 5 yrs = 3 pts; 4 yrs + = 5 pts</i>				
3	Is the Sub-consultant currently a debtor in a bankruptcy case? <i>Yes =0 pts; No = 1 pt</i>				
4	Was the Sub-consultant in bankruptcy in the last 5 years? <i>Yes =0 pts; No = 1 pt</i>				
5	Has the Sub-consultant operated without Profession Liability insurance in the last 10 years? <i>Yes =0; No =1</i>				
6	Has a claim in excess of \$50,000 been filed against the Sub-consultant in the last 5 years that was not resolved by change order or contract amendment? <i>1 pts for 0 to 2 instances; 0 pts for any other answer.</i>				
7	Has a claim in excess of \$50,000 been made by a Sub-consultant against a project owner in the last 5 years that was not resolved by change order or contract amendment? <i>1 pt for 0 to 2 instances; 0 pts for any other answer.</i>				
8	Has any insurance carrier refused to renew a policy for Sub-consultant in the last 5 years? <i>1 pts for 0 to 2 instances; 0 pts for any other answer</i>				
Total for Scored Sub-consultant Questions 15 total possible points.					

Summary of Scored Questions for Core Design-Build Entity Members

Section	Section Score
Part II A. Essential Requirements For the Design-Build Entity as a Whole (Disqualified if score is greater than 0)	
Part II B. Essential Requirements For the General Contractor & Core Subs (Disqualified if score is greater than 0)	
Part II C. Essential Requirements For the Architect & Core Subs (Disqualified if score is greater than 0)	
<i>Essential Requirements Sub-Total Disqualified if Score is greater than 0</i>	
Part III A. Scored Questions for the General Contractor <i>Up to 90 points</i>	
Part III B. Scored Questions for the Architect <i>Up to 40 points</i>	
Part III C. Scored Questions for the Mechanical/Plumbing Engineer Sub-consultant <i>Up to 15 points</i>	
Part III C. Scored Questions for the Electrical Engineer Sub-consultant <i>Up to 15 points</i>	
Part III C. Scored Questions for the Civil Engineer Sub-consultant <i>Up to 15 points</i>	
Part III C. Scored Questions for the Fire, Life-Safety Engineer Sub-consultant <i>Up to 15 points</i>	
Part IV.A Design-Build Entity and General Contractor Experience <i>0-20 points</i>	
Part IV.B Architect Experience <i>0-20 points</i>	
Part V.A Construction Project Manager Qualifications (For General Contractor) <i>0-7 points</i>	
Part V.B Superintendent Qualifications (For General Contractor) <i>0-7 points</i>	
Part V.C Quality Assurance Manager Qualifications (For General Contractor) <i>0-3 points</i>	
Part V.D Principal-in-Charge Qualifications (For Architect) <i>0-3 points</i>	
Part V.E Project Manager Qualifications (For Architect) <i>0-7 points</i>	
Part V.F Project Architect Qualifications (For Design Firm) <i>0-3 points</i>	
REFERENCE INTERVIEW QUESTIONS: A. Design-Build General Contractor <i>0-20 points</i>	
REFERENCE INTERVIEW QUESTIONS: B. Design-Build Architect <i>0-20 points</i>	
TOTAL SCORE (Up to 300 points)	

Attachment 1

Organizational Conflict-of-Interest Policy for Design-Build Projects